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Environmental & Social Impact Assessment, Social Assessment and Vulnerable & Marginalized Groups

**KENYA OFF-GRID SOLAR ACCESS PROJECT (KOSAP) FOR UNDERSERVED COUNTIES**

**Component 1: Mini grids for Community Facilities, Enterprises, and Households**

**Comprehensive Project Report (CPR) FOR THE PROPOSED SAKE MINI OFF-GRID SOLAR PROJECT IN MANDERA COUNTY**

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# CERTIFICATION

This ESIA project report for the proposed Sake Off-Grid Solar Projectwas prepared in accordance with the Environmental Management and Coordination Act (EMCA), 1999 and the Environmental (Impact Assessment and Audit) regulations, 2003 and their subsequent amendments EMCA (amendments), 2015 and EIA/EA regulations (amendments), 2019, the World Bank operational procedures (OP) and Environmental Safeguards Standards (ESS) for submission to the National Environment Management Authority (NEMA). We hereby certify that to the best of our knowledge and belief, the information and particulars provided in this report are correct and true.

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*Disclaimer:*

*This ESIA report is strictly confidential to MoE (the Proponent) and any use of the materials thereof should strictly be in accordance with the agreement between the Proponent and the consultants; Norken International Limited and Centric Africa Limited (the Environmental Impact Assessor). It is, however, subject to conditions in the Environmental (Impact Assessment and Audit) Regulations, 2003 under the Kenya Gazette Supplement No. 56 of 13th June 2003*

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# LIST OF ACRONYMS

|  |  |
| --- | --- |
| CBO | Community Based Organization |
| CDI | County Development Index |
| CGRC | County Grievance Redress Committees |
| CoC | Code of Conduct. |
| Covid 19, | Coronus Virus Diseases 2019 |
| CPR | Comprehensive Project Report |
| CPS | Country Partnerships Strategy |
| CRA | Commission on Revenue Allocation |
| DOSHS | Directorate of Occupational Safety and Health Services |
| ECD | Early Childhood Development |
| EHS | Environmental and Health Standards |
| EMCA | Environment Management Coordination Act |
| EPRA | Energy and Petroleum Regulatory Authority |
| EPT: | Energy and Petroleum Tribunal |
| ESI | Electricity Supply Industry |
| ESIA | Environmental and Social Impact Assessment |
| ESM | Environmental and Social Management |
| ESMP | Environmental and Social Management Plan |
| FGD | Focus Group Discussions |
| GBV | Gender Based Violence |
| GDC | Geothermal Development Company |
| HIV/STD | Human Immune Deficiency syndromes/Sexually transmitted diseases |
| IA | Impact Assessment |
| KETRACO: | The Kenya Electricity Transmission Company |
| KII | Key Informant Interview |
| KOSAP | Kenya Off-Grid Solar Access Project |
| KPLC | Kenya Power and Lighting Company |
| LEP | Labour & Employment Plan |
| LGRC | Locational Grievance Redress Committees |
| MoE | Ministry of Energy |
| NEMA | National Environmental management Authority |
| NGOs | Non-Government organizations |
| NGRC | National Grievances Redress Committee |
| NLC | National Lands commission |
| OP | Operation procedures |
| OP/BP | Operational Procedures/bank policy |
| PLWDs | People living with disabilities |
| REREC | Rural Electrification and Renewable Energy Corporation |
| SA | Social Assessment |
| SEA/SH | Sexually Exploitation Activity/Sexual Harassment |
| TSC | Teachers Service Commission |
| VMGs | Vulnerable and Marginalized Groups |
| WB | World Bank’s |

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# EXECUTIVE SUMMARY

1. **Introduction and Project Brief**

The Ministry of Energy (MOE) hereinafter refer to as proponent is implementing the Kenya Off-Grid Solar Access Project (KOSAP) in 14 underserved counties in Kenya. The aim of the project is to provide clean and modern energy services through off-grid solar solutions. The Proponent is coordinating the implementation of the project through the implementing agencies; Kenya Power and lighting Company (KPLC) and the Rural Electrification and Renewable Emergency Corporation (REREC). The project is funded by the World Bank Group with $150 million and a $5million grant from the Carbon Initiative for Development. The goal of the project is to bring electricity to around 250,000 households, 476 community facilities, and 380 boreholes in the target counties, benefiting low-income groups. It also includes the sale and installation of 150,000 efficient cook stoves. The project focuses on marginalized areas based on the County Development Index (CDI) and aims to address infrastructure deficits, lack of access to roads, electricity, water, and social services in these underserved counties. To ensure sustainability, the project relies on public funding, local community participation, and the institutional capacity of KPLC, REREC, and the MOE.

The KOSAP consists of four main components. The first component focuses on the implementation of mini-grids to provide electricity to community facilities, enterprises, and households in areas where mini-grids are the most cost-effective option. The second component aims to electrify households through standalone solar systems in areas without load clusters where standalone systems are the best technical and financial solution. The third component supports the electrification of public institutions and community facilities using standalone solar systems. It also includes the installation of solar PV-powered water pumps for consumptive purposes. Lastly, the fourth component, provides funding for implementation support, technical assistance, and capacity building activities to ensure the sustainability and impact assessment of the interventions carried out under the other components of KOSAP.

In Mandera County, one of the target counties, the Proponent is proposing to develop 27 No. mini grid facilities including Sake Mini Grid discussed in this report. In order to adhere to both national and donor requirements, the Proponent engaged the services to the consortium of Norken International Limited and Centric Africa Limited to undertake the ESIA. The ESIA has been conducted following the requirements outlined in the Environmental Management and Coordination Act (EMCA) 1999 and its amendments, as well as international environmental and social policies such as the World Bank's OP 4.01 on environmental assessment.

1. **Project Categorization and Justification**

In the World Bank context, there have been several projects supported by the organization that aim to provide electricity to communities located far from the national grid. These projects utilize off-grid approaches, meaning they are independent of a national or regional grid. The experience gained from these projects provides valuable guidance for designing sustainable off-grid electrification initiatives, particularly those targeting dispersed and economically disadvantaged communities. The Sake proposed site aligns with this category of projects that the World Bank has been involved in.

In the Kenyan context, the Environmental Management and Coordination Act (EMCA) of 1999, as amended in April 2019 through Legal Notice No. 31, classifies solar power farms and plants as medium risk projects. This categorization provides a framework for assessing and managing the potential environmental and social impacts associated with such projects. By categorizing the Sake site as a solar power facility, it falls within the medium risk project category as per the Kenyan legislative framework.

1. **Approach and Methodology**

The Environmental and Social Impact Assessment (ESIA) for the proposed project followed a structured process, beginning with kick-off meetings and online discussions involving the Proponent, Implementing agencies, and the World Bank Environmental and Social Safeguard Team. These consultations were instrumental in establishing the project's scope, deliverables, timeline, and methodology. Subsequently, screening and scoping exercises were conducted to evaluate potential social and environmental risks. A thorough desk-based review was also undertaken to assess existing project documentation, legal requirements, and relevant plans.

The study employed a comprehensive approach to gather primary and secondary data for the project. Both qualitative and quantitative methods were utilized, with secondary data obtained through literature reviews. Primary data collection involved various techniques, including physical observations, photography, interviews, and stakeholder consultations. This comprehensive approach enabled a comprehensive examination of the project's environmental and social aspects, ensuring a holistic understanding of its potential impacts.

The study further involved the identification and assessment of potential impacts throughout the project's life cycle. Key areas of evaluation included land use, water resources, biodiversity, air quality, noise levels, community health and safety, and socio-economic conditions. To mitigate adverse effects, the study developed environmental and social management and monitoring plan, aiming to address both positive and negative impacts that may arise from the project. These measures aimed to ensure the project's sustainability and enhance its overall environmental and social performance.

1. **Legislative Regulatory Framework**

The evaluation, planning, and implementation of the proposed project is guided by the World Bank's Environmental and Social Framework, the national legislative framework, and the projects safeguard instruments. These measures aim to ensure environmental sustainability, protect the rights and needs of indigenous peoples and marginalized groups, and minimize adverse impacts through effective management and mitigation measures.

The Government of Kenya established the Environmental Management and Coordination Act (EMCA) in 1999, providing a legal framework for environmental management. EMCA takes precedence over other sectoral laws related to the environment. In 2013, the government formulated a national Environmental Policy with the goal of promoting sustainable management and use of the environment.

Collaboration and consultation among government agencies and stakeholders are essential for coordinating environmental management effectively. Key institutions in Kenya responsible for environmental issues include the National Environment Management Authority (NEMA), County Environment Committees, National Environmental Complaints Committee, National Environment Action Plan Committee, Standards and Enforcement Review Committee, National Environment Tribunal, and National Environment Council (NEC).

The project also adheres to the World Bank Safeguard Policies, which aim to improve decision-making processes, promote sustainable project options, and involve affected people in consultations. The applicable operational policies for this project include Environment Assessment, Natural Habitats, Indigenous Peoples, and Involuntary Resettlement. The Environmental and Social Impact Assessment (ESIA) considers these policies and addresses potential environmental and social concerns.

Additionally, the ESIA references other Safeguard Instruments prepared under the Kenya Off-Grid Solar Access Project (KOSAP), including the Environmental and Social Management Framework (ESMF), Resettlement Policy Framework (RPF), and Vulnerable and Marginalized Groups Framework (VMGF). These instruments provide procedures and guidelines for assessing and managing environmental and social aspects specific to the proposed subprojects under KOSAP.

1. **Environmental Setting**

The project area is in Sake Sub-location, Mandera County, and exhibits a semi-arid climate with irregular rainfall patterns and scarce natural resources. Water scarcity poses a significant challenge, affecting both the local population and livestock. The vegetation predominantly comprises drought-tolerant shrubs, thorny bushes, and arid-adapted grasses. Overgrazing and deforestation have resulted in land degradation and soil erosion, further exacerbating the environmental issues. Agricultural practices face hurdles due to limited fertile soils and inadequate irrigation infrastructure. The region is also prone to natural hazards like flash floods and sandstorms.

The topography of the project area is diverse, featuring vast plains, scattered low-lying hills, and occasional rocky outcrops towards Kenya-Ethiopia border. It is part of a semi-arid landscape with undulating terrain. The flat plains offer space for livestock grazing, while the hills provide some relief and shelter. However, the irregular topography poses challenges to agriculture and water management, influencing water runoff and drainage patterns. Overall, the project site is relatively flat.

The area is characterized by high levels of poverty, unemployment, and limited access to essential services such as education and healthcare. Livestock herding and small-scale enterprises are the primary economic activities, but opportunities for economic growth are constrained. Gender disparities persist, with women having limited decision-making power and economic empowerment. Infrastructure development, including roads, electricity, and water supply, is insufficient to meet the needs of the community.

1. **Project Description**

The Sake Mini Grid project aims to provide electricity to approximately 636 residential and 4No institutional consumers in Sake Village at Sake Sub-location, Sake Location, Dandu Ward in Mandera County. The project will utilize solar photovoltaic panels, a Battery Energy Storage System, and a Diesel Generator to generate electricity.

The project will harness the energy of the sun through solar panels with a capacity of 138 kWp. Solar power is a clean and renewable source, serving as the primary electricity generation method. A battery with a capacity of 447 kWh is incorporated to store excess solar energy, ensuring a continuous and stable power supply, even during periods of low solar irradiation. A Diesel Generator with a capacity of 75 kVA is integrated into the system to provide backup power during periods of low solar generation or high demand. A 2,000 litres fuel tank is provided to store diesel fuel for the generator, ensuring uninterrupted power supply during extended periods of low solar generation. The project includes a PV inverter with a capacity of 115 kW, which converts DC electricity generated by the solar panels into AC electricity suitable for consumer use. With a capacity of 102 kW, the battery inverter charger manages the energy flow to and from the battery storage system efficiently, optimizing the system's overall performance. The project is designed to meet a monthly energy demand of 15,764 kWh, effectively addressing the specific energy needs of the community. A daily energy demand of 525 kWh is proposed, ensuring a consistent and reliable power supply for various applications.

An 11-kilometer low voltage power distribution network will be established to efficiently transmit electricity to consumers. This network ensures a stable and reliable power supply while minimizing energy losses. In this project, no medium voltage network is required, as the focus is on low voltage distribution. The project does not include a step-up transformer, as it is not required for voltage adjustment. Similarly, there is no provision for a step-down transformer, as the project is designed for low voltage distribution. The estimated cost of the project is around **USD 710,168**, although this amount may change as more detailed plans are developed.

The project consists of two main components: Hybrid Mini-Grids and power line reticulation lines. The Hybrid Mini-Grids will combine solar panels and diesel power generation. These energy sources will be integrated through a centralized photovoltaic plant connected to a 3-phase AC busbar line. The configuration is designed to prioritize direct supply from the solar generator during daylight hours, reducing reliance on battery storage. The battery storage will primarily be used when solar generation is low or demand is high. The construction of power line reticulation lines will ensure the efficient distribution of electricity to residential, commercial, and other consumers, ensuring a reliable and efficient power supply.

To develop the Mini Grid, approximately 1.0025 hectares of land will be compulsorily acquired by NLC. This land is part of the community's designated public purposes area. The Proponent engaged with the community during the land acquisition process, and there were no objections to transferring 1.0025 hectares of land to Kenya Power and Lighting Company (KPLC) for the management of the solar mini-grid. In accordance with the World Bank's Operation Procedure 4.12 on Involuntary Resettlement, an abbreviated Resettlement Action Plan (A-RAP) was prepared, outlining the principles and procedures for land acquisition and compensation. This plan is annexed to the project report.

1. **Project Alternatives**

Solar energy is identified as a non-polluting and site-specific option, and the proposed site for Sake MG is chosen as the most suitable location for the mini-grid based on factors such as sunlight availability and the community's lack of grid connectivity. The use of wind power, thermal power, fossil fuels, and power import from neighbouring countries are considered as alternative methods of power generation but are found to have limitations or environmental concerns. Solar energy is favoured due to its low production costs, versatility, clean nature, and economic savings. The "No Project" alternative is deemed unfavourable as it would maintain the current lack of electricity access and hinder socio-economic development. The project will be constructed using modern materials and technology, with a focus on public health, safety, security, and environmental requirements. The technology will involve a Battery Energy Storage System.

1. **Stakeholder Engagement**

It is important to highlight that two forms of stakeholder engagement were carried out for the project. The first form as noted earlier, focused on the acquisition of land for the project and involved the Proponent and the implementing agency (KPLC). The second form of engagement was conducted specifically for the Environmental and Social Impact Assessment (ESIA) study.

For the ESIA study, various methods were employed to engage stakeholders, taking into consideration their different categories. Face-to-face discussions were held with government officials and key stakeholders, while separate focused group discussions were conducted with men, women, and youth. Additionally, a public baraza or meeting was organized to allow community members to participate.

During the Screening stakeholder engagement public meeting, which took place on February 27th, 2020, the meeting provided an opportunity to discuss project details including land identification. The ESIA stakeholders meeting took place on 30th November 2021 where the project aspects were discussed in depth, including the preliminary design, positive and negative impacts, and mitigation measures. Stakeholders were encouraged to share their views and provide feedback on the project.

Some of the concerns raised by stakeholders included the possibility of accidents from electrocution especially the children, cost of connections, liability from electrical damage to property as well as possible accidents from falling of the electric poles. The study team addressed these concerns by assuring stakeholders that a chain-link fence supported by concrete poles would be constructed.

1. **– Impacts and Mitigation Measures**

The Environmental and Social Impact Assessment (ESIA) for the proposed Solar Mini-grid project has identified both positive and negative impacts across its different phases: pre-construction, construction, operation, and decommissioning. In the construction phase, positive impacts include local employment opportunities, boosting local businesses, and sourcing materials locally. During the operation phase, positive impacts encompass reliable power supply, economic improvement, education, health benefits, improved living standards, and enhanced security and communication. Similarly, the decommissioning phase offers positive impacts such as local employment and sourcing.

On the negative side, the pre-construction phase involves minor impacts like land acquisition, while the construction phase encompasses various minor to moderate impacts such as vegetation clearance, soil erosion, dust emissions, and occupational health and safety concerns. Challenges related to stakeholder engagement, labour influx, child labour, and exclusion of vulnerable individuals are also anticipated. In the operation phase, negative impacts include waste generation, increased oil consumption, fire outbreaks, occupational health and safety concerns, and inadequate stakeholder engagement. Issues of exclusion, inadequate grievance management, and public health concerns may arise as well.

During the decommissioning phase, negative impacts primarily relate to solid waste generation, noise and vibration, and challenges in stakeholder engagement, labour influx, child labour, gender-based violence, and exclusion of vulnerable individuals and households.

Tables 0-2 to 0-5 below present summaries of anticipated impacts and their corresponding levels of significance, both pre- and post-mitigation.

*Table 1:* Summary of Pre-Construction Impacts

| **Impact** | **Significance Of Impact (Pre-Mitigation)** | **Residual Impacts (Post-Mitigation)** |
| --- | --- | --- |
| Land acquisition | Minor | Negligible |
| Way leaves | Minor | Negligible |
| Stakeholder identification and consultations | Major | Minor |

*Table 2:* Summary of Construction and Decommissioning Phases Impacts

| **Impact** | **Pre-construction** | **Construction phase** | **Decommissioning phase** |
| --- | --- | --- | --- |
| Impacts on Local Economy and Employment | Not Applicable | Positive | Positive |
| Change in land use | Not Applicable | Moderate | Positive |
| Site rehabilitation | Not Applicable | Not Applicable | Positive |
| Topography | Not Applicable | Minor | Not Applicable |
| Soil environment | Not Applicable | Minor | Minor |
| Air Quality | Not Applicable | Moderate | Moderate |
| Ambient noise | Not Applicable | Minor | Minor |
| Visual intrusion and change in landscape | Not Applicable | Minor | Positive |
| Waste generation and soil contamination | Not Applicable | Minor | Minor |
| Impact on water environment | Not Applicable | Minor | Not Applicable |
| Impacts from hazardous materials | Not Applicable | Minor | Not Applicable |
| Fire hazards | Not Applicable | Moderate | Minor |
| Impacts of construction material sourcing | Not Applicable | Moderate | Not Applicable |
| Energy consumption | Not Applicable | Negligible | Not Applicable |
| Occupational safety and health | Not Applicable | Moderate | Moderate |
| Community safety and health | Not Applicable | Moderate | Moderate |
| Labour influx | Not Applicable | Minor | Minor |
| Child labour | Not Applicable | Minor | Negligible |
| Cultural heritage | Not Applicable | Minor | Not Applicable |
| Gender based violence, SEA and SH | Not Applicable | Minor | Minor |
| Exclusion of VMGs, Vulnerable individuals and households | Not Applicable | Major | Major |
| Risk of communicable diseases | Not Applicable | Minor | Minor |
| Increased water demand |  | Negligible | Negligible |
| Forced labour |  | Minor | Negligible |

*Table 3:* Summary of Operation Phase Impacts

| **Impact** | **Significance Of Impact (Pre-Mitigation)** | **Residual Impacts (Post-Mitigation)** |
| --- | --- | --- |
| Impact On Economy and Employment | Positive | Positive |
| Quality, reliable power supply | Positive | Positive |
| Reduction of pollution associated with thermal power generation, kerosine and wood fuel usage | Positive | Positive |
| Education | Positive | Positive |
| Health benefits | Positive | Positive |
| Improved standard of living | Positive | Positive |
| Security | Positive | Positive |
| Communication | Positive | Positive |
| Soil environment | Minor | Negligible |
| Waste generation and management | Minor | Negligible |
| Water environment | Negligible | Negligible |
| Landscape and visual impacts | Minor | Negligible |
| Increased oil consumption | Minor | Negligible |
| Increased storm water flow | Minor | Negligible |
| Fire outbreaks | Moderate | Minor |
| Water demand | Negligible | Negligible |
| Sanitary waste | Negligible | Negligible |
| Flooding | Negligible | Negligible |
| Noise and Vibration | Negligible | Negligible |
| Electric and magnetic fields (EMFs) | Negligible | Negligible |
| Dust Emission | Negligible | Negligible |
| Vehicle Exhaust emission | Minor | Negligible |
| Collision and electrical hazards from distribution infrastructure | Minor | Negligible |
| Occupational safety and health | Moderate | Minor |
| Community safety and health | Moderate | Minor |
| Gender based violence, SEA and SH | Minor | Negligible |
| Exclusion of VMGs, Vulnerable individuals and households | Major | Minor |
| Risk of communicable diseases | Minor | Negligible |
| Shocks and electrocution to the PAPs | Moderate | Minor |
| Risks related to poor and inadequate stakeholder engagement (conflict) | Minor | Negligible |

1. **E-10 Environmental and Social Management and Monitoring Plan**

A comprehensive set of mitigation measures in the form of an Environmental and Social Management and Monitoring Plan (ESMMP) have been prepared for the project. The ESMMP serves as a comprehensive framework for the integrated management of all environmental and social impacts throughout the project's lifecycle. It has been prepared to ensure that the social and environmental impacts and risks identified during the Environmental and Social Impact Assessment (ESIA) process are appropriately managed during the construction, operations, and decommissioning phases of the project. It specifies the mitigation and management measures that the project proponent and contractor are committed to implementing and outlines how organizational capacity and resources will be mobilized to achieve these measures. The ESMMP also ensures compliance with the relevant laws, regulations within Kenya, as well as the environmental and social sustainability requirements of the World Bank's Operational Policies (OPs).

These measures emphasize a proactive approach, prioritizing prevention rather than reaction. They encompass various aspects such as proper waste handling and disposal to prevent pollution, engaging stakeholders to address grievances, providing personal protective equipment (PPE) for workers, ensuring adequate supervision, and emphasizing good workmanship from the contractor. Specific plans are also outlined to address specific issues that may arise. The ESMMP also highlights environmental performance indicators that should be regularly monitored. Monitoring serves as a means to detect and draw attention to any changes or problems in environmental quality. It involves continuous or periodic reviews of the ESMMP implementation progress, allowing for adjustments and improvements as necessary.

While accommodating the recommended mitigation measures to the extent practical and economically viable, the project proponent and contractor should ensure that the measures do not compromise the economic viability of the project or have long-lasting adverse impacts on the environment.

For the mitigation measures to be successful, it is imperative that the Kenya Power and Lighting Company (KPLC) allocates sufficient resources for the implementation of the ESMMP. Adequate resources will enable the proper execution of the proposed measures and ensure their effectiveness in minimizing the identified negative impacts.

Following the project's commissioning, it is mandatory to conduct statutory Environmental and Safety Audits in accordance with national legal requirements. These audits serve to evaluate the environmental performance of the site operations and assess their compliance with the recommended mitigation measures.

1. **Conclusion**

Based on the assessment findings, the consultant concludes that there are no substantial reasons to hinder the proposed project from progressing to the next stage of planning and development. However, this progression is conditional upon the implementation of the recommended mitigations and the monitoring of potential environmental and socio-economic impacts as outlined in the ESMMP.

It is in the opinion of the Environmental expert that the anticipated negative impacts can readily and effectively be mitigated and on the whole the proposed project does not pose any significant threat to the Environment and may be licensed to proceed.

# CHAPTER ONE

# INTRODUCTION

## Project Background

The Government of Kenya has pledged to stimulate economic growth and accelerate job creation to improve the economic wellbeing of Kenyans. Among the many interventions to achieve this is expansion of the new sources of energy to enable more Kenyans to connect to the grid at affordable cost and hence initiate economic activities at the micro-economic level. Driven by the imperative to provide equal opportunities across the entire Kenyan territory as key to achieving Kenya’s Vision 2030, and the national target of achieving universal access to electricity by 2020, the GoK seeks to close the access gap by providing electricity services to remote, low density, and traditionally underserved areas of the country. Consequently, the Government of Kenya partnered with World Bank and conceptualized a project by the name Kenya Off-grid Solar Access Project (KOSAP). The project’s objective is to achieve increased electricity access to Kenyans in off-grid areas (areas not covered by the national electricity grid network).

The project targets 14 out of the 47 counties in Kenya that have been defined as marginalized by the Commission on Revenue Allocation (CRA). The 14 underserved counties collectively represent 72% of the country’s total land area and 20% of the total population. The population is highly dispersed at a density of 4 times lower than the national average. These counties are also characterized by infrastructural deficits, including lack of access to roads, electricity, water, and social services. The 14 counties include Garissa, Isiolo, Kilifi, Kwale, Lamu, Marsabit, Narok, Samburu, Taita-Taveta, Tana River, Turkana, Mandera and West Pokot. The total number of un-electrified households is roughly 1.2 million in these counties.

## KOSAP Objective:

The objective of KOSAP is to increase access to modern energy services in underserved counties of Kenya, and is be achieved through the implementation of the components below;

* Component 1: Mini grids for Community Facilities, Enterprises, and Households
* Component 2: Standalone Solar Systems and Cooking Solutions for Households
* Component 3: Standalone Solar Systems and Solar Water Pumps for Community Facilities
* Component 4: Implementation Support and Capacity Building

## Mini grids for Community Facilities, Enterprises, and Households

This component supports the electrification of areas where electricity supply through mini grids represents the least cost option from a country perspective, as underpinned by the geospatial plan. Depending on the number of users to be supplied, and the service level defined for each type of user (households, businesses, community facilities, etc.), the generation system of each specific mini-grid will combine solar PV, battery storage and thermal units running on diesel Mini-grids. The component will be implemented in approximately 151 locations throughout the 14 target counties, typically in Mini-grids supplying 100-700 prospective users, with approximate total demand of 20-300kW. These potential sites, capturing approximately 27,000 consumers in total, have preliminarily been identified as part of the geospatial plan. In Mandera County, 27 locations were proposed for the solar Mini-grids installation. Sake village in Kutulo Sub-County is one of the villages in Mandera County that will benefit from this component.

## Project Justification

The Kenya Off Grid Solar Access Project (KOSAP) intends to support the Government initiative of ensuring increased electricity access to Kenyans, particularly among the low- income groups in off- grid areas. This proposed project is in line with the commitment of the Government of Kenya to reach 100% electricity access by 2023 through grid extension, stand-alone individual plant and autonomous solar mini- grids. Kenya Power and lightning Company as the implementing agency aims to develop the solar/diesel mini- grids to electrify areas that are not economically feasible through national grid extension. The Sake site was proposed as part of this project due to its isolated nature and the high cost of grid extension to the area.

## Institutional and Implementation Arrangements

The Ministry of Energy and Petroleum (MoEP) will provide overall coordination of the project and oversight during planning and implementation of the project. This will include overall coordination and oversight for safeguards due diligence, and implementation.

KPLC will be responsible for the implementation of the Solar Mini-grid during construction and implementation at Sake site. In addition, KPLC will have overall responsibility for safeguards due diligence and implementation. The County Government of Mandera is also working in liaison with the Ministry of Energy in implementation of the project.

## Environmental and Social Impact Assessment (ESIA) Report

### Justification for the ESIA

This Environmental and Social Impact Assessment on the proposed solar Mini grid in Sake was commissioned in order to examine its impacts on the environment and community prior to its construction. The study sought to identify positive and negative impacts of the Mini-grid and propose measures to mitigate the negative impacts while maximizing on the positive impacts.

The ESIA was conducted in accordance with Section 58 of Environmental Legislation, EMCA 1999, and its 2015 Amendment and the Environmental Impact Assessment and Auditing Regulations (ESIA/EA) of 2003. Further, international environmental and social policies have been adhered to in this report especially the World Bank OP4.01 (Environmental assessment). In addition, appropriate sectoral legal provisions relevant to this project have also been referred to for the necessary considerations during the construction, commissioning, operation, and decommissioning of the project.

This Environmental Impact Assessment has identified both positive and negative impacts of the proposed project to the environment and community. The report proposes mitigation measures in the Environmental and Social Management and Monitoring Plan (ESMMP) developed to mitigate the negative impacts and enhance positive impacts thus ensuring sustainability of the project.

### Objectives of the Study

The main objective of this ESIA was to examine both positive and negative effects of the proposed solar Mini grid on the people, their property and the environment and proposed measures to mitigate the negative impacts and enhance positive impacts during the construction, operation, and decommissioning phases of the project.

Specific objectives of the study included.

* Present an outline of the project background,
* Establish the environmental baseline conditions of the project area and review all available information and data related to the project,
* Identify key areas for environmental, social, health and safety concerns as well as the anticipated impacts associated with the proposed project implementation and commissioning,
* Undertake public consultations with the potentially affected peoples and other interested parties.
* Establish a comprehensive environmental management plan covering the construction, operation, and decommissioning phases of the project,
* Preparation of a comprehensive Project Report in accordance with the local environmental legislation and submission to NEMA for further instructions and/or approval.

### Scope of the ESIA study

The ESIA scope largely covered the following areas:

1. Baseline Conditions:

* Environmental setting (climate, topography, geology, hydrology, ecology, water resources, sensitive areas, baseline information etc.)
* Socio-economic activities in the surrounding areas (land use, human settlements, economic activities, institutional aspects, water demand and use, health and safety, public amenities, etc.),
* Infrastructural issues (roads, water supplies, drainage systems, power supplies, etc.).

1. Legal and policy framework:

Focusing on the relevant national environmental laws, regulations and by-laws and other laws and policies focusing on allied activities relative to the project in question.

1. Interactive approach was adopted for the immediate neighbourhood in discussing relevant issues including among others: land use aspects, project acceptability, social, cultural, and economic aspects,
2. Identification of Environmental impacts namely physical impacts, biological impacts, and Legal Compliance.

### Terms of Reference (ToR) for the ESIA Process

The Experts were assigned the task of carrying out Environmental and Social Impact Assessment of the proposed solar Mini grid. The scope covered various activities related to; project planning activities, construction works of the proposed development which included all works of civil, mechanical, electrical, or other nature necessary to construct, commission and decommissioning of the project. The output of this work is a comprehensive Environmental Impact Assessment project which will aid NEMA in deciding on the project. The report is also in compliance to Environmental and Social Safeguard Policies of the proponent’s development partners.

The ESIA experts conducted the study guided by the following terms of reference:

1. Establish the suitability of the proposed site/location to set up a solar Mini grid.
2. A concise description of the national environmental legislative and regulatory framework, baseline information, and any other relevant information related to the project.
3. A description of the technology, procedures, and processes to be used, in the implementation of the project.
4. A description of materials to be used in the construction and implementation of the project, the products, by-products, and waste to be generated by the project.
5. A description of the potentially affected environment/social economic and cultural setting of the project area.
6. Identification and consultation with stakeholders including the proposed project PAPs.
7. A description of positive and negative impacts of the project on the environmental, health, safety, and social cultural aspects of the community
8. Analysis of alternatives including project site, design, and technologies
9. Identification of the most appropriate mitigation measures/interventions against negative impacts during construction, operation, and decommissioning.
10. Development of an Environmental, Health, Safety and Social Management Plan proposing the measures for eliminating, minimizing, or mitigating adverse impacts on the environment, including the cost, timeframe, and responsibility to implement the measures.

## ESIA Approach and Methodology

The approach chosen in undertaking this study was careful to consider EMCA, 1999, and its 2015 Amendment requirements, as well as the Environmental Impact Assessment and Audit Regulations, 2003. It involved largely an understanding of the project background, the preliminary designs, and the implementation plan. The approach and methodology applied during the study enabled collection of both primary and secondary data. Qualitative and quantitative methods of data collection were employed. Secondary data was obtained through literature reviews while primary data was obtained through physical observations, Photography, check lists, interviews, and stakeholders’ consultation.

### Key activities undertaken during the study included the following:

* Physical inspections of the proposed project area
* Literature review of relevant documents
* Stakeholder consultations with different stakeholders and project affected persons and PAPs.
* Gathering environmental and socio-economic data of the area by use of check list
* Continuous discussions with the stakeholders and accessing other sources of information on the proposed project details, the site planning and implementation plan,
* Photography, and interviews with people in the immediate neighbourhood.
* Evaluation of the activities around the site and the environmental setting of the wider area.
* Report writing and submission.

The initial stage of this assessment was project screening. Screening of the project sought to ascertain whether or not this project falls within a category that requires ESIA prior to commencement. Other considerations made during this stage included a preliminary assessment of the environmental sensitivity of the proposed project area/site. This screening indicated that the proposed solar Mini grid is among the listed projects under Schedule 2 of EMCA, 1999 thus requires an ESIA study.

Project scoping was the next stage which was done to delineate project issues that required detailed analysis. This step involved collection of primary and secondary data through field visits and literature review respectively.

### Desk study/literature review

A critical literature review of secondary data was done to establish the following:

* Relevant legislations and institutional framework governing the proposed project.
* Licenses and permits requirements and conditions.
* Baseline information of the project area
* Types of waste likely to be generated.

Documents relevant to the proposed development were reviewed. Some of the documents reviewed included Mandera County Integrated Development Plan 2018-2022, various Kenyan legal legislations, World Bank safeguard policies, project frameworks (ESMF, VGMF, and RPF), topographical maps, google earth/maps, Kenyan government publications among others.

### Environmental, Socio-economic and Cultural Setting/Status

To gain a better understanding of the environmental, socio-economic, and cultural setting of the project site and it’s surrounding the ESIA team needed to gather primary data. This entailed collection of the data using various tools and methods. Interviews, discussions, Photography and observations and check lists are some of the methods employed in gathering the data needed from different stakeholders.

### Public Consultations

Section 17 of the Environmental (Impact Assessment and Audit) Regulations of 2003, requires that all ESIA Studies undertake Public Consultation (PC) as part of the study. The aim of the PC is to ensure that all stakeholders interested in a proposed project such as project PAPs, government officers and the general public in the vicinity of the proposed project be identified and their opinion considered during project planning, design, construction, operation, and decommissioning phases. Consequently, public consultations were carried out in the project area in a bid to inform the public and other interested parties on the proposed project and obtain their views on the same. The consultations also presented an opportunity for the community to raise issues and concerns pertaining to the project.

Public consultations were conducted thorough public barazas organized at appropriate location near the proposed site for the Mini grid. Key stakeholder’s views on the project were solicited through interviews and discussions with County officials, technical teams at Ministry of Energy and KPLC and also (KOSAP project implementation unit) officers.

#### Stakeholder Identification and Mapping

Stakeholder engagement and participation was carried out at different levels and with different stakeholders. Stakeholder’s identification and mapping was done based on the following criteria that is affected/project PAPs and interested persons or parties. The stakeholders include.

* PAPs of the proposed project who largely are the community members living within 3km radius of the proposed project.
* Interested parties include.
  + County government of Mandera various department including the office of the governor, land and environment, survey, and public administration such as ward and village administrators. In addition is the county commissioner and officers under his administration such as chiefs.
  + Members of parliament and members of county assembly.

#### Approach and Methodology used in Carrying Out the Public Participation

Owing to the different categories of the stakeholders, the ESIA team opted to employ various methods in engaging them. The methods included face to face discussions for the government officers, focused group discussions with the men, women and youth and a public baraza/meeting for the community members.

#### Mobilization for the Community Meeting

Prior to the community engagement meetings, a two weeks’ notice was done/issued to inform the community members of the meeting. This was done by the county renewable energy officer (CREO). The officer called the Chief of the area where the meeting was to take place and requested him to inform the people of the meeting in regard to KOSAP community engagement forums. The chief then informed the people about the meeting through announcement by word of mouth given by the local leaders’ key among them was village administrator and village elders in Sake village.

#### Meeting with Mandera County Key Stakeholders

A meeting was held with the governor for Mandera County and his officers on 22nd of November 2021. The main agenda was to explain the project to them and solicit their views on the project. Further, the county officers were also briefed that on the need for carrying out consultations with the target communities.

#### Public Forum/Meeting

The project team undertook community engagement forums with the target PAPs and the communities where the solar Mini grids will be set. The main objective was to explain the project details including need for land identification and solicit broad community support and acceptability of the project. One open meeting with all the community members was held. The KOSAP team explained to the community members about the project and other related information as discussed in the minutes. The meeting was then opened up for a plenary session.

Community engagement proceedings and resolutions are presented in form of minutes taken/written during the meetings. The meetings were well attended by all people including men, women, youth, and persons with special needs.

#### Focus Group Discussions

After the meetings the community members were told of the need to have focus group discussions to discuss the project further and allow the different groups more opportunities to ask questions or give suggestions regarding the project. Therefore, three separate meetings for men, women and youth were held. In these meetings the message on the project was echoed again especially on benefits and impacts (both positive and Negative) of the project to the community, rights of the community and the need to have a grievance redress mechanism and committee with representation from all groups in the community.

#### Key Informant Interviews

Key Informants were identified both at the county and locational levels and they were interviewed to obtain baseline information in regard to the proposed project. The key informant interviewed was from the education sector.

#### Stakeholder Engagement Schedule

The ESIA team identified four categories of stakeholders namely, government officials, opinion leaders at local level, elders, and the general community. Stakeholder engagement began early in the planning phases of the project. A letter was written from the Ministry of Energy to the Governor Mandera County, the County commissioner informing them about the need to undertake public participation for the proposed project. Stakeholder consultations was undertaken on the 28th of November 2021. During these meetings, project information in terms of preliminary design, positive impacts, negative impacts, mitigation measures among others were discussed with various stakeholders. The stakeholders gave their views in to the project.

Interactive approach was adopted for the immediate neighbourhood in discussing relevant information key among them being.

* Land use aspects,
* Neighbourhood issues,
* Project acceptability,
* Social, cultural, and economic aspects,
* Environmental Impacts
* Physical impacts,
* Biological impacts,
* Legal Compliance.

**Below is an outline of the basic ESIA steps that were followed during the assessment:**

Step 1: Project Concepts

The project details regarding; scope, design, implementation, tests, commissioning were first analysed.

Step 2: Terms of Reference (ToR)

The terms of Reference were developed guided by EMCA 1999 and The Environmental Impact Assessment/ Audit regulations 2003. Any new developments out of character with their surrounding must have an ESIA undertaken; for review, Approval and Licensing by NEMA.

Step 3: Project Screening

Details about baseline conditions and potential environmental and social impacts were collected through desktop study, stakeholder consultations, site visits, Photography, and inductive methods.

Step 4: Identification of Potential Environmental and Social Impacts

The Potential Environmental impacts were identified, Classified and magnitude determined.

Step 5: Impact Assessment and Consultations

The Environmental and Social Impacts were analysed, assessed, and discussed in detail involving consultations with the KPLC and other stakeholders.

Step 6: Formulation of Mitigation measures

Mitigation measures to ameliorate or minimize the potential Environmental and Socio – economic impacts were formulated for the entire project life.

Step 7: Development of an Environmental & Social Management and Monitoring Plan:

An E&SMMP for the project life was developed indicating parameters to be monitored, persons responsible, timing and costs involved.

Specific issues covered in the project report include but are not limited to:

* Name of the proponent, address, and contact person
* Title of the project.
* Objectives and scope of the project.
* Nature of the project.
* Location of the proposed project, including the physical area that may be affected by the project’s activities.
* Types of activities that will be undertaken during the project construction, operation, and decommissioning phases.
* Design of the project.
* Materials to be used, products and by-products, including waste to be generated by the project and the method(s) of their disposal.
* Potential environmental impacts of the project.
* Economic and social impacts to the local community and the nation in general.
* Views of the public/potentially affected people about the project; and
* An Environmental and Social Management Plan (E&SMP) for the entire project cycle to include mitigation measures to be taken during and after implementation of the project and an action plan for the prevention and management of foreseeable accidents during the project cycle.
* An Environmental and Social Management and Monitoring Plan *(ESMMP).*

## ESIA Procedure

**Identification of Potential Impacts**

**TOR**

**Scoping**

**Project Screening**

**Impact Assessment and Analysis**

**Formulation of Mitigation Measures**

**Development of ESMMP**

**ESIA Study Report**

*Figure 1: Summary of ESIA procedure*

## Target Group for the ESIA Report

The ESIA Report has been prepared for use by different stakeholders to be involved in the construction and operation of the proposed Mini-Grids project. This report contains useful information on policies and procedures to be adhered to, implementation modalities, analysis of potential environmental and social impacts and suggested mitigation measures at various stages of project activities. The information will be useful in planning, implementation, management, and maintenance of the project.

In this regard, the report is useful to the following stakeholders:

* Engineers to be involved in preparation of designs and plans for the proposed solar Mini grid.
* Contractors to be engaged in the construction works for the project.
* MOE and other relevant government ministries and implementing agencies such as KPLC, REREC etc.
* County Government of Mandera,
* Funding agencies.
* Project affected persons and other stakeholders.

## Assumptions

The Experts made the following assumptions in preparing this ESIA.

* All the technical data and information provided by the proponent, implementing and the specialists are accurate and up to date.
* The design features will be put in place to minimize risks from external factors which could threaten the integrity of the facility which include risks from landslides and other natural calamities; measures to minimize threats or damage from third parties e.g., terrorist attack.
* The public involvement process has been sufficiently effective in identifying the critical issues that needed to be addressed.
* The KPLC and the Contractor will implement the measures in the proposed ESMMP.
* The KPLC will undertake monitoring to track the implementation of the ESMMP to ensure that management measures are effective to avoid, minimize and mitigate impacts and that corrective action will be undertaken to address shortcomings and/or non-performances.

## Uncertainties in Compiling Information

Uncertainty arises from a variety of aspects in any development, and for this particular study report has emanated from the following:

* The changes that may occur in baseline conditions, due to external factors over the lifetime of the project.
* Uncertainty related to Proponent’s policy initiatives that might influence the assessment of future baseline and post-development conditions.
* Uncertainty in design information which should be dealt with by the definition of design parameters for the development by the Contractor and Proponent.
* Uncertainty in relation to project planning and implementation as the detailed program and means of construction may be influenced by the choice of Contractor and the detailed design of the development; and
* Uncertainty in the understanding of who the VMGs are, and their population.

# CHAPTER TWO

# PROJECT DESCRIPTION

## Introduction

This section provides a description of the project in terms of location, facilities and associated project infrastructure and activities during the project lifecycle and facilitates and identification of the potential impacts on resources and receptors that could result from project activities during the pre-construction, construction, operation, and decommissioning stages.

## Power Generation Sources

**Solar Photovoltaic Panels:** The project will harness the energy of the sun through solar panels with a capacity of 138 kWp. Solar power is a clean and renewable source, serving as the primary electricity generation method.

**Battery Energy Storage System**: A battery with a capacity of 447 kWh is incorporated to store excess solar energy, ensuring a continuous and stable power supply, even during periods of low solar irradiation.

**Diesel Generator**: A Diesel Generator with a capacity of 75 kVA is integrated into the system to provide backup power during periods of low solar generation or high demand.

**Fuel Tank for Diesel Generator**: A 2,000-litre fuel tank is provided to store diesel fuel for the generator, ensuring uninterrupted power supply during extended periods of low solar generation.

## Inverters and Chargers

**PV Inverter**: The project includes a PV inverter with a capacity of 115 kW, which converts DC electricity generated by the solar panels into AC electricity suitable for consumer use.

**Battery Inverter Charger**: With a capacity of 102 kW, the battery inverter charger manages the energy flow to and from the battery storage system efficiently, optimizing the system's overall performance.

## Power Demand Metrics

**Monthly Energy Demand**: The project is designed to meet a monthly energy demand of 15,764 kWh, effectively addressing the specific energy needs of the community.

**Daily Energy Demand**: A daily energy demand of 525 kWh is proposed, ensuring a consistent and reliable power supply for various applications.

**Peak Demand**: The system is tailored to accommodate a peak demand of 60 kW, effectively addressing high-demand periods and ensuring uninterrupted access to electricity.

## Power Distribution Network

**Low Voltage (LV) Network**: An 11-kilometer low voltage power distribution network will be established to efficiently transmit electricity to consumers. This network ensures a stable and reliable power supply while minimizing energy losses.

**Medium Voltage (MV) Network**: In this project, no medium voltage network is required, as the focus is on low voltage distribution.

**Transformer Step-up**: The project does not include a step-up transformer, as it is not required for voltage adjustment.

**Transformer Step-down**: Similarly, there is no provision for a step-down transformer, as the project is designed for low voltage distribution.

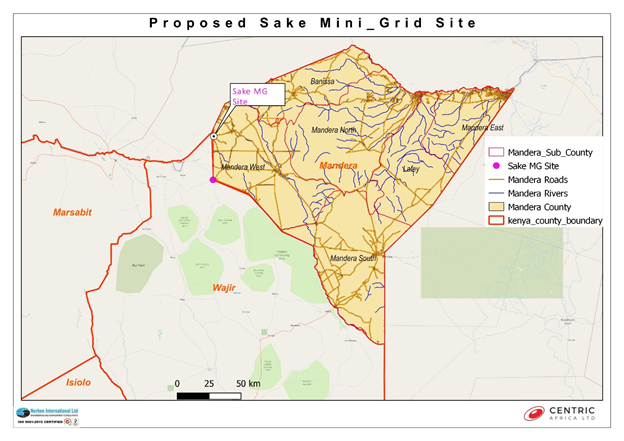
The components of the proposed solar mini grid are provided as follows.

*Table 4:* Component of the proposed Solar Mini-grid

| **S/NO.** | **PARTICULARS** | **DESCRIPTION** |
| --- | --- | --- |
| 1. | Project location | The project is located within Sake town in Sake village, Dandu Ward, Mandera West sub county in Mandera County.  The proposed solar mini off-grid will be located on 1.0025 hectares  The solar mini grid will contain Solar panels, batteries, invertors, perimeter fence and length of low voltage line. |
| 2. | Proponent | Ministry of Energy |
| 3. | Administrative location | Sake, Mandera West Sub county, Mandera County |
| 4. | Location Coordinates | **Latitude** 3**0** 38**’** 57.668**”N** **and Longitude** 390 47’ 7.258”E |
| 5. | PV Capacity | 138 kWp |
| 6. | LV Network | 11 km |
| 7. | Climatic condition | Average Temperatures range from 31°C  The area receives an average of 240 mm of rainfall per year. The rainfall is usually erratic and short making it unfavourable for vegetation growth. There are two rainy seasons. Short and long rains. The short rains are experienced between October to December and the long rains from March to May each year |
| 8. | Average Elevation | 240m annually |
| 9. | Site Conditions | The site is generally in an open area with minimal *fauna* and *flora*. |
| 10. | Road Accessibility | Earth road |
| 11. | Nearest Airport | Mandera International Airport |
| 12. | River/canal/nallah/ pond present in project footprint | No rivers are present in the village |
| 13. | Protected areas (National Park/ Sanctuary)/ Forest land within 10 kms | None |

## Project Location

The project site is in Sake, Mandera West sub-county in Mandera County at coordinates **Latitude** 3**0** 38**’** 57.668**” N** **and Longitude** 390 47’ 7.258” E. The proposed mini grid will be constructed on approximately 1.0025 hectares. The site soil is primarily sandy within the area. The project site is within Sake village. The site is fairly flat and accessible via main access road in the village. The site is adjacent to the village public dispensary and about 150m to Sake public Primary school and residential houses. The site is currently used as the grazing field by members of the community.



****

*Figure 2: Project Location map in context to the larger County & immediate sites*

### Project site setting

The proposed Sake mini grid is in Mandera County. It falls under Lot 3 of cluster 3 which has a total of 47 mini-grids. Geographically Sake site falls on coordinates **Latitude** 3**0** 38**’** 57.668**” N** **and Longitude** 390 47’ 7.258” E. The site is currently used as the grazing field by members of the community and characterized by acacia trees, shrubs, and withered grasslands. The site is near human settlements with the nearest household being approximately 150meters and adjacent the public village dispensary and primary school.

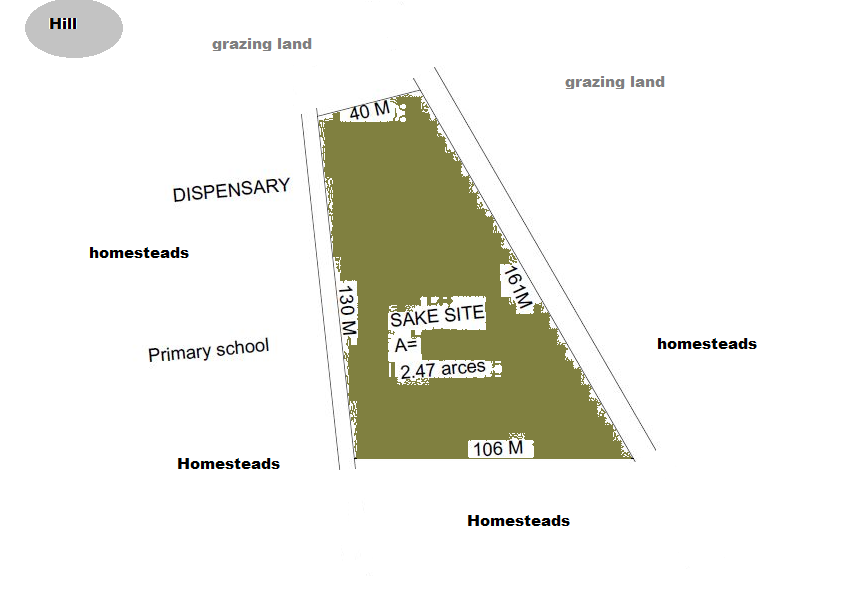
Other land uses in the neighborhood include an urban setting, playing grounds, worship (mosque), school, social and cultural gatherings among others. The proposed mini grid will have very minimal negative impact to other existing land uses since it will attract the installation of solar panel that will use the sunshine rays to produce electricity.

Following comprehensive consultation during the preparation of the National Electrification Strategy with the County Governments, NGOS, CBOs and local communities, the government identified possible sites within 14 counties that would benefit from solar mini grids. Consequently, NRECA a consulting firm was tasked to undertake a feasibility study and map out the possible project site in Mandera County and Sake is one of the areas that was identified.

Land in Sake is in the centre of the village next to the dispensary and opposite primary school and is unregistered communally owned land. The portion of land identified for the Mini grid by the community is part of the land that the community had set aside for public purposes i.e., land which the community had set aside for social service projects. The land is about 50 acres, and the projects are allocated as they come with nearby public infrastructures including the mosque and religious school known as madrasa. Consultations with the community indicated that the land is purely set for social services projects. The community said that since the land (5 acres) is already identified for social service project (those that benefit the community) the mini grid was also found to fall in this category and so it was allocated 1.0025 hectares. No objections were raised by the community regarding transferring land 1.0025 hectares for the mini-grid) to KPLC for management of the solar mini-grid.

The site is conveniently located and surrounded by homesteads with the nearest about 150metres and public facilities such as a dispensary, borehole and water tanks, and primary school. The community gets water from a borehole adjacent the site and earth dam near hill to the western edge of the village. The site is well accessed via an 18metres main Dandu-Sake Road.

The site has dense vegetation of acacia type, shrubs, and seasonal grass all around with the site utilized for grazing by few livestock kept within the village. The major land uses near and around the proposed project site include residential facilities, grazing area, public facilities (dispensary, borehole, a school within a radius of 1km) and main Sake shopping Centre which is about 800metres from the project site. The area is sparsely populated. The shopping Centre is approximately 30meters from the proposed project site as shown in Figure below.











*Figure 3: Project location showing immediate neighbourhood*

Grievances in the community are addressed by through elders who are headed by one village elder in partnership local administration like chief and his assistant in the village. Grievances that cannot be solved by the elders are referred to the Subcounty administration in Dandu including the Assistant County Administrator and other elders through National Government conflict resolution mechanism.



*Figure 4: Illustration sketch of the proposed design of the proposed project*

#### Powerhouse

The Battery, Multi-mode inverter and all monitoring equipment will be installed indoors with adequate air ventilation accordingly to the manufacturer’s recommendations. Thus, a powerhouse or a containerized solution, considering the equipment manufacturer’s recommendations shall be installed. All electrical boards and LV protections will also be installed indoors. The batteries will be installed in the powerhouse in a separate room, specifically for their use and meeting the electrical safety requirements according to its voltage class.

#### Battery

The battery considered is lead-acid, deep discharge type with a permissible repeated deep discharge without damage. Automotive or starting type batteries are not acceptable. It shall be of the open “vented” OpzS type with recombination caps and transparent enclosure for easy inspection of electrolyte level.

OpzS stands for:

O = Ortsfest (stationary)

Pz = PanZerplatte (tubular plate)

S = Flüssig (flooded).

Other batteries can be considered:

1. OpzV type, “gel” lead-acid batteries are “maintenance less” but the unit weight is higher and the lifetime is sensitive to high temperatures.
2. Li-ion batteries, have longer lifetime, are lighter and smaller. But they have a higher investment cost and are not adapted to high air temperature so that an additional active cooling system is needed.

The batteries must be manufactured according DIN 40736-1: “Stationary batteries with tubular positive plates. Capacities, measurements and weights”. The battery array will have 12 batteries.

##### Battery Rating

The battery nominal voltage does not need to be established at this stage and different technology providers may offer different solutions on this issue. Nevertheless, it must be noted that the voltage class, either ELV or LV, will determine the electrical isolation and accessibility requirements of the battery room. The battery shall have at least the rated capacity of 2.16V at the C10 discharge rate according to DIN 43539-9.

##### Battery Performance

The battery shall have a self-discharge when new of less than 5% per month (at 25oC and fully charged) of its rated capacity and shall have a Coulombic efficiency of at least 85% and energy conversion efficiency of at least 85% when new and charged to more than 50% of capacity. The battery cycle life for discharge/charge regular cycles down to 80% DOD shall be more than 1500 cycles (According to IEC 896-1).

##### Lifetime

The design lifetime of the batteries shall be of at least 8 years without losing more than 10% of the rated C10 capacity. When the batteries get damaged, they will be stored separately at the site and then transported to Nairobi for proper disposal.

##### Battery Cabling and Protections

The battery connection point shall be as close as possible to the Multi-mode Inverter. Cables used to connect the battery shall have a temperature rating higher than 20 °C above ambient temperature. It is recommended that they be flexible (multithreaded) to allow for easy installation and maintenance. Fuses in cables that connect components to the battery shall be rated for D.C. use, be installed separately as close as possible to the battery terminals and rated to interrupt high fault currents from the battery. A neutralization kit will be provided at the site to manage any battery acid spills that may occur.

#### Land Tenure

The proponent will acquire the 1.0025 hectares for proposed project. ARAP has been done to address the acquisition and compensation for the proposed project. The sub-project site will be acquired by NLC compulsorily and affected communities compensated.

#### Compensation Details

Compensation for the land acquired for the proposed project will be in kind. NLC will acquire the 1.0025 hectares land in Sake compulsorily, The MOE will pay compensation in kind through implementation of projects in water, education and health sectors. Sake community requested for connection of piped water to the village, construction of classrooms at the school and construction of laboratory and wards at the health center.

## Site Ownership

The proposed works will be carried out on a 1.0025 hectares proposed site which the community identified for setting up the project. Stakeholder engagement with the community on this matter has been conducted.

## Project Cost

The project cost is estimated at USD **710,168.**

## Construction Contractor

The construction contractor is responsible for building the physical infrastructure required for the mini-grid project. In this case, the infrastructure includes the installation of solar panels, battery storage systems, a diesel generator, inverters, and the low voltage power distribution network.

Their specific responsibilities will include site preparation, installation of solar panels, setting up the battery storage system, configuring the diesel generator, and laying down the distribution network.

The construction contractor will be responsible for ensuring that the components are installed correctly and meet the required standards for safety and performance. They may also manage the workforce, logistics, and project timeline to ensure that construction proceeds smoothly and is completed within the specified timeframe.

## Operation and Maintenance (O&M) Contractor

The O&M contractor will be responsible for the ongoing operation and maintenance of the mini-grid system once it is operational. The construction contractor will also double up as the O&M contractor. In this project, their responsibilities include monitoring the performance of the solar panels, battery storage system, and the diesel generator to ensure the continuous and reliable supply of electricity to the consumers. The O&M contractor must carry out regular maintenance tasks, such as cleaning and servicing solar panels, inspecting and maintaining the battery energy storage system, and ensuring the diesel generator is in good working condition for backup power needs. They are responsible for addressing any technical issues or faults that may arise, as well as responding to consumer complaints and inquiries related to the electricity supply. The O&M contractor plays a crucial role in maximizing the system's efficiency and longevity by ensuring all components operate optimally.

The contractor will be required to have their own Environment, Health, and Safety (EHS) policy and an EHS officer on site. In the context of the mini-grid project, it will outline the contractor's dedication to upholding safety standards, minimizing environmental impact, and adhering to legal requirements. The presence of an EHS officer on site will be equally essential. Their role will be to oversee and manage all EHS concerns directly at the project location.

## Access to the Site

It is proposed that the Sake Solar Mini-grid will have one access road, which will be designed according to KPLC’s standards, taking into account the Ministry of Road’s requirements. The Solar Mini-grid will be accessed from the Dandu-Danaba-Sake road. The 18metre road in the village is a well maintained murram road, and the proposed site touches the said road. This is advantageous because no new road will be required. However, a proper access to the site and drainage will be constructed to safely access the Mini -grid site and to avoid flooding.

## Fencing and Security

The proposed site is within Sake village. The site is in an area that is basically open and in close proximity to residential and public facilities. This calls for proper security measures to be put in place to protect both human and domestic animals from accessing the Solar Mini-grid site. Therefore, the Mini-grid will have a chain link fence to keep off the electrical installation away from access by unauthorized persons or animals. A gate will be constructed at the entrance to the site which will be locked at all times. The Mini-grid will be lit at night, and a photocell will be used to automatically switch on the lights at a set time each evening. The Mini-grid will also be guarded at all times by two security guards during the day and two guards at night.

## Fire Safety

There is potential of fire on the site and this will be avoided by the provision of fire protection and firefighting equipment including fire extinguishers, signage, danger plates and name plates. The fire equipment will be placed where they are visible and easy to reach.

## Vegetation Undergrowth

Concrete will be used on surfaces where it is required leaving the rest of the areas covered with vegetation. Vegetation undergrowth will be managed by regular slashing and cleaning up of the site compound.

## Project Activities

The final design and construction of the Solar Mini-grid will be undertaken by a contractor selected through a competitive bidding process. Construction will be supervised by KPLC to ensure works are undertaken in accordance with specifications. This is to ensure quality work is achieved.

It is anticipated that the proposed site will undergo alteration during construction to install the Solar Mini-grid and associated structures. Some of the activities envisaged in this project include site clearance and levelling, civil works and construction of utilities and structures for the facilities, installation and connection of the power plant as described in the section below.

Safety protocol, requirements and precautions and established National and International Environmental protection regulations/ standards as well as all management plans proposed under this ESIA report for this project, shall guide the contractor and project operator during the project cycle. Modest construction procedures will be followed to reduce noise and vibration levels and the production of dust and any form of pollution that may affect the neighbouring community within the project area.

## Construction Procedures

All construction activities including ground preparation, earth moving, materials delivery, building, walling, roofing and the installation of amenities (power, water, communication equipment, etc.), fittings (doors, windows, safety provisions, etc.) will be carried out by competent personnel obtained through respectable contractors to ensure consistent high standard of finish and providing superb value for money.

### Construction Activities Outline

Construction activities will involve the following:

* The contractor shall perform site investigations in good time to ensure appropriate designs and construction is done on a sound engineering basis.
* Site preparation (ground-breaking, clearance of vegetation, preparation of a site office and stores, fencing to avoid intrusion),
* Disposal of any soil that could is not required, excavations/earth moving, filling and foundation laying,
* Procurement of construction materials and delivery of the same to the site,
* Storage and utilization of materials,
* Civil, mechanical, and electrical works,
* Building works, trampling and removal of construction wastes,
* Construction of fuel storage tank
* Installing of containerized generators
* Piping of fuel lines
* Cabling
* Installation of the Mini-grid
* Completion of the plant
* Post construction clean–up, restoration and landscaping of site
* Load testing
* Remedying of defects after functional tests
* Solid waste collection and commissioning of the plant.

During construction, the contractor shall observe safety and shall erect warning signs to warn on any potential hazards, ensure proper and efficient use of Personal Protective equipment (PPE) for all on site and observe safe work procedures.

#### Soil Excavation

Soil will be excavated to pave way for the construction of the Solar Mini-grid. Soil excavation process shall be done with utmost care to ensure that the excavated soil is not improperly heaped or not carried away by any surface flows to any nearby surface waters causing siltation. The excavated soil will be used to backfill, and any remainder shall be disposed appropriately in accordance with the environmental management plan. Company safety and environmental policy and other established local environmental protection regulations/standards shall guide the contractor. This will include appropriate safety wear at all times and the contractor will appoint a safety officer on site during all construction activities.

#### Construction Supervision and Safety

Throughout the construction phase, supervision shall be carried out by the KPLC to ensure:

* Workers use personal protective equipment (such as hand gloves, helmets, safety shoes earmuffs, overalls and dust coats) at all times as is appropriate
* Motorized equipment is checked to ensure that they are in good working condition, safe to use and produce minimal noise levels and reduced smoke emission.
* Provision of first aid kit and firefighting equipment (portable cylinders) and placement at strategic positions for access
* Proper disposal of waste material and toilet facilities are provided for construction workers
* Emergency response procedures are in place and all workers are aware of them like in case of fire.
* Any work involving deep excavations, elevated heights and lifting heavy loads, poses a number of risks to personnel. The contractor shall develop a worksite plan before commencement of each of the construction. This will ensure that personnel are equipped with the correct protective clothing and equipment and are ready to work safely while also safeguarding the environment.
* Workers shall be provided ablutions facilities and changing rooms.

#### Mini-grid Components

The following components are planned to be constructed and operated on site. The same will need to undergo regular maintenance during the operation phase.

1. Technician Room
2. Battery Room
3. Generator Room
4. PV Array/Panels
5. Distribution network
6. Guard house.

### Operation Phase Activities

The Solar Mini-grid will be operated and maintained by the contractor for the first seven years and then handed over to KPLC engineers and operators. During operation phase of the project, no unauthorized person shall access the Solar Mini-grid site. This is in line with company policy to ensure safety of staff and the public. Routine maintenance is to be done under supervision by authorized staff.

Throughout the project life, the KPLC shall adhere to all requirements of National Environmental Management Authority (NEMA) licensing conditionalities and any other applicable legislation regarding environmental and socio – economic impacts.

### Project’s Decommissioning Activities

Kenya Power and lightning Company shall submit a decommissioning plan to NEMA in good time prior to decommissioning. The decommissioning plan should include a restoration plan.

At the decommissioning/demolition phase, the following activities will take place.

* Removal of Solar Mini-grid panels and Diesel Generator and their associated switching equipment’s
* Removal of electrical fittings, bus bars and steel poles/structures
* Demolish and carefully handle components that contain oil and fuels like the Diesel generators
* Ensure proper handling of the demolished materials and have an authorized and guided transportation and disposal away from human settlement, water bodies and wildlife conservation area in line with NEMA requirements for safe disposal
* Demolish and remove all the concrete works

The host environment should be rehabilitated and restored to its former state through:

* Approved and appropriate landscaping methodology.
* Planting of vegetation.
* Removal of any soils that may have been impacted by oils or fuels for offsite (away from the project area) remediation.

## Construction Materials, Equipment and services

All materials that will be used in construction of this project shall be of high quality in line with the Kenya Bureau of Standards. Sufficient materials and equipment shall be purchased and stored on site to avoid wastage. Most of the materials are locally available and the contractor should source from within the project area.

### Input Materials and Equipment & Machinery

Works and construction activities are expected to use quality construction materials and procedures to ensure quality work, occupational and public safety and environmental protection. The following inputs and equipment will be required for construction:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Lorries | Plumbing equipment | Concrete mixers | Welding machines, wheelbarrows | Paints, solvents, whitewash, etc., |
| Electrical equipment | Excavators | Lightning arrestors and Steel structure members | Timber (e.g., doors and frames, fixed furniture, etc.) | Labour force (of both skilled and unskilled workers) |
| Generator Sets | Bus bars, Switch gears, Circuit breakers | | Water | Sand |
| Solar panels | Conductors | Poles | Meters | Fuels (Diesel) |
| Hardcore | Building stones | Glass | Raw construction materials (Sand, cement, natural building stone blocks, hard core, gravel, concrete among others) | |

### Use of Services and Resources

#### Water

Water is key in the construction of this project. Water will be required for potable use and in the construction of the foundations for the control room, guard house and any other works. The contractor will source water from elsewhere rather than the community dam because water may not be enough for the community for use during construction and operation.

#### Labour

The size and the composition of the workforce will be at the discretion of the contractor(s). The contractors will adhere to the Employment Act of 2007 in the recruitment and management of the employees. It is recommended that the contractor seeks unskilled labour from the surrounding areas.

#### Sewerage

A negligible sewerage flow is anticipated for the duration of the construction period. On site, use will be made of toilets that will be serviced periodically. For operations, a similarly negligible amount of sewerage will be generated. The area is not served by a sewer system and the KPLC will make use of septic tanks to dispose waste during operation period.

#### Roads

Existing roads will be utilized as far as possible during the construction and operational periods. No new road will be constructed because there is an existing road to the Solar Mini-grid. The flow of traffic to the site during the construction period will increase and management of traffic will be paramount.

During operations there will be virtually very low traffic considering because once operational the Solar Mini-grid will require minimal maintenance.

#### Electricity

Electricity will be essential for the proposed project both during construction and operation. The contractor will have to have a portable generator during construction for fabrication and welding where necessary but Kenya Power and lightning Company will provide electricity for operations from its constructed Solar Mini-grid electrical network in the area since the area will be well served with power after competition of the Solar Mini-grid.

The project KPLC should ensure that all material sourcing does not trigger any environmental or social impacts. All hazardous materials should be handled according to the NEMA regulations on hazardous waste. All new unidentified impacts should be mitigated and managed in a responsible manner throughout the project cycle by the contractor and the project operator.

## Products, By-Products and Waste

The sections below provide an overview of the products, by-products and wastes to be generated by the project. Most of these will be generated during the construction phase of the project while some will be generated during the operation and decommissioning phases.

### Construction Phase

The final product after construction phase is a modern Solar Mini-grid and its associated structures.

#### By-products

During the construction phase of the project no by-products is envisaged.

#### Waste

During construction the proposed project is anticipated to generate different waste which shall include:

#### Domestic Waste from the Construction Area

The workers will not be supplied with any forms of foodstuffs. They are expected to buy or carry their own food. Plastic bags and containers which the workers will use to carry their food are expected to increase within the site. Other forms of waste include sanitary waste and therefore the provision of sanitary facilities will need to be considered both for the site construction workers and any other person coming to site.

#### Site Construction Waste

The project will generate waste from the site construction activities which includes:

* Excavated soils and vegetation.
* Construction equipment and maintenance wastes.
* Dust and fumes.
* Scrap metals.
* Packaging materials, etc.
* Metal cuttings generated from the construction activities
* Any excess construction materials brought to the project site by the contractor which can be reused later.

#### Dust

The construction activities that will occur particularly during the site excavation process will generate dust and other particulates particularly during dry weather conditions that will be released into the atmosphere.

#### Smoke Emissions

The site machinery, equipment and trucks brought in by the contractor are expected to generate smoke emissions when in operation during the construction activities. The concentration of emissions will depend on the maintenance levels of the equipment, machinery and trucks used by the contractor.

### Operation Phase

#### Products

The primary product of the proposed project during the operational phase will be electricity generated from Solar Mini-grid and distributed.

#### By-products

The by-product anticipated to be generated during operational phase is used oil.

#### Waste

The wastes that will be generated will include.

#### Domestic Waste

Some of the domestic waste to be generated at the facility include wastepaper and empty cans. Other waste will include sanitary facilities effluent which will directed to septic tank.

#### Process Waste

Some of the waste anticipated from the process will include used/ waste oil from stand by generator which will require to be managed well by ensuring containment of any spillage and incineration oily rags used during maintenance of generators. Solid waste will include faulty or obsolete batteries and solar panels, conductor and scrap metals during replacement which takes several years before being replaced.

### Decommissioning Phase

#### Products and By-products

During the decommissioning phase it is expected that there will be no product. However, the by-products during decommissioning phase will include:

* Metal generated from the decommissioning of Solar Mini-grid and associated infrastructure; and
* Foundation materials which can be donated to individuals for reuse

#### Waste

During the decommissioning phase of the proposed project, several waste products are expected to be generated. These shall include:

* Remains of concrete from demolition of Mini-grid foundation
* Dusts and fumes.
* Scrap metals.
* Solar Panels.
* Batteries.
* Generator.

#### Dust

The activities that will occur particularly during the demolition process will generate a considerable amount of dust and other particulates that will be released into the atmosphere.

#### Smoke Emissions

The demolition machinery, equipment and trucks used are expected to generate smoke emissions. The concentration of emissions will depend on the maintenance levels of the equipment, machinery and trucks used by the contractor.

### Safety of the Facility

As is with other projects, the proposed project is prone to both natural and man-made disasters. However, it is difficult to prevent the occurrence of natural disasters, but the consequences could be reduced by engineering measures. Man-made disasters on the other are preventable. The following safety concerns will be addressed in the proposed project.

#### Natural Disasters

In order to reduce the impacts of any potential natural disaster, the proposed project will be designed according to acceptable standards and code and shall be able to reasonably withstand any impacts which may arise as a result of the worst credible seismic event.

#### Malicious Damage or Theft

The proposed project could be prone to malicious damage such as terrorist attack or theft. To prevent the occurrence of such events, the following measures will be taken:

* Regular monitoring and inspection of the project and its associated infrastructure.
* 24-hour guard of the premises/office block

#### Hazard Risk Assessment

An emergency response procedure will be prepared by the KPLC and be communicated to the contractor. As a minimum requirement, the emergency management plan will cover the following aspects:

* Safety regulations
* Scope of the safety emergency plan
* Notification of local authorities
* Details of the proposed project
* Aim of the safety emergency plan
* Objectives of the study emergency plan and evacuation procedures
* Emergency arrangements, procedures and plans
* Roles and responsibilities in the event of an emergency
* The role of local communities
* Regular testing of the safety emergency plan
* The risk assessment will include as a minimum:
  + - A general process of the project being investigated
    - A description of the potential major incidents associated with that type of installation and the consequences of such incidents
    - An estimation of the probability of a major incident
    - A copy of the site emergency plan
    - An estimation of the damages in the case of an explosion or fire
    - An estimation of the effects of toxic gas releases.
    - The potential effect of an incident on the project or part thereof or an adjacent project or part thereof.
    - The potential effect of a major incident on any other installations, members of the public and residential areas.
    - Meteorological tendencies
    - The suitability of existing emergency procedures for the risks identified.
    - Any requirements laid down in the OSHA 2007 and EMCA 1999.
    - Recommendations regarding any organizational measures.

# CHAPTER THREE

# ANALYSIS OF PROJECT ALTERNATIVES

## Consideration of Project Alternatives

In this chapter, various alternatives available to the project are discussed. The alternatives are as follows; “no-go/do nothing” alternative, alternative construction materials and technology, the alternative Mini-grid site and alternative sources of energy identified during the ESIA process.

The identification and examination of alternatives is fundamental to environmental assessment. It provides decision-makers with information that enables them to properly consider optimal solutions to development proposals. Alternatives illustrate and contrast the environmental implications and consequences of different options available to achieve the same end. In this way, both the KPLC and the authorities who must consider granting the authorization, are put in a position where all involved are able to make informed choices or decisions.

This section analyses the project alternatives in terms of site, technology, and waste management options.

## 3.2 Land identification criteria

Minigrid Sites under KOSAP were selected based on a number of factors.

1. Geophysical Factors-Proximity to Hills-Shade effect, Soil erosion, Drainage of the area, Flooding etc.

2. Land identified is free from any dispute on ownership or any other encumbrances

3. Proximity to public utilities-Schools, Dispensaries, Places of worship and community settlements

4. No squatters, encroachers or other claims to the land

5. The Size of the Minigrid to be constructed and the optimal coverage of a Minigrid in terms of the number of people to be reached.

6. The Land identified should be on spaces set aside for public use within the community centres.

The land was identified by the beneficiary communities and confirmed by technical staff to be suitable for the sub-project and free from any environmental or health risks. The impacts on the Community will be marginal and will not result in displacement of households or cause loss of household’s incomes and livelihood.

The site identified was considered against the criteria highlighted above and was found suitable for Minigrid construction.

## Relocation Option

Relocation option to different sites is an option available before the project implementation. At present the project KPLC does not have alternative sites in the general direction of the proposed sites. This means that the project proponent has to look for the alternative lands. Looking for the lands to accommodate the scale and size of the proposed project and completing official transaction may take a long time although there is no guarantee that the land would be available.

This project is to improve electrification and accessibility to an already established market center. Several alternatives were considered to improve other areas, but this one was selected because it meets the electrification needs of the area.

In consideration of the above concerns and assessment of the current proposed sites, relocation of the projects is not a viable option.

## Zero or No Project Alternative

The No Project option in respect to the proposed project implies that the status quo is maintained. This option is the most suitable alternative from an extreme environmental perspective as it ensures non-interference with the existing conditions. This option will, however, involve several losses both to Sake village and the community as a whole. The target PAPs will stay without electricity and the government objectives of bring electricity in order to open up the area and provide better public services will not be realized. The No Project Option is the least preferred from the socio-economic and partly environmental perspective due to the following factors:

* The socio-economic status of target communities the local economy would remain unchanged.
* Generation of employment opportunities through expansion of business activities that would have been spurred by availability of electric power will not occur.
* Opening up the area for investors will not occur.
* Health benefits that come with electricity will not be realized.
* The targeted consumers will forgo the desired electricity supply in the area.
* The country won’t meet its energy requirement.
* The objectives of the government’s efforts towards achieving Vision 2030 will not be realized.

From the analysis above, it becomes apparent that the no project alternative means no project to the local people and the Government of Kenya, and the benefits outlined above and other indirect benefits that would accrue from construction of the proposed project.

*It is thereby concluded that the ‘do-nothing’ option is not a good option economically and should therefore be discouraged and rejected. It is therefore imperative for KPLC to establish a new solar mini grid in the area and supply the community with clean energy.*

## Alternative Sources of Energy

### Thermal Power Generation

Thermal power through installation of Diesel Gen Sets is an option which can be considered to provide power to Sake. This would need more than 500litres of Industrial Diesel Oil (IDO) is burnt daily to generate targeted 97kWp of electricity at Sake. Thermal generation can also be fueled using alternative fuels such as natural gas, bio diesel, industrial kerosene, heavy vehicle fuel, coal, and unleaded petrol. Thermal power generation has serious negative environmental impacts including generation hence the need for the KPLC to install the proposed solar power plant.

### Hydro Electric Power – HEP

This would mean exploring the possibility of extending the existing national grid to Sake since there are no hydro facilities within the region to facilitate HEP generation. The proposed project is quite far from the national grid hence this is a costly venture and may take time before the resident’s power need is facilitated.

### Other Sources of Energy:

Wood fuel is the main source of Energy contributing to 80% of energy requirements in Africa. Over reliance on wood has led to deforestation, desertification, global warming, and climatic change among other socio – economic demerits. The Government of Kenya should look into the possibility of using nuclear energy to generate electricity. This is a long-term consideration and also has several deleterious effects to the environment and human health. Nuclear Waste disposal will also create a huge environmental challenge.

Based on this discussion the proposed solar Mini grid presents the most appropriate option of electrifying/ bringing power to Sake in terms of technology, cost, and environmental considerations.

## Analysis of Alternative Construction Materials and Technology

The proposed solar Mini grid will be constructed using modern, locally, and internationally accepted materials to achieve public health, safety, security, and environmental aesthetic requirements. Equipment that guarantees efficient use of locally available materials will be encouraged to ensure reliability in supply with minimum power loss and good design to allow efficient distribution of power in the area.

The support structures in the Solar Mini-grid can be wooden or steel. Because of its durability and strength, steel is the best choice, and all support structures will be steel. Perimeter fence can be a reinforced wire mesh fixed to support structures that can be wooden, concrete, or steel. Alternatively, a stone perimeter wall can be constructed, and this is the option of choice since it is more durable, offer better protection and requires less maintenance.

The design of the solar mini-grid will be easy to install and dismantle with minimum labour requirements and maintenance costs will be minimal. The process material that are input for the proposed project such as generator diesel fuel and oil and water for cooling the generator and for cleaning purposes are critical elements. There is no alternative for generator oil and water for standby generator cooling and for mini-grid facilities cleaning water. So, the task was to assess alternative water and Diesel generator oils and fuel sources for the project.

## Solid Waste Management Alternatives

A lot of solid wastes will be generated from the proposed project. An integrated solid waste management system is recommendable. First, the KPLC will give priority to reduction at source of the materials. This option will demand a solid waste management awareness program in the management and the staff. Recycling and reuse options of the waste will be the second alternative in priority. This will call for a source separation program to be put in place. The third priority in the hierarchy of options is combustion of the waste that is not recyclable. Finally, the KPLC will need to establish partnership with NEMA approved waste handlers for regular waste removal and disposal in an environmentally friendly manner. In this regard, a NEMA registered solid waste handler would have to be engaged. This is the most practical and feasible option for solid waste management.

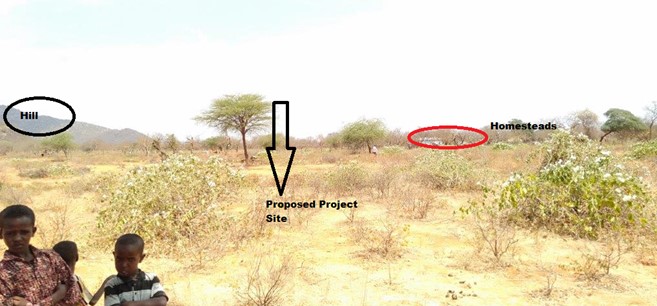
## Alternative Solar Mini-grid Site

In determining the most appropriate site for the establishment of the mini grid, several options were explored. Mini grid Sites under KOSAP were selected based on a number of factors as detailed below.

1. Geophysical Factors-Proximity to Hills-Shade effect, Soil erosion, Drainage of the area, Flooding etc.
2. Land identified is free from any dispute on ownership or any other encumbrances,
3. Proximity to public utilities-Schools, Dispensaries, Places of worship and community settlements.
4. No squatters, encroachers, or other claims to the land.
5. The Size of the Mini grid to be constructed and the optimal coverage of a Mini grid in terms of the number of people to be reached.
6. The Land identified should be on spaces set aside for public use within the community centres.

The land was identified by the beneficiary communities and confirmed by technical staff to be suitable for the sub-project and free from any environmental or health risks. The impacts on the Community will be marginal and will not result in displacement of households or cause loss of household’s incomes and livelihood.

The site identified was considered against the criteria highlighted above and was found suitable for Mini grid construction.



*Figure 5: Final Site Layout Plan for Sake Project Site*

# CHAPTER FOUR

# BASELINE SETTINGS- ENVIRONMENT, ECOLOGY AND SOCIAL

## Introduction

This chapter describes the existing bio-physical and socio-economic context of the proposed project area which acts as the basis for the identification and assessment of the potential environmental and social impacts of the proposed project. It provides both the project specific information of the project’s area of influence as well as the regional baseline information derived from secondary information.

## Environment Baseline

### Project Location

The proposed Sake mini grid is in Gither Ward, Mandera West, Mandera County. It falls under Lot 3 of clusters 3 which has a total of 113 mini grids as depicted by figure below. Geographically, Sake site falls on coordinates (**Latitude** 3**0** 38**’** 57.668**” N** **and Longitude** 390 47’ 7.258” E).

The proposed solar mini grid will be located on a 1.0025 hectares piece of land. The project location is on the Wajir -Mandera County border.

Mandera County is one of the 3 counties in the Northern Eastern region of Kenya. It borders Wajir County to the South and West County and the Somalia to the East and Ethiopia to the North. The County is located in the Northern Eastern most tip of Kenya with about 25,798 km² in land size.

****

*Figure 6: Project Site Location Map. Source: Google Earth 2023*

### Geology and Soil

The village is generally covered with young sedimentary rocks with sandy loam soils all around with undulating terrain towards the North Kenya-Ethiopia border direction. The soils in the project location were predominantly sandy loam soil with moderate water retention capacity and good drainage. Signs of soil erosion observed due to sloping landscape was observed onsite.

### Topography

Sake village is sandwiched between hills and lies 900 meters above sea level and along **Latitude** 3**0** 38**’** 57.668**” N** **and Longitude** 390 47’ 7.258” E. The village is low lying, characterized by sparse vegetation of thorny shrubs savannah type interspersed with a few larger drought resistant tree types like acacia and commiphora species. This is especially found along foots of isolated hills, and the area is covered by bushes, shrubs, boulders and invasive Prosopis juliflora ‘mathenge’ coverage along dry riverbeds or laghas as they are locally known. The flat plains make drainage very poor, causing floods during heavy rain downpours. There are no lakes, swamps, or dams but there are earth pans.

### Hydrogeology and Drainage

In Sake village, the notable hydrological features are sizeable the seasonal lagha that runs to the East of the Project site, a borehole and a water pan. Most of the flowing water resources and laghas have a North Westerly directional flow flowing eastwards towards Kenya – Ethiopia border. During the rainy season, there are several seasonal swamps/temporary wetlands. These swamps and drainage serve as dry season grazing zones at the same time allow some cultivation for extended periods of time. During the rainy season water collects in the earth dams forming water points for the domestic and livestock use. Percolation of water in the sandy flood plains and subsequent high evaporation rates makes water scarcity a regular affair for the community thereby reliance on borehole for supply for most of the year.



*Figure 7: Topography of the Project area showing hills. Source: Google Earth 2023*

### Ground Water Development

The ground water resources were majorly identified during the site assessment by means of observation and selected data hydrological model of the area. The site has no borehole yet with proposal to drilling being considered by County Government. In addition, no streams or water channels observed near the project site.

The village has an earth pan located 6km from proposed site and it supplies the market and the dispensary as well as residents.

### Ecological Conditions

The project area encompasses low trees, grass, and shrubs with area classified as a semi-arid area falling in the ecological zone IV-VI. Zone V receives rainfall between 300-600mm annually, has low trees, grass, and shrubs. On the other hand, zone VI receives an annual rainfall of 200-400mm. The main economic activity practiced in the project area is livestock keeping and seasonal small-scale farming. The area is characterized with dense vegetation mainly thorny shrubs and bushes along foots of isolated hills towards Kenya-Somalia border and near Wajir -Mandera County border with rock outcrops all over the area.

## Climatic Conditions

The area experiences an annual average relative humidity of 60 percent. The area around Dandu receives an average of 600 mm precipitation annually due to its high altitude. The average temperature is 30°C as shown. There are two rainy seasons’ i.e., short, and long rains. The short rains are expected between October to December and the long rains from March to May each year.

## Socio-economic Environment

### Community Profile

Sake village is in Gither ward, Mandera West Sub County in Mandera County. The project is located within Sake town. The top community development priorities are 1st Piped water from dam to water village as the nearest dam is 6 km away, 2nd construction of classrooms at the school as the current classrooms are insufficient and 3rd Construction of a laboratory and wards at the dispensary in that order. The village has been in existence for 25 years. Houses in the community are thatched with a few are roofed by iron sheets. The community has no support mechanisms like NGOs and CBOs. The main clan is the Garre clan. Islam is the dominant religion. Below is a summary of the demographic profile of Sake.

*Table 5:* Impacts Demographic profile of Sake

|  |  |
| --- | --- |
| **Attribute** | **Magnitude/Number** |
| Approx. population | 8000  though the village 3No other villages adjacent who will benefit from the project totalling beneficiary to about 15,000. |
| Households | 1000 |
| Gender. | Male – 40%  Female – 60% |
| Ave. No. per household | 8No per household |
| Indigenous | Indigenous- 100%  Settlers – 0% |
| Vulnerable classes | Elderly, PLWDs, the Poor |
| Dominant ethnic group | Garre |
| Primary religion | Islam |
| Other groups | - |
| Employment (formal/Informal) | Formal –  Informal – |

### Socio-economic status of Study Area

#### Demographic Profile

Sake has a population of approximately 6000 with about 700 households with an average of 8 people. The gender ratio is currently estimated to be about 40% male and 60% female. The village is homogenous with Garre as the majority clan.

#### Educational Infrastructure

The town has only one primary school. Sake Primary School located within the village. It has a total of 578 pupils: 370 boys and 208 girls with 7 teachers; (4 employed by Teachers Service Commission (TSC), while 3 are employed by the Board of Management). The school completion rate among the boys is 100% while that of the girls is at 75%.

#### Occupation and Livelihood Profile

Sake community are mainly pastoralists moving with livestock in search of pasture and water. Major livestock kept are camels, cattle, sheep, goats, and local chickens. The community relies on livestock products for food at the household level and for income generation. Formal employment is <1%. Other sources of income in the society include sale of wood fuel/charcoal and firewood, building materials, retail shops and eateries. Due to the aridity of the county, food production (crop growing) is limited and contributes little to food security. Few farmers grow maize during the rainy season. All of these harvests are for subsistence/household consumption.

#### Land Use

Land tenure in the area is communal unregistered land held in trust by Mandera County. The land use activities include livestock keeping in form of pastoralism. The main livestock kept are camels, goats, cattle, donkey, and chicken. The community also practices farming through rain fed agriculture and small-scale irrigation with crops such as maize, tomatoes, potatoes, kale (Sukuma Wiki) grown. These community’s livelihoods will not be affected by the project. This is because the land identified by the community to set up the mini grid had already been set aside for community facilities/services. The total estimate of the land proposed for the mini grid is about 1.0025 hectares located in the centre of the village. Therefore, livelihoods are not affected, and no livelihood restoration measures are needed. The PAPs of Sake mini grid live permanently in the area and are the main users of the land. They only engage in pastoralism with movement of livestock from one pasture zone to the next depending on climatic conditions. The project site is sedentary sanctuary for the pastoralist households where the families relocate to from time to time depending on the prevailing climatic conditions that affects pasture availability and by extension household lifestyles on short term basis.

The proposed project will supply power within a small radius of about 11km. Preliminary estimates indicates that the Sake and its immediate outskirts including the dispensary and other public facilities will be connected with the electricity. The main tribe in these villages is the murulle Community. According to information received from the community through FGD and interviews the land is communally owned.

#### Vulnerable Individuals and Households Groups

According to the World Bank Document-Vulnerability: A View from Different disciplines by Jeffry Alwang and Paul B. Siegel, a vulnerable group is a population that has some specific characteristics that make it at higher risk of falling into poverty than the others.

The categories of vulnerable individuals and households’ groups as identified by the community at the project area are, the poor female headed households and persons living with disabilities (PLWD). At the time of assessment, the female headed households noted to be present in the stakeholder’s engagement were about 10, 2No orphan households and 3 PLWD.

The vulnerable households can hardly access the basic needs and most of them rely really on well-wishers within the community. The project should consider such households for electricity connection. Most of them cannot afford the one thousand shillings’ connection fees.

#### Gender Based Vulnerability

The society in the project area is characterized by a patriarchal family structure. Women continue to be rooted in traditional norms of social behaviour which include minimal participation in household or economic decision making lesser economic freedom and limited opportunity to socialize with other females in the village. During the Focus Group Discussion with women, it was reported that men have more control over household resources such as land, assets, and equipment. In a typical household, the head of the household is the eldest male member, while the decision-making authority is the man. In addition to this, men are responsible for ensuring the financial security of the family. The women on the other hand are responsible for household activities such as fetching water, cooking, cleaning, and taking care of the children. Female literacy was reported to be low among women over the age of 18 and higher among the younger girls.

#### Gender Based Violence

Based on the Focus Group Discussion with women at Sake, the only form of GBV common in the area is early marriages for the young girls. Other forms of GBV including the intimate partner violence and sexual exploitation and abuse are not common. The forms of GBV that may arise during project implementation include Sexual Harassment and Sexual Exploitation and Abuse. A SEA/SH Prevention and Response Action Plan needs to be prepared and implemented in all the phases of the project.

#### Land Use

Land in the community is mainly communal. The land is used for residential set up and other infrastructures and mainly for livestock grazing, underground water is also harnessed from the land. Water pans are also excavated from water pans.

#### Social and Physical Infrastructure

**Water**: The only sources of water in the village is a water pan that is 6km away. During drought season the village relies on water tankering from Dandu and Takaba.

**Sanitation**: Open defecation (OP) is widely practiced in the village. There are however few private toilets in the community, schools, and Mosque. Waste management is poor both for liquid and solids with litter strewn all over the village.

**Road Network**: Road’s connectivity within the area is good with Takaba-Dandu-Sake well gravel and maintained regularly.

**Mobile Network Coverage:** Both *Safaricom* and Airtel Networks coverage is available within the village. Therefore, the community has access to services through the telephony infrastructure such as mobile banking, and internet.

**Power/electricity:** - the community is not connected to the main grid. The population uses mainly portable solar at the household for charging mobiles and lighting. Kerosene is also used for lighting homes. Wood fuel is the predominant source of energy in the village.

#### Educational Infrastructure

As per the observation and information sought from Sake location, the area has one school; Sake primary school located at about 150m from the project area. The school is not connected to power at the time of the assessment. It is not anticipated that the project activities will affect the learning institutions negatively in any way rather positive impact is envisaged.

#### Health Facilities

Sake has one health facility; Sake dispensary that serves the community health needs. The dispensary is not connected to power, therefore, it will benefit positively when the project is implemented, by getting connected and this would improve the health services provided with drugs storage and performance of tests and other assessment using equipment that require to be powered.

#### Religious Institutions

Sake community has got only Muslims that are served by one mosque. The number of Christians in the village is negligible since there are only a few Christians working as security officers within the village. The contractor is expected to put into consideration the time of worship and the place to have the prayers.

# CHAPTER FIVE

# RELEVANT LEGISLATIVE AND REGULATORY FRAMEWORKS

## Introduction

The current legal provisions for natural resource management in Kenya are contained in over seventy sector-specific statutes. For a long time, the country lacked an umbrella legislative guide for harmonious and holistic environmental management. As such, resources were managed sectoral in accordance with the statutes that were in place.

As these statutes were contradictory at times, in 1999, the Government of Kenya enacted the Environmental Management and Co-ordination Act (EMCA) which is an umbrella legal framework under which the environment is being managed. Some amendments were made on the Act in 2015. EMCA establishes the institutional framework under which environmental management is to be coordinated. EMCA prevails over all other Sectoral laws relating to the environment in cases of conflict or contradictions. It also grants the public a *locus standi* in matters of the environment.

## Environmental Policy Framework

The Kenya government formulated a national Environmental policy in 2013 whose goal is better quality of life for present and future generations through sustainable management and use of the environment and natural resources.

According to the said policy Kenya has a wide variety of ecosystems namely mountains, forests, arid and semi-arid areas (ASALs), freshwater, wetlands, coastal and marine all offering many opportunities for sustainable human, social and economic development. These ecosystems are natural capitals which provide important services such as regulatory services, provision services, cultural services and supporting services implying that the survival and socio-economic wellbeing of Kenyans is ultimately intertwined with the environment.

The policy comes in handy as it provides a framework to guide the country’s efforts in addressing the ever-growing environmental issues and challenges such as: Environmental governance, Loss of biodiversity, valuation of environmental and natural resources, rehabilitation and restoration of environmentally degraded areas, urbanization, waste management and pollution, climate change, energy, security and disaster management, public participation, environmental education and awareness, data and information, poverty, chemicals management

One of the principles of the policy which this project must adhere to is that the right to development should be exercised taking into consideration sustainability, resource efficiency and economic, social, and environmental needs.

## Institutional, Regulatory and Legal Framework

The multi-faceted nature of the environment and the need to integrate environmental considerations in all development planning and activities calls for cooperation and consultation among responsible government agencies and stakeholders at all levels. At present there are several institutions and departments which deal with environmental issues in Kenya. Some of the key institutions include:

* + 1. **National Environment Management Authority (NEMA)**

The objective and purpose for which NEMA was established is to exercise general supervision and co-ordinate over all matters relating to the environment and to be the principal instrument of the government in the implementation of all policies relating to the environment. However, NEMA’s mandate is designated to the following committees:

* + 1. **County Environment Committees**

According to EMCA (Amendment), 2015, every governor shall, by notice in the Gazette, constitute a County Environment Committee (CEC) of the County. The County Environment Committees are responsible for the proper management of the environment, development of county strategic environmental action plan, every five years including implementation of the plans among others.

* + 1. **National Environmental Complaints Committee**

The Committee performs the following functions:

* Investigate any allegations or complaints against any person or against the authority in relation to the condition of the environment in Kenya and on its own motion, any suspected case of environmental degradation and to make a report of its findings together with its recommendations thereon to the Council.
* Prepare and submit to the Council periodic reports of its activities which shall form part of the annual report on the state of the environment under section 9 (3) and
* To perform such other functions and excise such powers as may be assigned to it by the Council.
  + 1. **National Environment Action Plan Committee**

This Committee is responsible for the development of a 5-year Environment Action Plan among other things. The National Environment Action Plan shall:

* Contain an analysis of the Natural Resources of Kenya with an indication as to any pattern of change in their distribution and quantity over time.
* Contain an analytical profile of the various uses and value of the natural resources incorporating considerations of intergenerational and intra-generational equity.
* Recommend appropriate legal and fiscal incentives that may be used to encourage the business community to incorporate environmental requirements into their planning and operational processes.
* Recommend methods for building national awareness through environmental education on the importance of sustainable use of the environment and natural resources for national development.
* Set out operational guidelines for the planning and management of the environment and natural resources.
* Identify actual or likely problems as may affect the natural resources and the broader environment context in which they exist.
* Identify and appraise trends in the development of urban and rural settlements, their impact on the environment, and strategies for the amelioration of their negative impacts.
* Propose guidelines for the integration of standards of environmental protection into development planning and management.
* Identify and recommend policy and legislative approaches for preventing, controlling, or mitigating specific as well as general diverse impacts on the environment.
* Prioritize areas of environmental research and outline methods of using such research findings.
* prejudice to the foregoing, be reviewed and modified from time to time to incorporate emerging knowledge and realities and.
* Be binding on all persons and all government departments, agencies, States Corporation, or other organ of government upon adoption by the national assembly.
  + 1. **Standards and Enforcement Review Committee**

This is a technical Committee responsible for environmental standards formulation methods of analysis, inspection, monitoring, and technical advice on necessary mitigation measures.

* + 1. **National Environment Tribunal**

This tribunal guides the handling of causes related to environmental offences in the Republic of Kenya.

* + 1. **National Environment Council (NEC)**

EMCA 1999 No. 8 part III section 4 outlines the establishment of the National Environment Council (NEC). NEC is responsible for policy formulation and directions for purposes of EMCA; set national goals and objectives and determines policies and priorities for the protection of the environment and promote co-operation among public departments, local authorities, private sector, non-governmental organizations, and such other organizations engaged in environmental protection programmes.

*The project proponent will adhere to any directive issued by the above institutions that are relevant to the project.*

## Relevant Statutes

The National laws and policies are as illustrated in Table below.

*Table 6:* National Laws and Policies

| **S.No.** | **Legislation/**  **Guidelines** | **Description of the Legislation/Guidelines** | **Relevance of the Legislation/Guidelines** |
| --- | --- | --- | --- |
|  | **POLICY** | | | |
|  | Vision 2030 | Kenya Vision 2030 is the current national blueprint for development from its inception in 2008 until the milestone year of 2030. This plan is the national long-term development policy that aims to transform Kenya into a newly industrialized, middle-income country by 2030. The Vision is comprised of three key pillars (economic, social, and political), two of which are projected to be positively affected by project implementation. | Under Vision 2030, Energy is identified as one of the key sectors that form the foundation for socio-political and economic growth. Promoting equal opportunities across the entire Kenyan territory and enhancing access to competitively priced, reliable, quality, safe and sustainable energy is essential to the achievement of this vision. |
|  | The Poverty Reduction Strategy Paper (PRSP) of 2001 | The PRSP has the twin objectives of poverty reduction and enhancing economic growth. The paper articulates Kenya ‘s commitment and approach to fighting poverty; with the basic rationale that the war against poverty cannot be won without the participation of the poor themselves. | The proposed project aims at provision and access of renewable electricity geared towards improved economic performance and thus will contribute to poverty alleviation in the project area. |
|  | National Environmental Action Plan (NEAP) of 1994 | The NEAP for Kenya was prepared in mid 1990s. It was a deliberate policy whose main effort is to integrate environmental considerations into the country‘s economic and social development. The integration process was to be achieved through multi-sectoral approach to develop a comprehensive framework to ensure that environmental management and the conservation of natural resources forms an integral part of societal decision-making. | The NEMA does not approve a development project unless the impacts of the proposed project are evaluated and mitigation measures proposed for incorporation in the project‘s development plan, which is in line with the requirements of the NEAP. The project will be reviewed by NEMA for approval before implementation. |
|  | Environmental and Development Policy (Session Paper No.6 1999) | As a follow-up to the foregoing, the goal of this policy is to harmonize environmental and developmental goals to ensure sustainability. The paper provides comprehensive guidelines and strategies for government action regarding environment and development. | The proponent:   * Is undertaking an Environmental Impact Assessment, Social Impact Assessment and Public participation as part of the planning and approval of infrastructural projects. * Will ensure that periodic Environmental Audits are carried out for the project |
|  | The National Energy and Petroleum Policy 2015 | The overall objective of the energy and petroleum policy is to ensure affordable, competitive, sustainable, and reliable supply of energy to meet national and county development needs at least cost, while protecting and conserving the environment. This policy stipulates the transformation of the Rural Electrification Authority (REA) to Rural Electrification and Renewable Energy Corporation (REREC) to be the lead agency for development of renewable energy resources. | The policy is relevant to the project in the sense that the project will provide sustainable and reliable energy supply and measures will be put in place to protect and conserve the environment during its development. REREC will oversee the development of the mini-grid and maintenance. |
|  | The Gender and Development Policy (Sessional paper no.2 2019) | The overall goal of this policy is to achieve gender equality by creating a just society where women, men, boys, and girls have equal access to opportunities in the political, economic, cultural, and social spheres of life. | In the absence of appropriate measures, the project can exacerbate gender inequalities and sexual and gender-based violence. In adherence to this policy, measures will be put in place to:   * + ensure gender inclusivity in decision making, employment opportunity and access to the energy generated from the Mini-Grid   + mitigate social risks including sexual and gender-based violence, and any form of discriminations |
|  | The HIV/ AIDS Policy 2009 | In summary, the policy aims at:   1. Establishing and promoting programmes to ensure non-discrimination and non- stigmatization of the infected. 2. Contributing to national efforts to minimize the spread and mitigate against the impact of HIV and AIDS. 3. Ensuring adequate allocation of resources to HIV and AIDS interventions; | The proposed project is to be implemented in the rural setting at Sake area. The area is not economically empowered hence few HIV/AIDS prevention resources are available. This policy shall provide a framework to both the project proponent and contractor to address issues related to HIV/AIDS during the entire project phase. |
| National Laws | | | | |
|  | The Constitution of Kenya, 2010 | The Constitution of Kenya promulgated in 2010 is the supreme law of the republic and binds all persons and all State organs at all levels of government. The Constitution provides the broad framework regulating all existence and development aspects of interest to the people of Kenya, and along which all national and sectoral legislative documents are drawn. | The proposed project complies with the Constitution by proposing a structure in its ESIA on how to deal with Social, Health, safety, and environmental issues for sustainable development. |
|  | Environmental Management and Coordination Act, 1999 (And the Amendments of 2015) | The EMCA is a framework environmental law in Kenya. This Act (assented to on January 14, 2000) provides a structured approach to environmental management in Kenya. With the EMCA coming into effect, the environmental provisions within the sectoral laws were not superseded; instead, the environmental provisions within those laws were reinforced to better manage Kenya’s ailing environment. | The proposed project will be undertaken in accordance with relevant sections of the EMCA, specifically Clauses 58 – 63. These sections of the Act are operationalized by subsidiary legislation promulgated under the Act and specifically Legal Notice (L.N.) 101: Environment (Impact Assessment and Audit) Regulations, 2003. |
|  | L.N. 101: EIA/EA Regulations, 2003 And 2016 Amendments | These regulations provide the framework for undertaking EIAs and EAs in Kenya by NEMA licensed Lead Experts and Firms of Experts. An EIA or EA Study in Kenya is to be undertaken by a firm duly licensed by the NEMA. The EIA/EA Regulations also provide information to project proponents on the requirements of either an EIA or EA as required by the EMCA. | The proposed project is subject to relevant provisions of these regulations and subsequently, the ESIA has been undertaken in accordance with the requirements. |
|  | L.N. 120: Water Quality Regulations, 2006 | This regulation provides for the sustainable management of water used for various purposes in Kenya. The regulation contains discharge limits for various environmental parameters into public sewers and the environment. | The contractor will be required to properly manage the effluent from construction activities in accordance with the above regulations prior to discharge into the environment. |
|  | L.N. 121: Waste Management Regulations, 2006 | Generally, it is a requirement under the regulations that a waste generator segregates waste (hazardous and non-hazardous) by type and then disposes them in an environmentally acceptable manner. | Waste to be disposed in accordance with these regulations. |
|  | L.N. 61: Noise and Excessive Vibration Control Regulations, 2009 | The general prohibition of these regulations states that no person shall make or cause to be made any loud, unreasonable, unnecessary, or unusual noise which annoys, disturbs, injures, or endangers the comfort, repose, health, or safety of others and the environment. | Rules 13 and 14 of the regulations define the permissible noise levels for construction sites. These noise limits will be applicable to the proposed project. |
|  | Environmental Management and Coordination, (Conservation of Biological Diversity) (BD) Regulations 2006 | These regulations are described in Legal Notice No. 160 of the Kenya Gazette Supplement No. 84, December 2006. These regulations apply to conservation of biodiversity which includes conservation of threatened species, inventory and monitoring of BD and protection of environmentally significant areas, access to genetic resources, benefit sharing and offences and penalties.  Additionally, this regulation provides for the local enforcement of the International Convention on Biological Diversity (CBD). | The proposed project will impact biodiversity through clearance of vegetation on the proposed site. This will be done in strict adherence to ESMMP, and revegetation of degraded site will be done as spelt out in the ESMMP |
|  | Environmental Management and Coordination, (Fossil Fuel Emission Control) Regulations 2006 | These regulations are described in Legal Notice No. 131 of the Kenya Gazette Supplement No. 74, October 2006. These regulations include internal combustion engine emission standards, emission inspections, the power of emission inspectors, fuel catalysts, licensing to treat fuel, cost of clearing pollution and partnership to control fossil fuel emissions. The proposed project will generate fuel emissions linked to the back-up generator. This will only happen when the sun rays are poor. | This legislation gives caution to proponent on proper handling and management of fuels. The KPLC will adhere to the ESMMP while handling and managing the fuels |
|  | Licenses and Permits Required Under The EMCA | The subsidiary legislations under the EMCA are partially monitored using permits and licenses. Subsequently all licenses and permits required during the construction phase shall be the responsibility of the individual contractors and their agents. During the operational phase, all permits, and licenses required to operate the project will be the responsibility of the proponent. | The following permits and licenses to be available for inspection during the construction and operational phases of the project:   * EIA License under Environmental Management and Coordination Act, 1999. * Workplace Registration under Occupational Safety and Health Act, 2007. * Construction Permit by the County Government; and * Noise Permit under Legal Notice 61: The Environment Management and Coordination (Noise and Excessive Vibration Control) Regulations, 2009. |
|  | Occupational Health and Safety Act, 2007 | The Occupational Safety and Health Act (OSHA) was enacted to provide for the health, safety and welfare of persons employed in workplaces, and for matters incidental thereto and connected therewith. | The contractors will be required to fully comply with Legal Notice 40 titled: Building Operations and Works of Engineering Construction Rules, 1984 (BOWEC). Each contractor will develop and implement a formal construction health and safety plan. |
|  | L.N. 31: The Safety and Health Committee Rules, 2004 | These rules came into effect on April 28, 2004, and require that an Occupier formalize a S&H Committee if there is a minimum of 20 persons employed in the workplace. The size of the S&H Committee will depend on the number of workers employed at the place of work | The contractor will be required to constitute Health and Safety Committee to oversee safety and health at the construction site |
|  | L.N. 24: Medical Examination Rules, 2005 | These rules provide for Occupiers to mandatorily undertake pre-employment, periodic, and termination medical evaluations of workers whose occupations are stipulated in the Eighth Schedule to the OSHA and the First Schedule to this Rules. Workers that fall under the above two schedules are required to undergo medical evaluations by a registered medical health practitioner duly registered by the DOSHS. | The contractor should that the workers exposed to hazards and or accidents undergo requisite medical examinations as required by these rules |
|  | L.N. 25: Noise Prevention and Control Rules, 2005 | The rules set the permissible level for occupational noise in any workplace (which includes construction sites)  The Proponent is to ensure that.   * any equipment brought to the site for use shall be designed or have built-in noise reduction devices that do not exceed 90 dB(A). * those employees that may be exposed to continuous noise levels of 85 dB(A) are medically examined as indicated in Regulation 16. If found unfit, the occupational hearing loss to the worker will be compensated as an occupational disease. | The contractor to ensure that equipment is serviced properly and/or use equipment that complies with the threshold noise values provided in the act. Alternatively, each contractor will be required to develop and implement a written hearing conservation programme during the construction phase. |
|  | L.N. 59: Fire Risk Reduction Rules, 2007 | Several sections of the rules apply to the proposed project as enumerated below.   * Regulation 16 requires Proponents to ensure that electrical equipment is installed in accordance with the respective hazardous area classification system. It is also a requirement that all electrical equipment is inspected every six months by a competent person and the Proponent is required to keep records of such inspections. * Regulation 22 provides a description of the functions of a fire-fighting team. * Regulation 23 requires Proponents to mandatorily undertake fire drills at least once a year. * Regulation 34 requires Proponents to develop and implement a comprehensive written Fire Safety Policy * Regulation 35 requires a Proponent to notify the nearest Occupational S&H area office of a fire incident within 24 hours of its occurrence and a written report sent to the Director of DOSHS within 7 days. | The proponent is expected to comply with the requirements of L.N. 59: Fire Risk Reduction Rules, 2007 by   1. Carrying out, and record, a fire risk assessment identifying any possible dangers and risks. 2. Reducing, or where possible remove, the risk of fire and take precautions to deal with the remaining risks. 3. Developing an emergency plan should a fire occur which includes evacuation procedures etc. |
|  | The Energy Act, 2019 | The Energy Act of 2019 deals with all matters relating to all forms of energy including the generation, transmission, distribution, supply, and use of electrical energy as well as the legal basis for establishing the systems associated with these purposes. The Act also established the Energy and Petroleum Regulatory Authority (EPRA). | The proponent is in line with the Energy act regulations in the following ways.   * The proponent has identified an available site. * Alignment of the Mini-Grid Project to County development plans. * The Mini-Grid proponent has the technical and financial capability to conduct the project. * The proponent has conducted the necessary engagement with the community. |
|  | Water Act, 2016 | Part 2 section one of the Act notes that every water resource is vested in and held by the national government in trust for the people of Kenya.  Section 143 (1) notes that; A person shall not, without authority conferred under this Act-  (a) Wilfully obstruct, interfere with, divert, or obstruct water from any watercourse or any water resource, or negligently allow any such obstruction, interference, diversion, or abstraction; or  (b) Throw, convey, cause, or permit to be thrown or conveyed, any rubbish, dirt, refuse, effluent, trade waste or other offensive matter or thing into or near to any water resource in such manner as to cause, or be likely to cause, pollution of the water resource. | All construction, operation and decommissioning phases will take caution to refrain from polluting any water resource and will endeavour to prevent pollution in line with the ESMMP. |
|  | The Energy (Solar Photovoltaic Systems) Regulations, 2012 | These regulations shall apply to a solar PV system manufacturer, importer, vendor, technician, contractor, system owner, a solar PV system installation and consumer devices. The Regulations prohibits any person from designing or installing any solar PV system unless he/she is licensed by EPRA. | * The Regulations regulates the design and installation of PV systems. The persons engaged in the designing and installation of the Mini-Grid shall be licensed by EPRA |
|  | The Public Health Act (Cap. 242) | The Act prohibits the proponents from engaging in activities that cause environmental nuisance or those that cause danger, discomfort or annoyance to inhabitants or is hazardous to human and environmental health and safety. | * The proponent will be in line with the regulations of this act and will ensure suppression of infectious diseases and maintain proper sanitation during all the phases of the project. |
|  | The Standards Act Cap 496 | The Act is meant to promote the standardization of the specification of commodities, and code of practice; to establish a Kenya Bureau of Standards, to define its functions and provide for its management and control. The KPLC will ensure that commodities and codes of practice utilized in the proposed project adhere to the provisions of this Act. | All materials and spares used to construct the project will comply with the standardized specifications and certification. |
|  | Penal Code Act (Cap.63) | Section 191 of the penal code states that if any person or institution that voluntarily corrupts or foils water for public springs or reservoirs, rendering it less fit for its ordinary use is guilty of an offence. Section 192 of the same Act says a person who makes or vitiates the atmosphere in any place to make it noxious to health of persons /institution, dwelling or business premises in the neighbourhood or those passing along public way, commits an offence. | The KPLC shall observe the guidelines as set out in the environmental management and monitoring plan laid out in this report as well as the recommendation provided for mitigation/minimization/avoidance of adverse impacts arising from the project activities. |
|  | The Land Act, 2012 | An Act of Parliament to give effect to Article 68 of the Constitution, to revise, consolidate and rationalize land laws; to provide for the sustainable administration and management of land and land- based resources, and for connected purposes.  Forms of Tenure. 5. (1) There shall be the following forms of land tenure- (a) freehold; (b) leasehold; (c) such forms of partial interest as may be defined under this Act and other law, including but not limited to easements; and (d) customary land rights, where consistent with the Constitution.  Methods of acquisition of title to land. 7. Title to land may be acquired through— (a) allocation; (b) land adjudication process; (c) compulsory acquisition; (d) prescription; (e) settlement programs; (f) transmissions; (g) transfers; (h) long term leases exceeding twenty-one years created out of private land; or (i) any other manner prescribed in an Act of Parliament.  Conversion of land. 9. (1) Any land may be converted from one category to another in accordance with the provisions of this Act or any other written law.  (d) Community land may be converted to either private or public land in accordance with the law relating to community land enacted pursuant to Article 63(5) of the Constitution. | Land in Sake is community land whose tenure falls under customary land rights. KPLC will observe all the relevant provisions of the Act including conversion from community land to public land as will be deemed appropriate. |
|  | Community Land Act, 2016 | This Act is critical for the proposed project is within community land. Section 6(1) of the Act provides that ‘county governments shall hold in trust all unregistered community land on behalf of the communities for which it is held’. Furthermore, Section 6(2) maintains that ‘the respective county government shall hold in trust for a community any monies payable as compensation for compulsory acquisition of any unregistered community land’.  Section 30(1) states that ‘Every member of the community has a right to equal benefit from community land’. Section 26(1) provides that ‘a community may set aside part of the registered community land for public purposes and Sub-section (2) holds that ‘where land is set aside for public purposes under Sub-section (1), the (Land) Commission shall gazette such parcel of land as public land’. These provisions offer a window for the proposed project to acquire land for project works legally for communities as necessary and to convert the same into public land. This is useful for the project as once done powerful groups will not have opportunity to exclude them on account of their socio - economic statuses. In any event, Section 35 holds that, ‘subject to any other law, natural resources found in community land shall be used and managed-  (a) Sustainably and productively.  (b) For the benefit of the whole community including future generations.  (c) With transparency and accountability; and  (d) On the basis of equitable sharing of accruing benefits.  The concept of community land has been defined broadly enough to include VMGs. Women, children, old people, and future generations have been thought of as PAPs and thus their rights secured in this Act | * The proposed project site falls on unregistered community land. The community has since offered the land in kind for project use. The establishment of the mini grid will convert communal land to electricity generation for long term. Further, based on community need assessment the proponent will undertake in kind development project to support the community water needs. |
|  | Land Registration Act, 2012 | Section 27 (2) provides that a transfer without valuable consideration shall have the same effect as a transfer for valuable consideration when registered. | Once the KOSAP PIU finalizes stakeholder engagements in all the identified counties, the transfer process shall be commenced to ensure that the land rights are secured. This gives the project the required land security to allow project implementation, which is in compliance with this legal requirement. |
|  | Land value amendment Act 2019 | It aims at standardizing the value of land in Kenya for the primary purpose of enhancing efficiency and expediting the compulsory land acquisition process for public projects.  It introduces Section 107A into the Land Act, which provides the criteria for the valuation of freehold and community land that is the subject of compulsory acquisition. Community Land, like freehold land, shall be valued based on the criteria outlined in Section 107A and the Land Value Index which will be jointly developed by the national government and county government. Section 5 introduces a list of the forms in which compensation can be made. | Land in Sake is community land. The 1.0025 hectares allocated by the community for the proposed mini grid will be acquired for the project. The MOE will pay compensation in kind through implementation of projects in water, education, and health sectors. The community will choose the project for purposes of compensation |
|  | The Environment and Land Court Act 2011 | This is an Act of Parliament intended to give effect of article 162(2) b of the constitution; to establish a superior Court to hear and determine disputes relating to the environment and the use and occupation of, and title to, land and to make provision for its Jurisdiction functions and powers, and for connected purposes. The principal objective of this Act is to enable the Court to facilitate the just and expeditious, proportionate, and accessible resolution of disputes governed by this Act. | The project will have a grievance redress mechanism with a committee. The work of the committee will be to receive and respond to all the grievances raised. As explained in chapter five of this report, an aggrieved party will turn to the legal system after exhausting the GRM levels of resolution set. In the event any disputes on land and environment are not resolved through the project GRM, this court will provide a forum for timely resolution of such grievances. |
|  | The Physical and Land Use Planning Act, 2019 | This Act of Parliament makes provision for the planning, use, regulation, and development of land and for connected purposes. | The proposed site is not in contravention of any Zoning regulations. The project site is within unregistered community land; necessary county approvals will be sought by the proponent e.g., Project design approval and change of use. The approvals shall be issued by the Physical planner in the department of Lands, Housing and Urban Development – Mandera County. |
|  | The Employment Act No 11 of 2007 | This Act is important since it provides for employer – employee relationship that is important for the activities that would promote management of the environment within the energy sector. | With the Contractor and the Project Proponent being primary employers during the construction and operational phases of the Project, respectively, they are bound by this law to abide to its stipulations on employee management and relations |
|  | The Work Injury Benefit Act, 2007 | This is an Act of Parliament to provide for compensation to employees for work related injuries and diseases contracted in the course of their employment | The Proponent and Contractor will maintain an insurance policy cover for its employees, record of accident, carryout proper accident investigations; organize for pre-employment and regular medical examinations for staff. |
|  | Air Quality Regulations (2014) | Regulation 3 stipulates that the objective of these Regulations is to provide for the prevention, control, and abatement of air pollution to ensure clean and healthy ambient air. | The Proponent and contractor will implement mitigation during construction to ensure neighbouring properties are not impacted by nuisance dust |
| 31 | The Traffic Act Chapter 295 Laws of Kenya | This Act consolidates the law relating to traffic on all public roads. Key sections include registration and licensing of vehicles; driving licenses; driving and other offences relating to the use of vehicles on roads; regulation of traffic; accidents; offences by drivers other than motor vehicles and other road users.  Many types of equipment and materials shall be transported through the roads to the proposed site. Their registration and licensing will be required to follow the stipulated road regulations.  The Act also prohibits encroachment on and damage to roads including land reserved for roads. | The project will observe the provisions of the Act including management of traffic of construction vehicles as guided by the ESMMP |
| 32 | National Museums and Heritage Act, 2006 | The Act seeks to consolidate the law relating to national museums and heritage; to provide for the establishment, control, management and development of national museums and the identification, protection, conservation, and transmission of the cultural and natural heritage of Kenya; to repeal the Antiquities and Monuments Act and the National Museums Act. | During implementation of the project, the Act will be followed in the event of case of chance find of cultural heritage on the proposed site |
| 33 | The Prevention, Protection and Assistance to Internally Displaced Persons and Affected Communities Act, 2012 | This an Act of Parliament that provides for the prevention, protection, and provision of assistance to internally displaced persons and affected communities and give effect to the Great Lakes Protocol on the Protection and Assistance to Internally Displaced Persons, and the United Nations Guiding Principles on Internal Displacement and for connected purposes. | According to this Act, displacement in projects should be avoided to the extent possible and implementation of KOSAP sub-projects will adhere to this requirement. |
| 34 | County Government Act, 2012 | This Act makes provisions for county governments’ powers, functions, and responsibilities to deliver services and for connected purposes. Part VIII of the act on Citizen Participation (87) (b) emphasizes on the right of citizens to participate to any development projects prior to their implementation.  This Act gives guideline on planning in the County and especially the partnership in development between the National Government and other investors | In complying with this requirement, the ESIA team held consultations on the project with the County Government of Mandera namely the Governor, County Executive Committee members for Environment, Energy and Public service and Administration. Additionally, the County government through the CEC Public service administration and the Chiefs office mobilized the communities for the consultation forums |
| 35 | The Sexual Offenses Act 2006 | This is a comprehensive law that criminalizes a wide range of behaviours including rape, sexual assault, defilement, compelled or induced indecent acts with child imbeciles or adults, gang rape, child pornography, child trafficking, child sex tourism, child prostitution, exploitation of prostitution, incest by male and female persons, sexual harassment, deliberate transmission of HIV or other life threatening sexually transmitted disease, stupefying with sexual intent, forced sexual acts for cultural or religious reasons among others. The Act also has orders for medical treatment for victims including free HIV prophylaxis, emergency pregnancy pill and counselling. The Act provides stiff penalties in which most of the crimes attract minimum of ten years imprisonment which can be enhanced to life imprisonment. | Implementation of a project creates changes in a community in which it is implemented and is has potential can cause shifts in power dynamics between community members and within households. For instance, male jealousy is a key driver of Gender Based Violence (GBV) which can be triggered by labour influx on a project when workers are believed to be interacting with community women. Hence, abusive behaviour can occur not only between project-related staff and those living in and around the project site, but also within the homes of those affected by the project. |
| 36 | The Children Act, 2012 | Part 2 of the Act denotes the rights of the children, and their welfare shall be protected from child labour and armed conflict i.e. Every child shall be protected from economic exploitation and any work that is likely to be hazardous or to interfere with the child’s education, or to be harmful to the child’s health or physical, mental, spiritual, moral, or social development.  The Act also notes that a shall be protected from sexual exploitation and use in prostitution, inducement, or coercion to engage in any sexual activity, and exposure to obscene materials. | Sensitization to the community on the need to ensure the protection of children has been done and will continue throughout the project cycle. In addition, the contractor will sensitize workers against abuse and exploitation of children. |
| 37 | Persons with Disability Act, Chapter 133 | This Act provides for the protection of the rights of people with disabilities ensuring they are not marginalized and that they enjoy all the necessities of life without discrimination. The Act guarantees that (1) No person shall deny a person with a disability access to opportunities for suitable employment. (2) A qualified employee with a disability shall be subject to the same terms and conditions of employment and the same compensation, privileges, benefits, fringe benefits, incentives, or allowances as qualified able-bodied employees. (3) An employee with a disability shall be entitled to exemption from tax on all income accruing from his employment. | The Act will be adhered to in order to ensure that persons with disability are included in all decision making that affects their lives. This will be monitored to make sure they are not excluded from project benefits and exposed to negative impact from the project that could adversely affect them. |

## Administrative Framework

In 2001, the Government established the administrative structures to implement the Environmental Management and Co-ordination Act of 1999 (EMCA). The main administrative structures are described in the following sections:

|  |  |
| --- | --- |
| **Stakeholders** | **Role** |
| ***NEC*** | The **National Environmental Council** is responsible for policy formulation and directions for the purposes of EMCA. The Council also sets national goals and objectives and determines policies and priorities for the protection of the environment.  *The proponent should ensure that the project abides by the set goals and objectives of the Council*. |
| ***NEMA*** | The responsibility of NEMA is to exercise general supervision and co-ordination over all matters relating to the environment and to be the principal instrument of Government in the implementation of all policies relating to the environment.  *This ESIA has been prepared for submission to NEMA for review and approval prior to the commencement of the Project activities, in compliance to the EMCA.* |
| ***PCC*** | EMCA has also established a Public Complaints Committee, which provides the administrative mechanism for addressing environmental harm. The Committee has the mandate to investigate complaints relating to environmental damage and degradation. The members of the **Public Complaints Committee** include representatives from the Law Society of Kenya, NGOs, and the business community.  *The proponent should address all issues arising from the Project in accordance with the above requirements, including a clear policy of stakeholder engagement and feedback.* |
| ***WRA*** | Water Resources Authority is responsible for regulation of water resources issues such as water allocation, source protection and conservation, water quality management and pollution control and international waters. One of its functions among others is to receive water permit applications for water abstraction, water use and recharge and determine issue, vary water permits; and enforce the conditions of those permits as well as formulate and enforce standards, procedures and Regulations for the management and use of water resources and flood mitigation.  *The project area experiences serious water scarcity. The proponent will have to outsource water for use during the construction period* |
| ***The Energy and Petroleum Regulatory Authority (EPRA):*** | Established by the Energy Act of 2019. The EPRA’s mandate extends beyond electricity and includes natural gas (including petroleum), renewables and all other forms of energy. The generation, transmission, distribution, supply, import and export of electricity can only be carried out by parties in possession of a license or a permit issued by the EPRA. In the event that the capacity involved is for own use and less than 1 MW, authorization is not required. Although the generated electricity is expected to be less than 1 MW (0.3 – 1 MW), the fact that the generated electricity is intended for use in a factory and there is a possibility for connection to the national grid and sale of excess power to the government, the project requires a license from the EPRC to generate electricity as stipulated in the Energy Act, 2019.  The Energy and Petroleum Regulatory Authority (Authority) together with industry stakeholders have developed the Draft Energy (Mini-Grid) Regulations, 2021 (the ‘Regulations’). The Regulations have been developed within provisions 10, 11 and 208 of the Energy Act, 2019 (the ‘Act’) and shall constitute Regulations to the Act. The Regulations will amongst others, provide guidance to mini-grid developers and other stakeholders on the tariff approval and licensing requirements. This will be directly applicable to the Sake site. |

**5.5 Licenses and Permits Required**

The subsidiary legislation under the EMCA is partially monitored through the use of permits and licenses. Subsequently all licenses and permits required during the construction phase shall be the responsibility of the individual contractors and their agents. During the operational phase, all permits and licenses required to operate the project will be the responsibility of the proponent.

Before the contractor mobilizes to the site, there are certain permits that he will need to put in place. Some permits may be obtained during construction since they will be determined as need arises. Table 7 overleaf lists the environment-related permits required for this project.

*Table 7* Project Permit and License Requirements

| No. | Relevant activity | Statute | Permit and License Requirement | Competent Authority | Responsible Agency for Obtaining Clearance | Date of Acquisition | Duration |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Pre-Construction Stage | | | | | | | |
| 1 | Construction and operation of the solar mini grid | Environmental Management and Coordination Act (EMCA) Cap 387, Rev 2018 | Need to submit ESIA report to obtain EIA license | NEMA | Proponent | Upon approval of ESIA report | Max 90 Days from date of submission of ESIA Report |
| 2 | Construction activities | Occupational Safety and Health Act (OSHA), 2007 | Need to apply registration of premises | DOSHS | Contractor | Before commencement of construction | 1 – 4 weeks |
| 3 | Setting up of construction camp sites | Environmental Management and Coordination Act (EMCA) Cap 387, Rev 2018 | Need to submit Project report for the Camp Sites to obtain EIA License | NEMA | Contractor | Before commencement of construction | 1– 1.5 months |
| 6 | Storage, transport and disposal of ordinary domestic and office waste | Environmental Management and Coordination Act (EMCA) Cap 387, Rev 2018 | Need to obtain waste license through submission of Waste Management Plan | NEMA | Contractor | Before commencement of construction | 1 – 1.5 months |
| 7 | Storage, transport and disposal of hazardous waste | Environmental Management and Coordination Act (EMCA) Cap 387, Rev 2018 | Need to obtain hazardous waste license through submission of Waste Management Plan | NEMA | Contractor | Before commencement of construction | 1 – 1.5 months |
| Construction stage | | | | | | | |
| 4 | Food handling in the campsite | Public Health Act | Obtain Food Handler Certificate | County Government | Contractor | Before handling of food in the campsite | 6 months |
| 5 | Workplace registration | Occupational Safety and Health Act, 2007 | Apply for Registration of a Workplace | DOSHS | Contractor | Before utilizing the campsite | Annual |

## World Bank Environment and Social Safeguards Policies

The objective of the World Bank’s environmental and social safeguard policies is to prevent and mitigate undue harm to people and their environment in the development process. These policies provide guidelines for the bank and borrower staffs in the identification, preparation, and implementation of programs and projects. Safeguard policies have often provided a platform for the participation of stakeholders in project design and have been an important instrument for building ownership among local population.

The Safeguard Policies aims at improving decision making, to ensure that project options under consideration are sound and sustainable, and that potentially affected people have been properly consulted.

*Table 8* World Bank Environmental Social Safeguards Standards

| **S.No.** | **Safeguard Policy** | **Objective** | **Applicability** |
| --- | --- | --- | --- |
|  | Environment Assessment (Operational Policy, OP/BP 4.01) | The objective of this policy is to ensure that Bank-financed projects are environmentally sound and sustainable, and that decision-making is improved through appropriate analysis of actions and of their likely environmental impacts. This policy is considered to be the umbrella policy for the Bank’s environmental ‘safeguard policies. | The policy is applicable to this project because there are environmental and social concerns associated with the construction and operation of the proposed project. In response, the KPLC has commissioned and Environmental impact assessment in order to identify and address the potential impacts to a level that is acceptable. |
|  | Natural Habitats (Operational Policy, OP/BP 4.04) | This policy recognizes that the conservation of natural habitats is essential to safeguard their unique biodiversity and to maintain environmental services and products for human society and for long-term sustainable development. The Bank therefore supports the protection, management, and restoration of natural habitats in its project financing, as well as policy dialogue and economic and sector work. The Bank supports, and expects borrowers to apply, a precautionary approach to natural resource management to ensure opportunities for environmentally sustainable development. Natural habitats are land and water areas where most of the original native plant and animal species are still present. Natural habitats comprise many types of terrestrial, freshwater, coastal, and marine ecosystems. They include areas lightly modified by human activities but retaining their ecological functions and most native species. | The proposed project will not significantly affect natural habitats due to its area of coverage. Additionally, caution will be taken to ensure minimum disruptions to habitats as guided by the ESMMP. |
|  | Indigenous Peoples (Operational Policy 4.10) | The objective of this policy is to (i) ensure that the development process fosters full respect for the dignity, human rights, and cultural uniqueness of indigenous peoples; (ii) ensure that adverse effects during the development process are avoided, or if not feasible, ensure that these are minimized, mitigated or compensated; and (iii) ensure that indigenous peoples receive culturally appropriate, gender and inter-generationally inclusive social and economic benefits. | The policy is applicable because the inhabitants of Sake who are the *Murulle* are classified as a marginalized group in Kenya. The *Murulle* are the main inhabitants of Sake and the sole PAPs of the proposed solar mini-grid project. Further the proponent will continue to engage the PAPs in a culturally appropriate way and allow for decision making in a free, prior, and informed consent manner throughout the phases of the project. |
|  | Involuntary Resettlement (Operational Policy, OP/BP 4.12) | The objective of this policy is to (i) avoid or minimize involuntary resettlement where feasible, exploring all viable alternative project designs; (ii) assist displaced persons in improving their former living standards, income earning capacity, and production levels, or at least in restoring them; (iii) encourage community participation in planning and implementing resettlement; and (iv) provide assistance to affected people regardless of the legality of land tenure. | The policy is applicable for the entire project because there is land acquisition for the Mini-grid, Wayleaves, contractor facilities and worker’s camps. |

## Environmental and Social Management Framework (ESMF) for KOSAP

An Environmental & Social Management Framework (ESMF) for KOSAP was prepared by the Environment & Social Unit, Safety, Health & Environment (SHE) Department of Kenya Power and lightning Company in liaison with REA (now REREC) and MoEP now (MOE). The purpose of the Environmental and Social Management Framework (ESMF) was to provide a procedure for environmental and social assessment of the proposed REA, KPLC and MoEP subprojects.

The framework was prepared because the geographic coverage for KOSAP was generally known but the exact locations for the sub projects had not been identified. The ESMF provides guidelines for MoEP, KPLC & REREC in determining the appropriate level of environmental and social assessment required for the sub-projects and in preparing the necessary environmental and social mitigation measures for these sub-projects.

*This ESIA report for Sake* *Project Site is guided by this KOSAP ESMF.*

## Resettlement Policy Framework (RPF) for KOSAP

A resettlement policy framework report was prepared following the Kenyan laws and World Bank policy (O.P 4.12) on involuntary resettlement. The RPF states that K-OSAP component 1 (Mini-grids for Community Facilities, Enterprises, and Households) which involves installation of mini-grids will require land acquisition.

The Framework seeks to avoid, manage, and/or mitigate potential risks arising out of damage to assets, disruption to work, temporary negative impacts on livelihoods and/or in the unlikely case of displacement. The RPF proposes guidelines to develop a Resettlement Action Plan and propose an implementation framework for RAP to mitigate such effects. The RPF states that involuntary resettlement and land acquisition will be avoided where feasible, or minimized or compensated where it cannot be eliminated. Where involuntary resettlement and land acquisition are unavoidable, resettlement and compensation activities will be conceived and executed as sustainable development programs, providing resources to give PAPs the opportunity to share project benefits.

*The Ministry of Energy has partnered with the community who are the owners of the land and the County government of Mandera in identifying land for the proposed project. The sub-project site will be acquired compulsorily by NLC, and in-kind compensation in form of priority community projects provided to affected communities. Further, A-RAPs has been prepared for this site. The A-RAP stipulates procedures and actions for acquiring land and compensating affected communities. The A-RAP also documents the land acquisition consultations undertaken with affected communities. The A-RAP document has been annexed in appendix 5 of this report.*

## Vulnerable and marginalized Groups Framework (VMGF) for KOSAP

As noted above the KOSAP project trigged O.P 4.10 policy on Indigenous People and therefore a Vulnerable and Marginalized Groups Framework (VMGF) was prepared for use by the Ministry of Energy (MOE) and the implementing agencies KPLC and REREC and other stakeholders. The framework was prepared then because was known that IPs are present in all the 14 target project counties. However, at that stage of project preparation, the exact sub-project sites were not yet identified and the exact impacts of the project on VMGs were not yet completely known. The VMGF describes the policy requirements and planning procedures that during the preparation and implementation of components especially those identified as occurring in areas where VMGs are present.

The purpose of the VMGF is to guide management of issues related to Vulnerable and Marginalised Groups (VMGs) during the development and operation of proposed sub projects and to ensure effective mitigation of potentially adverse impacts while enhancing sharing of benefits.

*In regard to the Solar Mini-grid in Sake, the main inhabitants of Sake - the Murulle community- are classified as VMGs in Kenya. The ESIA did not identify any adverse impact on the Murulle community therefore, a Vulnerable and Marginalized Group Plan (VMGP) will not be required however, elements of the VMGP such as inclusion of Murulle in the stakeholder engagement process as well as representation on the locational grievance redress committee will be incorporated in the ESMP, to ensure that the Murulle access culturally appropriate project benefits and opportunities, in a gender sensitive and intergenerationally inclusive manner.*

## Comparison between the World Bank and Kenyan Laws to this Project

A comparison between the WB policies and the Kenyan law is presented in this section. The objective is to find out any gaps and propose a recommendation.

#### 

*Table 9* Comparison between the WB safeguard policies and the Kenya Legislation

| **World Bank safeguard Policies** | **Kenyan laws** | **Comparison** | **Recommendation** |
| --- | --- | --- | --- |
| O.P 4.01 requires screening to determine level of environmental and social assessment to be done.  An ESIA is prepared before project implementation | EMCA requires screening of project to determine level of environmental and social assessment to be done.  An ESIA is required once determination is done | Similar both require screening | Screening has been done and the project is established as medium risk which requires and ESIA |
| ESIA is needed once determination had been established and should be prepared identifying all environmental and social impacts and mitigation measures proposed to address the impacts | ESIA is needed once determination had been established and should be prepared identifying all environmental and social impacts and mitigation measures proposed to address the impacts | Similar-both require ESIA depending on the project impacts | ESIA is prepared in line with EMCA /EIA regulations and makes reference to WB safeguard policies |
| O.P 4.12 Land Acquisition and Involuntary resettlement should be avoided wherever possible or minimized and exploring all alternatives | The Government and any other organization shall prevent internal displacement linked to development projects to the extent possible by exploring other alternatives. | **Similar-** displacement in projects should be avoided to the extent possible by exploring alternatives. | WB policy is more elaborate than the Kenyan Law. |
| O.P 4.10 on indigenous people seeks to promote the inclusion of these group in development project and especially through consultation to ensure they also share in the project benefits and ensure negative impacts do not disproportionately fall on them.  The policy requires these groups to be consulted separately to enhance their participation | The COK 20.10 article 56 provides for the right of marginalized communities and the importance of their input in decision making that regards them.  National Gender and Equality Act and the Children’s Act and Persons with disability Act seeks to promote the inclusion of these persons in all issues as they are often overlooked and left out.  Emphasis is also on consulting with them | Similar-both seek to promote inclusion of these group so that they do can share the projects benefits and ensure that negative impacts of the project do not fall on them disproportionately.  WB needs a social assessment to be conducted | WB policy more elaborate and the two are being used to compliment |
| Project affected persons should be meaningfully consulted and be given opportunities to participate in planning and implementing of projects and especially where there is resettlement | EMCA requires that the project owner seeks the views of the people who are affected and explain the project information to them and especially the impacts f project and also obtain their opinions or comments | Both are similar | Consultation has been done and will be progressed in line with the two WB policy and Kenya legislation |

# CHAPTER SIX

# STAKEHOLDER ENGAGEMENT

## Introduction

Timely stakeholder analysis and engagement is key as it provides opportunities for stakeholders to make significant contribution to the project design and implementation which results in enhanced project acceptance among other benefits. Stakeholders in this project are individuals or groups who will be affected or are likely to be affected by the project (project affected parties) and those that have interest in the project (interested parties).

## Legal requirement for stakeholder engagement

The overall objective and the spirit of the Kenya constitution is to involve citizens in project formulation and implementation at the local level. This is enshrined in our constitution in Article 35 which provides that ‘every citizen has the right of access to information held by the state; and information held by another person and required for the exercise or protection of any right or fundamental freedom’.

Further public participation is an essential and legislative requirement for environmental authorization. The ESIA team undertook the stakeholder consultation (SC) for the proposed project in accordance with the requirements for as stipulated in the EMCA, 1999 and its 2015 amendments and ESIA/EA Regulations 2003. The main purpose of public participation is to provide project information to stakeholders and allow them the opportunity to provide input and comment on the project, including issues and alternatives that are to be investigated, thereby facilitating informed decision-making.

Therefore, public participation was a key component of the ESIA of the proposed solar Mini-grid in Sake. Project information was shared with different stakeholders mainly government officers and also community/project affected persons/PAPs. The positive and negative views of the stakeholders on the project were sought. The exercise was conducted through a public meeting/baraza, key informant interviews. In addition, gender and intergenerational dimensions of the community members were considered and three separate focus group discussions sessions were held with the men, women and the youth.

## Objectives of Public Participation

1. To assess the level of stakeholder interest and support for the project
2. To enable stakeholder’s views to be considered in project design and implementation
3. To establish and maintain constructive relationships and means for effective and inclusive engagement with project affected parties on issues that could affect them
4. To ensure appropriate project information on environmental and social risks and impacts is disclosed to stakeholders in a timely and accessible matter

The purpose of stakeholder engagement/participation is to identify stakeholders and to allow such parties the opportunity to provide input and comment on the project, including issues and alternatives that are to be investigated, thereby facilitating informed decision-making. Stakeholder participation involves both disseminating information about the project as well as gathering primary data from stakeholders regarding the project. Therefore, data collection was a key component of the EIA of the proposed project. The first source of information was literature review of project documents, site visit coupled with observations and discussion with the project engineers and other project officers. Further information and views on the project were also sought from other government officers at the county and from the target community.

Part of the key project information that was shared with the stakeholders to enable them to understand the project included positive and negative impacts of the project including potential opportunities. The information specifically focused on; the objective, nature and scale of the project, potential risks and impacts of the project on local communities, mitigation measures to the negative impacts, need for future consultations and means of raising and addressing impacts.

## Stakeholders Identification and Consultation

Stakeholders are classified in the following two categories.

* **Primary Stakeholders**- Stakeholders who have a direct impact on or are directly impacted by the project.
* **Secondary Stakeholders**- Stakeholders who have an indirect impact or are indirectly impacted by the project.

The stakeholders were identified, and consultations conducted as summarised in Table below.

*Table 10* Stakeholders Consulted

|  |  |  |
| --- | --- | --- |
| **Stakeholders** | | **Consultation Tool** |
| Primary stakeholders | Project Affected Persons i.e., Residents of Sake | **Public Meeting**   * 2 public meetings were held in Sake Sub-location on 27/02/2020 and 29/11/2021. * The first meeting was held with attendance of 50 people while the second one had 42 people in attendance (**Appendix 1- Attendance list & minutes**).   **Focus Group Discussions (FGD)**   * For the first consultations the FGDs were conducted with the men, women, youth while the second consultation was with the men, women, youth and VMGs.   **Key Informant Interviews (KII)**   * During the second round of consultations, the KII for Sake Primary school was conducted through a one-on-one interview. * The chief was also interviewed on the Community Profile of Sake. |
| Secondary stakeholders | Interested Parties:   * County Government of Mandera | **Meeting**  During the first consultation a meeting was held with the county officials |

## Summary of Community Consultation Meeting Leading to Land Identification and GRC Constitution- (screening level)

**Project:** Proposed Sake Solar Mini-grid

**Venue** **of** **meeting**; Sake market in Sake village, Sake location, Mandera west Sub County of Mandera County

***Date: 27/02/2020***

Opening prayer by Sheikh while translations into the local language was done by Siyad and the chief. The area chief called the meeting to order at 12.05 p.m. The chief welcomed the project team and also the community members and thanked all for attending the meeting. The minutes and list of attendance have been appended in this report **(Appendix 1).**

Wilfred from KPLC described the proposed project i.e solar energy mini-grid and the reason for the choice of solar energy was because the area is far away from the national grid and the fact that the area is well endower with solar energy. He explained that the government’s target is to achieve universal access to electricity by 2022 using various sources and solar energy is one of the identified methods because it is clean green energy. He further explained that the solar energy mini grid will be put up and low voltage lines will also be constructed to enable connection to PAPs.

He informed the community that the KOSAP is being jointly implemented by the Ministry of Energy, the Kenya Power and Lighting Company (KPLC) and the Rural Electrification and Renewable Energy Corporation (REREC) in partnership with the word bank as a development partner, County Government and the Communities being the major PAPs.

He further noted that the agenda of the visit was to undertake

* Undertake an environmental and social screening of the proposed sites to check suitability in terms of environmental, technical, social and health requirements.
* Undertake community engagement to sensitize the community on the project.
* Explain the land requirements for the project and sensitize the community on their rights in regard to land so that they can make an informed decision.
* Need to set up Grievance Redress Mechanism for the project.
* Guide the community in electing Grievance Redress Mechanism committee members and sensitize the members of their work during project implementation

The environmentalist (Wilfred and Edwin) explained the benefits and negative impacts and their proposed mitigation measures of the project.

### Land for the Project

The proposed works will be carried out on 1.0025 hectares proposed unregistered community land which the community identified for setting up the project. Stakeholder engagement with the community on this matter has been conducted. The proposed site land falls on a land owned by the Sake community. The sub-project site will be acquired by NLC compulsorily and affected communities compensated in-kind through their community project of choice.

## KEY FEEDBACK RECEIVED DURING STAKEHOLDER CONSULTATION PROCESS

A Consultative Public Participation (CPPs) session is conducted to provide project information and facts to the local community and other stakeholders especially local government administrators thus giving them a platform to enable them to express their appreciation, concerns and fears as well as contribute ideas and opinions towards the project sustainability.

A detailed CPP and community engagement for Sake Solar Mini Grid was held at Sake village on 30th November 2021 chaired by the area Assistant chief Mr. Abdullahi M. Dahir.

During the consultative forum, there were remarks from various key personnel including the following.

### Area Chief’s Remarks

Assistant Chief of the Area, Mr. Abdullahi M. Dahir. invited a member to open the Baraza with a word of prayer. He then briefly informed the gathering about KOSAP projects, importance, and possible impacts. He then welcomed the members and urged them to fully participate in the discussions.



#### Plate 1: Public Participation at Sake

### Consultant’s Remarks

The Consultant, Ms. Lavina in liaison with Arafat elaborated on the possible socio-economic impacts of the project to the residents of Sake and requested the participants to give information for documentation. The consultant with assistance from the Area assistant Chief guided the Focused Group Discussions.

### Positive Comments about the Project from the Participants

Some of the positive impacts that were identified by the participants include the following.

* Learning will improve due to availability of lighting,
* Business opportunities will improve since farmers will be able to cool their milk, welding business will arise,
* Employment opportunities will increase for the youth due to increase in business opportunities
* Security will improve due to availability of lighting
* Medical services will improve due to availability of refrigeration services
* The electricity will assist in pumping of water from the dam.

### The Perceived Adverse Impacts

Some of the positive impacts that were identified by the participants include the following.

* **Accidents**: some of the members raised concerns of possible accidents from electrocution especially the children as well as possible accidents from falling of the electric poles. The community suggested extra care when, protection of appliances and reinforcement of electric poles to mitigate these accidents.
* **Employment Disputes:** There was a concern over the possibility of disputes arising between the local communities with people of different cultures in the construction sites. The community suggested that proponents should consider employing local construction workers.
* **Dust Generation:** The participants expressed concern over the possibility of generation of large amounts of dust within the project site and surrounding areas because of demolition, excavation works and transportation of building materials.
* The proponent will ensure that dust levels at the site are minimized through sprinkling water in areas being excavated and along the tracks used by the transport trucks within the site. Additional mitigation measures presented in this report will be fully implemented to minimize the impacts of dust generation.
* **Environmental Aesthetics** It was seen that the aesthetics of the area would be affected negatively during construction. It was suggested that the proponent should ensure landscaping is conducted after construction.
* **Environmental Aesthetics**: Some neighbours will be affected by too much noise and exhaust fumes from the site.

**Other concerns**

* Community had concerns on whether the electricity will be connected to all the households within the town.
* Will the electricity be like streetlight or there will be connections to homesteads; is it to be only solar energy or it shall also be having a standby fuel powered generator they asked.
* The community wanted to know if the energy supplied by the solar is sufficient to support businesses such as fridges, welding etc.
* A member wanted to know if the connection fee charges can be reduced.

### Consultant’s Response

The consultant, while addressing the community’s issues raised, gave the following response.

* Every resident, business or public facility will be connected to the electricity at an affordable cost
* That the Contractor/KOSAP will rehabilitate and plant trees after the construction phase of the project.
* The fee at which the power will be provided is already greatly subsidized.
* The energy supplied by the solar grid is sufficient for all kinds of uses including wielding.
* There will be no need for a standby generator as the project will be sufficient on its own.
* All non-skilled labour will be sourced from the Sake Community and not from outside
* He assured the community that the project will commence soon after ESIA
* That noise from the Machinery will be minimized

### Consent

The Community members present unanimously accepted the Project Proposal.

### Community Presentation

During the stakeholder’s consultation adults were more represented than the youth with gender representation of about 53% male and 47% women. Due to the culture, men were more represented though women and youth were also present, and the consultant allowed both groupings adequate opportunities to present their views during the process.

### Focused Group Discussions Analysis

The in-depth interviews were used as a tool for stakeholder identification and mobilization as well as collection of baseline data to enable identification of the likely project impacts. In addition, it provided an opportunity to the participants to raise their fears and concerns as well as make recommendations as pertains to the project.

During the discussions, information was gathered about different roles, livelihood, health issues, challenges, perception of quality of life, and education options for children, health care and project perception.

The consultative meeting had a wide representation as follows:

*Table 11* The consultative meeting had a wide representation

|  |  |  |  |
| --- | --- | --- | --- |
| Category | Male | Female | Total |
| Youth | 10 | 0 | 10 |
| Adult | 10 | 18 | 28 |
| TOTAL | **20** | **18** | **38** |

The target groups of the FGD were Males, Females, traders’ association, Health sector, Education, VMGs sector as well as and the Youths.

#### Female Stakeholders’ Consultation and Participation

The participants were N=10 between 20-50 years of age. The respondents indicated that the community has female headed households (widows) and the physically challenged.



#### Plate 2: Female FDG participants at the Chief Camp

1. **Project Perception**

The participants had knowledge of the implementation of the project in 2019 through the KOSAP feasibility engagement.

* According to the respondents the project is a very positive and beneficial one. They noted that they will save the money they use to charge phones.
* The women did not cite any negative impacts from the project.
* Supportive of the project owing to its massive benefit to the potential economic improvements to be realized from the project.

1. **Role of Female in the community**

* Women herd livestock, they do small scale businesses and are stay at home moms.
* Women in Sake think they do not have equal opportunities with men in the community, workplace and education. They say that men are better placed in society.
* They feel that all assets and resources are controlled by men. The women don’t control any resources thus being disadvantaged in economic uplift.
* Women feel safe in the community and the level of crime is low. No conflict is experienced
* Challenges that women face in the community include sourcing for water at the dam, which is too far, there are no doctors, laboratories or ambulances at the dispensary and there is no established market for the community.
* Women receive information about local issues and development or news from the local chief and his team.

1. **Institutions/community Development**

* Women are neither involved in decision making at the household level nor at the community level.
* There are no NGOs, CBOs and FBOs working in the area.
* The main community development priorities/needs include.
  + Construction of a laboratory and ward at the dispensary.
  + Piped water system from the dam to households.
  + Increasing staff at the dispensary.

1. **Economy /Income generation by women**

* Women practice small scale businesses and sale of livestock as sources of income.
* At the household level, women and men partner to raise the family income.
* The women in Sake need support financially to start and maintain businesses for greater economic opportunities.
* The women have no access to any bank/credit/saving accounts in Sake. They however have access to mobile banking through MPESA.

1. **Land Use by women**

* Women in Sake take part in grazing as a land-based activity.
* The livestock (goats and sheep, cattle camels, and donkeys) are reared for both subsistence and income generation.
* They do not practice any agriculture in the area.
* Community members are nomadic and move with their livestock in search of water and pasture during the dry seasons three times a year. They moved up to Hawn in Ethiopia.
* Women collect natural resources like firewood for domestic use only.
* Women are involved in business in Sake and they sell fruits and vegetables brought in by vehicles from Moyale.
* They do not sell or hire labour.
* So far, no conflict has been experienced in the community.
* There are no cases of Physical violence in terms of GBV, but there are household intimate conflicts.

1. **Education, Literacy and Training**

* The women denoted that they access quality education.
* Girls access basic education at the Sake primary school. There is no secondary school in the area.
* A few women can read and write in the community, especially the young adults. Early marriages contribute to this situation.

1. **Health Care Services for Women**

* The women access health care from the Sake health center, though the main health problems/challenges facing women include inadequate medicine, healthcare education and sanitation. Health care services are not sufficient and do not meet the needs of the community.
* Environmental issues affecting health in the community are poor hygiene and nutrition.
* Diseases affecting women include UTIs, ulcers, stomach aches and diarrhoea, tonsils and toothache.
* The women have access to family planning from the local dispensary for free.
* There are some people living with disabilities and lack specialized home care due to lack of adequate facilities. In case of complications, they are taken to the dispensary.
* The community opts for conventional medical care over traditional medicines.

1. **Access to Water**

* The community is served by a dam that is about 6 km away.
* The water is mainly used for domestic use, sanitation, and livestock watering.
* The water dams dry up during the dry season and they have to buy water from water bowsers from Takaba 90 km away.
* A tuk tuk sells water at the village at Ksh 300 per drum, but it is free at the dam.

1. **Sanitation and Hygiene**

* The main type of toilets in the village are pit latrines. Open defecation was also reported by the FGD.
* Women do not access sanitary facilities and or products e.g., sanitary towels. However, girls are provided for in school.
* Household waste is burnt outside the homestead. There is evidence poor waste management in the village with litter strewn all over.

1. **Access to Power as per the FGD**

* Sources of energy and their uses in Sake village include
* For lighting use of kerosene lanterns and torches using batteries. Some have Pico solar panels for lighting and charging phones.
* Cooking -wood fuel/charcoal
* Keeping warm – fire wood.
* Heating water – fire wood.
* Charging phones – portable solar.
* They do not cool food in Sake.
* The village has limited sources of power as the main challenge. The portable solar belongs to individuals who are paid for phone charging at Ksh 20. They sleep very early in the village due to lack of electricity.

1. **Transport and Communication**

* The main forms of transport are motor bikes, vehicles, donkey carts and camels. It costs Ksh 2,000 to Takaba and Ksh 3,000 to Moyale using a motor bike. This is very expensive.
* The village is served by an earth road that is impassable during dry and wet seasons
* There are telecommunication services in the area.

1. **Cultural heritage**

* The area has no cultural heritage/historical sites which could be identified though the village a burial site which is considered sacred site.

#### Male Stakeholders’ Consultation and Participation

The male participants were N=10 of 40 to 80 years during the FGD. The male participants are the main household heads. The following were the responses provided by the participants

1. **Project Perception**

* Male participants were aware of the proposed project.
* The impact the community positively.
* They noted that the project is purely positive.
* The men had no more queries on the project.
* **Role of Men**
* According to the respondents’ male herd livestock and do businesses.
* Men have better opportunities than women as the custom of the community dictates.
* In the community the men control all land issues.
* Men receive information through radio, telephone and chief.

1. **Institutions/community Development**

* The top community development aspects as per the male FGD include:
* Drilling a borehole.
* Construction of the road
* Fencing the primary school.

1. **Economy /Income generation**

* The main sources of income include.
* Sale of livestock and livestock products
* Men contribute more income to the household compared to females.
* Some suggested that drilling of a well will improve economic diversification for community engagement in irrigation farming.
* The community has no access to banking services through mobile money has really improved their access to banking opportunities.

1. **Land Use**

* Land is communal and is used mainly for grazing livestock and farming for commercial and subsistence purposes.
* Maize is mainly grown in the area at small scale and for subsistence purposes only.
* There have been reported changes in the harvests for the last 5 years as there has been prolonged droughts and little rain.
* Men keep livestock both as subsistence and income-generating activities. Livestock reared include cattle, camels, sheep, goats, donkeys as well as local chicken.
* Community members are nomadic- moving with livestock in search of water and pasture especially during the dry seasons. They move as far as Ethiopia.
* The community does not buy or sell any products since they have no access to any market nearby.
* There are no reported conflicts in the area related to land.
* **Education, Literacy, and Training**
* The men reported that they have access to education but not of good quality because there are no teachers in the school.
* Sake Primary is within the village center and provides access to formal basic education.
* The male population generally complete their studies up to grade 8.
* Ability to read and write among the male population is generally low.

1. **Health Care Service**

* The men access health care from Sake dispensary. The services are poor because it is under staffed.
* The dominant health issues among men include diarrhoea and malaria.
* The PLWDs, the elderly and women face a lot of problems in getting health services and are only taken to a health facility in case of any complications.

1. **Water Service Access**

* A dam 6 kilometres away provides water for the community to use for drinking, cooking, washing, bathing, and livestock use.
* Everybody is involved in fetching water for the community members though women are move involved in the activity.
* **Sanitation and Hygiene**
* The main type of toilets are pit latrines.
* Men indicated that open defecation is commonly practiced as an alternative where access to latrines is impossible.
* Handwashing and general cleaning are done by use of basins and jerry cans.

1. **Access to Power**

* Sources of energy for Sake village
* For lighting use of battery torches
* For warming they use firewood
* Cooking -firewood
* Charging mobile-solar
* Cooling – solar fridges
* The village has limited sources of power.
* The men suggested that the solar energy system is a much-needed improvement.

1. **Transport and Communication**

* The main form of transport is motor bikes, vehicles, donkey carts and camel
* The village is served by an earth road that is impassable during wet seasons
* There are telecommunication services in the area.

1. **Religious heritage**

* Mosques are the main religious sites within the Sake community.

#### Youth Stakeholders’ Consultation and Participation

* The youth participants were N=12 in number (10 male and 0 female). The following opinions were provided by the youth participants during the FDG.



#### Plate 3: Youth FDG at Sake

1. **Project Perception**

* The youth revealed that they were aware and understood the importance of the project.
* The respondents suggested that the project will benefit their businesses as they will operate till at night.
* They also noted that there will be employment opportunities during the project.
* They suggested that the most vulnerable in the community could also benefit from the project through engaging in small businesses such as charging phones.
* The youth noted that they will be preoccupied in occupations and idling will reduce with the coming of the project.

1. **Role of Youth**

* The youth do not play any role in decision making in the area.
* Key priorities among the youth include employment creation and economic empowerment.
* There are no programmes in the town to help support the youth economically.

1. **Economy /Income Generation/Employment**

* 10% of the respondents are self-employed while less than 1% have full-time salary jobs
* The income-generating activities pre-dominant among youth in Sake include transportation business by use of motorbikes, driving and casual work.

1. **Education, literacy, and training for youth FGD**

* 3% of youth have completed secondary school
* About 1% have completed vocational/colleges.
* The major skills among the youth include mechanics, tailoring, electric wiring, and driving.

1. **Unemployment**

* Less than 1% of the youth in the community have full-time salaried jobs. 3% of youth are self-employed with the main job being petty trading.

#### Education Stakeholders’ Consultation and Participation (KI)

* The town has only 1 Primary school and no secondary school. Sake Primary school is the most central in the area.

1. **Project Perception**

* The KI was aware of the project from 2019 during the land acquisition process.
* Indicated that the project would have a positive impact on the school through access to electricity that will provide power for gadgets like computers to be used.
* No negative project impacts were cited and no queries were raised.

1. **Infrastructure/Resources**

* Sake Primary School currently has **7 teachers; 4 employed by the TSC, 3** are employed through the Board of Management.
* The school has 578 pupils: 370boys and 208 girls
* The challenges facing the school include poor and insufficient infrastructure as there are not enough classrooms and the school is not fenced, lack of desks, poor sanitation and understaffing.
* The school has not yet received any support from the national government.
* The average walking distance of students to school is 2 km.
* Students are provided with meals, however not for the past 2 terms.
* There are no banks in Mandera West for teachers to be paid through. They receive their pay through MPESA.

1. **The Education Challenge**

* The main challenge in the school is absenteeism since parents move with their children in search of pasture during drought.
* The performance of girls is generally better than boys.
* 100% of the students go to higher education levels.
* The attendance rates for males are 80% and 45% for girls at each level.
* The completion rate for male students attending school is 100% while 75% for females.

#### Health Stakeholders’ Consultation and Participation. (KI)

The following were responses from the health work (a nurse) during the KII.

1. **Project Perception**

* The key informant had not heard of the project as he was new at the facility.
* He noted that the project shall have a positive impact on Sake Dispensary as services will be improved.
* He suggested that safety training should be undertaken for the staff at the dispensary.

1. **Facility Profile**

* Sake dispensary is open 24/7 in case of emergencies.
* It serves the local community and the surrounding 5 villages including Dadach Dera and Malaba villages 15 minutes away using motorbikes.

1. **Infrastructure/Resources**

* The dispensary is currently understaffed and only has 1 nurse, 1 community health volunteer and 1 community health extension worker.
* The services offered at the dispensary include outpatient, inpatient, family planning, maternity, nutrition, immunization, ANC and PNC.
* The Nurse indicated that the infrastructure at the institution includes 6 rooms for the OPD, store, staff quarters and 2 new structures.
* The facility also has inadequate equipment and the furniture is in poor condition.
* There are outreach and educational services currently provided in relation to health using motor bikes. They are provided twice a month with the support of ADRA. They provide drugs, immunization services, nutrition and ANC. Save the children provides support monthly.
* There have not been any changes in the dispensary for the last 5 years and the respondent does not know of any expansion plans.
* The Nurse indicated that there are several gaps in the health care system in the village that include lack of laboratories, shortage of drugs and understaffing. He noted that home deliveries are still high despite mobilization due to lack of a female nurse at the facility.
* The services at the dispensary are free.

1. **Prevalence Rates/Health Issues**

* The main health issues predominant among the children in Sake are, Upper respiratory tract infections, tonsillitis and urinary tract infections.
* The main health issue predominant among the women in Sake is UTI.
* The main health issue predominant among the men in Sake is UTI.
* The most common health issues among VMGs were not identified.
* 40% of children suffer from malnutrition as food insecurity is an extreme problem in the community. The diet is heavy on carbohydrates and no vegetables.
* Sexual health issues are not common and prevalence of STIs is at 0%.
* Maternal and infant mortality rate is 0%.
* Mental health issues were not common and not recorded if present.
* There are no cases of GBV
* Health issues arising from the quality of the environment include poor hygiene.
* The life expectancy for men is 55 years and 60 for women.

#### FGD/KII Traders/ Livelihood/ Market Association Chairman

The following were the responses of the traders/ livelihood/ Market association chairman

1. **Project Perception.**

* The respondent has heard of the project and is conversant with it.
* The positive impacts of the project on traders are longer business hours due to lighting and diversified business opportunities such as cybers, refrigeration etc.
* He noted that their sales will go up due to the project.
* Idleness will also be reduced as the project will create jobs for the youth.
* No negative impacts were identified for the project.
* He had no further queries on the project.

1. **Economic Situation**

* There is no trading association in Sake.
* They sell assorted goods in the village.
* The traders serve the whole town and sell to a radius of 10 km.
* They buy their merchandise from Takaba.
* The respondent works for 15 hours a day since they have portable solar for lighting.
* He is the owner of the shop and does not employ anyone.
* The businesses are not doing well due to the drought and there are not many sales.
* There are no business permits as offices are located very far.
* The main challenge faced by traders is poor road network hence delay in delivery of goods especially in the rainy season.
* The top need to improve businesses in Sake is skills training such as dress making.

1. **Comments and observations**

* Traders are doing business just because they have no other options.
  + - 1. **Vulnerable and Marginalized group (VMG) FGD.**

The following were the responses from the VMG

1. **Project Perception**

* The respondent has heard of the project and is conversant with it.

1. **Baseline on VMG**

* There are no parts of the community considered marginalized.
* About 50% of the community are extremely vulnerable due to poverty. They include widows and the elderly.
* There are 70 child headed households and about 500 women headed households.
* The main source of income for VMG is small scale businesses as most don’t have livestock. The elderly also gets funding from the government as “pesa ya wazee”.
* Schools, dispensaries and dams are the public social amenities provided in the locality and they serve the vulnerable community members too.
* The community receives relief food from The World Food Programme every 4 months in the form of sorghum, beans and cooking oil.
* The major challenge for VMG is access to basic needs as they mainly resort to begging.
* There are no organizations or programs that support VMGs.

1. **Project Impacts**

* There are no public projects implemented in the area.
* The KOSAP project will ensure access to energy and water for the VMGs.
* Electric connection and tokens fee should be subsidized for the VMG

## Disclosure of ESIA to the Stakeholders

The final ESIA report will be shared with the stakeholders by way of making it available to the target PAPs and other interested parties. The ESIA report will be shared through the county headquarters (a copy will be availed) or will be accessible through the CREO office and KPLC website. In addition, a copy of the ESIA should be availed by CREO to the chief’s office for access by the local community and other stakeholders.

The findings of the ESIA will be shared or disseminated to the target community in a culturally appropriate format such as using local language and through public meetings and focus group discussions.

## Stakeholder Engagement and Grievance Management Post ESIA

During implementation of the project or construction phase, stakeholder engagement will be progressed to ensure the community and other stakeholders are kept abreast of the progress of the project. For the target community this will take the form of meetings and focus group discussions between local community and the contractor which will also act as forums for the community to ask questions or provide feedback. Therefore, the contractor will prepare a stakeholder engagement plan to guide on the engagements with various stakeholders guided by the Stakeholder Engagement Plan prepared during ESIA.

## Objectives and Principles of Stakeholder Engagement

Stakeholder engagement is the basis for building strong, constructive, and responsive relationships that are essential for the successful management of a project's environmental and social impacts.

In order to ensure effective engagement and consultation of all stakeholders, the contractor and the proponent KPLC will apply the following principles.

* Ensure the affected persons are provided opportunities to express their views on project risks, impacts and mitigation measures, and response provided.
* Begin consultations early even before construction begins because there is a lapse of time between ESIA consultations and implementation time. Identification of environmental and social risks and impacts should continue an on-going basis as risks and impacts arise.
* Consultations should continue in a manner that is transparent, objective, meaningful and allow for ease in accessing information in a culturally appropriate local language(s) and format that is understandable to affected and interested persons.
* Consultations with affected persons and interested parties should avoid manipulation, interference, coercion, or intimidation.
* Consultations should also pay attention to the needs of VMGs, vulnerable individuals and households.

The contractor shall identify the stakeholders early and consider appropriate methods for engaging them. The stakeholder engagements will be reported to KPLC on monthly basis alongside the construction progress reports.

# CHAPTER SEVEN

# IMPACT ASSESSMENT AND MITIGATION MEASURES

## Introduction

This section provides an assessment of potential environmental and social impacts from the proposed Projects as well as the proposed mitigation measures to avoid, reduce, remediate, or compensate for potential negative impacts and to enhance positive impacts. A description of the assessment methodology used to assess the significance of potential impacts, considering impact magnitude and sensitivity of receptors and resources affected, is provided below. To facilitate the reading of the ESIA, the same heading structure in terms of environmental indicators, receptors or resources affected by the project activities were considered as the ones used in the baseline and listed in section 4. All the mitigation measures identified in this chapter have been collated into the Environmental and Social Management Plan (‘ESMP’) matrix. This includes Occupational Health and Safety.

## Impact Assessment Methodology

An impact is essentially any change to a resource or receptor brought about by the presence of the Project component or by the execution of a Project related activity. In general, the assessment of impacts will proceed through an iterative process considering four key elements:

* Prediction of potential impacts and their magnitude (i.e., the consequences of the development of the natural and social environment).
* Evaluation of the importance (or significance) of potential impacts taking the sensitivity of the environmental resources or human receptors into account.
* Development of mitigation measures to avoid, reduce or manage the potential impacts or enhancement measures to increase positive impacts; and
* Assessment of residual significant impacts after the application of mitigation and enhancement measures.

Where significant residual impacts remain, further options for mitigation may be considered and impacts re-assessed until they are as low as reasonably practicable for the Project and would be deemed to be within acceptable levels.

## Defining Impact

Impacts will be defined in several ways, including:

* Nature of impact: positive or negative.
* Type of impact: direct, indirect, or cumulative.
* Duration of impact: temporary, short-term, national, international
* Scale of impact: onsite, local, regional, national, international.

## Assessment of Significance

Criteria for assessing the significance of impacts will stem from the following key elements:

* Status of compliance with relevant Kenyan legislation, policies, and plans and any relevant Kenyan or industry policies, standards, or guidelines, as well as international best practice standards and guidelines.
* The magnitude (including nature, scale, and duration) of the change to the natural or socioeconomic environment (e.g., an increase in coastal erosion, or an increase in employment opportunities), expressed, wherever practicable, in quantitative terms. The magnitude of all impacts is viewed from the perspective of those affected by considering the likely perceived importance as understood through stakeholder engagement.
* The nature and sensitivity of the impact receptor (physical, biological, or human). Where the receptor is physical, the assessment considers the quality, sensitivity to change and importance of the receptor. For a human receptor, the sensitivity of the household, community or wider societal group is considered along with their ability to adapt to and manage the effects of the impact; and
* The likelihood (probability) that the identified impact will occur. This is estimated based upon experience or evidence that such an outcome has previously occurred.

It is generally accepted that significance is a function of the magnitude of the impact and the likelihood of the impact occurring.

For this assessment, significance has been defined based on five levels described in table below.

*Table 12* Impacts Categories of Significance

|  |  |
| --- | --- |
| **Category** | **Significance** |
| Positive impacts | Positive impacts provide resources or receptors, most often people, with positive benefits. It is noted that concepts of equity need to be considered in assessing the overall positive nature of some impacts such as economic benefits, or opportunities for employment |
| Negligible impacts (or Insignificant impacts) | Negligible impacts (or Insignificant impacts) are where a resource or receptor (including people) will not be affected in any way by a particular activity, or the predicted effect is deemed to be ‘negligible’ or ‘imperceptible’ or is indistinguishable from natural background variations. |
| Minor | An impact of minor significance (‘Minor impact’) is one where an effect will be experienced, but the impact magnitude is sufficiently small (with or without mitigation) and well within accepted standards, and/or the receptor is of low sensitivity/value. |
| Moderate | An impact of moderate significance (‘Moderate impact’) is one within accepted limits and standards. Moderate impacts may cover a broad range, from a threshold below which the impact is minor, up to a level that might be just short of breaching a legal limit. Clearly, to design an activity so that its effects only just avoid breaking a law and/or cause a major impact is not best practice. The emphasis for moderate impacts is therefore on demonstrating that the impact has been reduced to a level that is ALARP (as-low-as-reasonably-possible). This does not necessarily mean that ‘Moderate’ impacts must be reduced to ‘Minor’ impacts, but that moderate impacts are being managed effectively and efficiently. |
| Major | An impact of major significance (‘Major impact’) is one where an accepted limit or standard may be exceeded, or large magnitude impacts occur to highly valued/sensitive resource/receptors. An aim of EIA is to get to a position where the Project does not have any major residual impacts, certainly not ones that would endure into the long-term or extend over a large area. However, for some aspects there may be major residual impacts after all practicable mitigation options have been exhausted (i.e., ALARP has been applied). It is then the function of regulators and stakeholders to weigh such negative factors against the positive ones in coming to a decision on the Project. |

For environmental impacts the significance criteria used in this ESIA is shown in the table below.

*Table 13* Overall Significance Criteria for Environmental Impacts

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | | Sensitivity/vulnerability/importance of resource/receptor | | |
| Low | Medium | High |
| Magnitude of impact | Negligible | Negligible | Negligible | Negligible |
| Small | Negligible | Minor | Moderate |
| Medium | Minor | Moderate | Major |
| Large | Moderate | Major | Major |

For the social impact assessment, the perceptions of stakeholders, expressed as opinions around certain issues, can be as important as actual impacts. Consequently, the concept of perception is explicitly brought into the evaluation of significance after an impact is evaluated. When an impact is of significant stakeholder concern, this may be causing to raise the significance rating. This prompts the formulation of more rigorous and appropriate mitigation measures which focus on the source of the impact and address stakeholder perceptions. The risk of not addressing stakeholder perceptions is that reputational damage could arise, resulting in the loss of a ‘social license to operate.

## Magnitude of Impact

The impact assessment describes what will happen by predicting the magnitude of impacts and quantifying these to the extent practical. The term ‘magnitude’ covers all the dimensions of the predicted impact to the natural and social environment including:

* The nature of the change (what resource or receptor is affected and how).
* The spatial extent of the area impacted, or proportion of the population or community affected.
* Its temporal extent (i.e., duration, frequency, reversibility); and
* Where relevant (accidental or unplanned events), the probability of the impact occurring.

For social impacts, the magnitude considers the perspective of those affected by considering the likely perceived importance of the impact, the ability of people to manage and adapt to change and the extent to which a human receptor gains or loses access to, or control over, socio-economic resources resulting in a positive or negative effect on their well-being (a concept combining an individual's health, prosperity, their quality of life, and their satisfaction).

Table below (under Likelihood) provides an account of the key features (definitions) of each of the impact significance classifications (from Not Significant to High); specifically linking them to the need for mitigation measures.

## Sensitivity of Resources and Receptors

Sensitivities are defined as aspects of the natural or social environment which support and sustain people and nature. Once affected, their disruption could lead to a disturbance of the stability or the integrity of that environment. For ecological impacts, sensitivity can be assigned as low, medium, or high based on the conservation importance of habitats and species. For habitats, these are based on naturalness, extent, rarity, fragility, diversity, and importance as a community resource.

For socio-economic impacts, the degree of sensitivity of a receptor is defined as ‘a stakeholder’s (or groups of stakeholders’) resilience or capacity to cope with sudden changes or economic shocks. The sensitivity of a resource is based on its quality and value/importance, for example, by its local, regional, national, or international designation, its importance to the local or wider community, or its economic value.

## Likelihood

Terms used to define likelihood of occurrence of an impact are explained in the table below.

*Table 14* Explanation of Terms Used for Likelihood of Occurrence

|  |
| --- |
| An impact with a |
| High probability | Refers to a very likely impact | Refers to very frequent impacts |
| Medium probability | Refers to a likely impact | Refers to occasional impacts |
| Low probability | Refers to rare impacts | Refers to rare impacts |
|  | As far as one-time events (e.g., air emissions) or slowly developing effects are concerned (e.g., impacts on local lifestyle) | As far as possibly recurring impacts are concerned, such as accident or unplanned events (e.g., traffic accident, fire) |

## Definition of mitigation measures

Mitigation measures are developed to avoid, reduce, remedy, or compensate for significant potential negative impacts, and to create or enhance potential positive impacts, such as environmental and social benefits. In this context, the term “mitigation measures” includes operational controls as well as management actions. These measures are often established through industry standards and may include:

* Changes to the design of the project during the design process (e.g., changing the development approach).
* Engineering controls and other physical measures applied (e.g., wastewater treatment facilities).
* Operational plans and procedures (e.g., waste management plans); and
* The provision of like-for-like replacement, restoration, or compensation.

For potential impacts that are assessed to be of major significance, a change in design is sometimes required to avoid or reduce the significance. For potential impacts assessed to be of moderate significance, specific mitigation measures such as engineering controls are often sufficient to reduce these impacts to ALARP (‘as-low-as-reasonably-practicable’) levels. This approach considers the technical and financial feasibility of mitigation measures. Potential impacts assessed to be of minor significance are usually sufficiently managed through good industry practice, operational plans, and procedures.

In developing mitigation measures, the first focus is on measures that will prevent or minimize potential impacts through the design and management of the Project rather than on reinstatement and compensation measures.

## Assessing residual impacts

Impact prediction considers any mitigation, control and operational management measures that are part of the project design and project plan. A residual impact is the impact that is predicted to remain once mitigation measures have been designed into the intended activity. Social, economic, and biophysical impacts are inherently and inextricably interconnected. Change in any of these domains will lead to changes in the other domains.

## Positive Impacts during Construction Phase

This section enumerates and discusses the positive impacts associated with the proposed project during the construction phase of the project.

## Creation of Employment Opportunities

Various employment opportunities will be available during construction. The opportunities will be both skilled and unskilled. Majority of the unskilled and semi-skilled jobs will be taken up by the local community. Employment of the locals will increase skill transfer from the contractors.

The approximate number of workers to be employed by the proposed project is not yet known, however, this will contribute to easing unemployment level in the area. There will be a trickledown effect to the economy at large resulting from new income revenues as well as services provided through this project.

The impact significance is low as it will employ few people over a short period.

**7.10.1.1 Enhancement measures**

* Contractor should ensure that they prioritize the local community in allocating job opportunities.
* Contractor should ensure that job opportunities are not discriminatory.
* Equal opportunities should be given to both men and women.

* + 1. **Improving local economy Provision of Market for Supply of Building Materials**

During this phase, the project will require supply of building materials most of which will be sourced locally at the nearest trading centre and its environs to the extent possible. Therefore, the project will provide a ready market for local enterprises with such materials and boost the local economy.

* + 1. **Boosting of Businesses**

The businesses that will benefit during this phase are such as hotels, shops, artisan industries and food vending who will benefit directly from the construction, as people working there will need commodities from them. This will promote the informal sector in securing some temporary revenues and hence improved livelihoods.

One of the responsibilities of the PAPs of the proposed Solar Mini-grid is to undertake wiring of their premises before they are connected and payment of a connection fee of Ksh 1000. The MOE through its implementing agency KPLC should consider supporting at least 50 households that are very poor through installation of ready boards to offset the cost of wiring so that they can also access electricity.

The impact significance is low as it will buy few materials over a short period of time.

* KPLC should ensure that their contractors/suppliers remit taxes and have a tax compliance certificate.
* Prioritize local purchases over imports.
* Remit taxes on behalf of employees
* Contractor should prioritize local purchases over imports.
* Contractor should give preference to local labour which increases the local’s ability to spend.
  1. **Positive Impacts during Operation Phase**
     1. **Quality, Reliable Power Supply**

There is no electricity in Sake. This is a maiden project with an aim of supplying power through solar because the area is far away from the national power grid. Once operational, household, and public institutions (dispensary, primary school) and shopping centres in the area will greatly benefit from the stable power supply.

The impact significance is high as it will provide power where it wasn’t for a long period.

**7.11.1.1 Enhancement measures**

* KPLC should ensure that they have a functional customer support team and a field response team.
* KPLC should ensure that they communicate power outages early to consumers.
  + 1. **Employment Creation**

Employment opportunities will also be created during the operation phase of the project. Opportunities that will be created include unskilled, semi-skilled to skilled jobs. These will involve security personnel, and staff to operate and maintain the Mini grid. Employment will increase skill transfers.

The impact significance is low.

**7.11.2.1 Enhancement measures**

* KPLC should ensure that they prioritize the local community in allocating job opportunities.
* KPLC should ensure that job opportunities are not discriminatory.
* Equal opportunities should be given to both men and women.
  + 1. **Reduction of Pollution Associated with Thermal Power Generation, Kerosene, and Wood Fuel Usage:**

Residents in the area use different sources of energy. Electricity supply will imply that as many as are willing can apply for connection and get connected. This will result in reduced individuals and organizations using diesel generators, less reliance on kerosene, wood fuel and charcoal. This would mean less carbon dioxide is released to the environment and destruction of forests will be reduced hence decreasing greenhouse gasses.

The impact significance is high as it will provide cleaner energy over a long [period of time for many households.

**7.11.3.1 Enhancement measures**

* KPLC should ensure that the power provided cost is competitive to discourage the locals from using unclean sources of power.
* KPLC should ensure that they communicate power outages early to consumers.
  + 1. **Improvement of Local and National Economy**

The mini-grid project will ensure supply of a stable power that will reduce damage to the electronics, and this will result in promotion of businesses both in the formal and informal sectors. Availability of power will enable businessmen to scale up their businesses while making it possible to set up businesses such as salons, barber shops, photocopying machines, cyber cafes, welding, and refrigeration of drinks among others. This will result in income improvements at the individual level and for the national economy. More customers will be connected and retail of reliable electricity by the power utility firm will attract increased tax revenues to the government.

The impact significance is low as it will buy few materials over a long period of time.

**7.11.4.1 Enhancement measures**

* KPLC should ensure that their contractors/suppliers remit taxes and have a tax compliance certificate.
* Prioritize local purchases over imports.
* Remit taxes on behalf of employees
  + 1. **Education**

Access to electricity at the household level and schools will create opportunities for children to be able to study even for longer hours. Additionally, children in households can also access education programs being aired through different radio and T.V. channels. Schools will be able to take advantage of information technology and communication that are becoming a way of life in the education sector and learning in general.’

The impact significance is high as it will provide power to schools over a long period for additional study time in the night and morning.

**7.11.5.1 Enhancement measures**

* KPLC should consider having the transmission lines are closer to schools for them to benefit from the power supply.
* KPLC should consider partnering with the county government in providing street lighting to improve security for children and teachers leaving for school early or leaving late for home.
  + 1. **Health Benefits of the Project**

Solar energy for lighting is better than kerosene lamps that are in use currently. This is because kerosene lamps emit particles that cause air pollution. The health risks posed by this indoor air pollution mainly include acute lower respiratory infections. Additionally, insufficient illumination (low light) conditions can cause some degree of eye strain and reading in these conditions over long periods of time may have the potential to increase the development of near-sightedness in children and adults. The project will result in many families replacing kerosene lamps for lighting with electricity there-by reducing chances of the aforementioned disease incidences.

* + 1. **Improved Standard of Living**

Availability of power will result in lifestyle changes through improved night lighting, pumping of water instead of manual pumping and refrigeration to maintain food safety and quality.

* + 1. **Security**

The area will benefit from improved security since houses, businesses and public institutions will be well lit using electricity. This is as a result of more security flood lights bulbs which helps keep off opportunistic crimes including gender-based violence.

* + 1. **Communications**

Access to electricity will lead to improved communication. This will be enabled by the fact that charging of mobile phones will be easier and cheaper. Access to mass media like radio and T.V will provide opportunity for the households to access a wide range of information which is useful for decision making.

* 1. **Positive Impacts during Decommissioning Phase**
     1. **Employment Opportunities**

Once the project has served its purpose it will then be decommissioned. This will involve demolition and removal of the facility. During demolition, unskilled, semi-skilled and skilled employment opportunities will be available to the public.

* + 1. **Site Rehabilitation**

After demolition of the proposed project, rehabilitation of the project site will be carried out to restore it to its original status or to a better state than it was. This will include replacement of topsoil and re-vegetation which will lead to restoration of the visual, vegetative, and aesthetic state of the site.

* 1. **Negative Impacts during Pre-construction Phase** 
     1. **Land Take**

The identified site for the proposed Mini grid is part of 1.0025 hectares of land acquired from the Sake community and compensation in kind offered. The assessment found that.

* No residential houses or businesses premises were on the piece of land.
* No socio-economic activity was taking place on the land.
* No physical relocation will take place.

**7.13.1.1 Way Leaves**

Supply of electricity will involve passing of low voltage (LV) lines to connect the customers to power. It is estimated that a total of 12.83 km of LV circuit will be constructed mainly along the road reserve and along the boundaries to supply power.

The impact significance for this impact is assessed minor considering the community wilfully allocated the land for project construction.

**7.13.1.1.1. Mitigation Measures**

* Land for mini grids will be acquired by NLC compulsorily and affected communities compensated in-kind.
* The contractor will sign and adhere to the agreement for use of community land for contractor facilities and worker’s camps, and restoration of the site after use.
* The construction activities will be restricted to within the allocated land and the immediate surroundings only.
* After construction work, any land taken for a temporary basis for storage of material will be restored to their original form.
* Consultations with the community during construction of the low voltage lines
  1. **Negative Impacts During Construction Phase**

Despite the positive impacts identified, the project will also have negative impacts. However, adverse impacts are not anticipated due to its size and nature and most of the impacts will be experienced during the construction phase of the project. The negative impacts and their mitigation are discussed below.

* + 1. **Vegetation Clearance**

The construction process of the proposed Mini-grid and other associated facilities and structures will involve clearing of the existing vegetation cover (mainly grass) and trees. The project site is located in an open area with minimal settlement around besides the dispensary and residential homes. Both the magnitude and sensitivity of this impact will be low. The impact will be direct, permanent, and minor.

**7.14.1.1 Mitigation Measures**

1. Clear only the necessary areas
2. Ensure proper demarcation and delineation of the project area to be affected by construction works.
3. Specify locations for vehicles and equipment, and areas of the site which should be kept free of traffic, equipment, and storage.
4. Designate access routes and parking areas.
5. Re-vegetation including planting of trees around the plant/facility.
   * 1. **Soil Erosion Impact**

During clearing of the area to pave way for ground-breaking soil erosion may take place. This will be due to surface run off or blowing away by the wind if not properly managed. This is bound to happen because the soil will be loose. The area is gently sloped on the lower side and surface runoff can also result in soil erosion. The impact significance will be minor due to the nature of the works and the fact that construction activities will be confined in the small project area.

**7.14.2.1 Mitigation Measures**

* The contractor shall avoid ground-breaking during the seasons of high rainfall to avoid erosion.
* Monitoring of areas of exposed soil during rainy seasons to ensure that any incidents of erosion are quickly controlled.
* The contractor should ensure that construction related impacts like erosion and cut slope destabilizing should be addressed through landscaping and grassing, carting away and proper disposal of construction materials.
* Use silt traps where necessary.
* Cover soil stockpiles.
* Landscaping with grass on areas without electrical installation (lower areas)
* The contractor should ensure recovery of exposed soils with grass and other ground cover as soon as possible.
* The contractor should put up proper drainage to avoid unnecessary erosion and do compaction of spoil areas to avoid land instability in the form of soil subsidence, slip and mass movement.
* Areas compacted by vehicles during site preparation and construction should be scarified (ripped) by the contractor in order to allow penetration of plant roots and the re growth of the natural vegetation.
  + 1. **Contamination of Soil from Fossil Fuels**

The potential sources of soil contamination during the construction phase are oil /fuel leaks or spills from machinery used in site preparation and trucks used in transporting construction materials. Depending on the size and source of the spill, liquid and gaseous state, petroleum hydrocarbons may remain mobile for long periods of time, threatening to contaminate the soil. The significance of the impact to the soil will be minor due to the nature of the works and the fact that construction activities will be confined in the small project area.

**7.14.3.1 Mitigation Measures**

* Construction vehicles must be maintained in good state and proper servicing to ensure no oils are likely to leak.
* Care must be exercised not to spill any fossil fuels.
* Any contaminated soil shall be scooped and disposed-off appropriately.
  + 1. **Dust Emissions**

Initial activities such as site clearing, excavation if done in dry weather conditions will result in dust pollution. Dust emission from construction machinery is regarded as a nuisance when it reduces visibility and is aesthetically displeasing. This is expected during construction works. Dust will be generated from construction earthworks, transportation activities and aggregate mixing.

The receptors were noted to be mainly residential and a health facility. The distances from a source that dust impacts can occur is highly site specific and will depend on the extent and nature of incorporated mitigation measures, prevailing wind conditions, rainfall, and the presence of natural screening. Due to the variability of the weather, it is impossible to predict what the weather conditions will be when specific construction activities are being undertaken. Therefore, the assessment of construction dust impacts is typically qualitative.

**7.14.4.1** **Mitigation Measures**

* The construction area should be fenced off to reduce dust to the public.
* Sprinkle loose surface earth areas with water to keep dust levels down.
* Construction trucks moving materials to site, delivering sand and cement to the site should be covered to prevent material dust emissions into the surrounding areas.
* Masks should be provided to all personnel in areas prone to dust emissions during construction.
* Stockpiles of excavated soil should be enclosed/covered/watered during dry or windy conditions to reduce dust emissions.
* Drivers of construction vehicles must be sensitized so that they limit their speeds so that dust levels are lowered.
* Trees can be planted around the plant provided they do not cast shadows to the solar panels to act as wind breakers and hence decrease dust pollution.
  + 1. **Vehicle Exhaust Emissions**

Exhaust emissions are likely to be generated by the construction vehicles and equipment. Motor vehicles that will be used to ferry construction materials would cause air quality impact by emitting pollutants through exhaust emissions. There are few Receptors (settlements) within 500 m of the project site and the impact magnitude will be medium and sensitivity medium hence the impact significance will be moderate.

**7.14.5.1 Mitigation Measures**

* Drivers of construction vehicles must be sensitized so that they do not leave vehicles idling so that exhaust emissions are lowered.
* Maintain all machinery and equipment in good working order to ensure minimum emissions of carbon monoxide, NOX, SOX and suspended particulate matter.
  + 1. **Pollution from Solid Waste Generation**

It is expected that solid waste will be generated during the construction phase of the project. Solid waste is anticipated to be produced during site preparation, civil works, spoil from excavations and will include mortar, wood, paper, wastepaper wrappings, and conductor off cuts, masonry chips and left-over food stuffs. Effects of mismanaged waste include:

* Public nuisance due to littering or smell in case of rotting.
* Contamination of soils and water courses
* Creation of breeding grounds for vermin like rodents and cockroaches

The significance of this impact will be minor due to the nature of the works and the fact that construction activities will be confined in the small project area.

**7.14.6.1 Mitigation Measures**

* Ensure spoil from excavations is arranged according to the various soil layers. This soil can then be returned during landscaping and then rehabilitation, in the correct order which they were removed that is topsoil last.
* Segregate waste and dispose of appropriately using a licensed waste handler.
* Provide litter collection facilities such as bins and create awareness campaigns to segregate as early as possible, using the appropriate bins.
* Contractor to put in place and comply with a site waste management plan.
* The contractor should comply with the requirement of OSHA ACT 2007 and Building rules on storage of construction materials.
* Use of durable, long-lasting materials that will not need to be replaced as often, thereby reducing the amount of waste generated over time.
* Recovery of materials remains and return to stores.
* Re-use of materials where possible
* Proper budgeting to avoid waste generation.
  + 1. **Impacts on Water Resources and Water Quality**

During construction, excavation activities will involve soil exposure which results in soil erosion due to wind and surface runoff due to rains. Seepage from spilled fuels and oils and leaking machinery can also negatively impact groundwater water which could lead to potential contamination. Generally, due to the localized area of impact, the overall significance of the related impacts on water quality is considered to be minor, provided the necessary mitigation/ management measures are implemented. The people in the Sake area use an earth dam as the main source of water and care must be exercised to avoid any pollution to the water source.

**7.14.7.1 Mitigation Measures**

Measures shall be put in place to minimize erosion and sediment mobility, especially during construction. These measures include:

* + Clear the necessary areas only.
  + Appropriate remedial measures shall be implemented by the contractor in the event of erosion.
  + Infrastructure shall be designed to ensure that contaminated run-off does not reach watercourses.
  + In the event of an oil spill the procedures contained in the emergency response plan of the contractor will come into effect.
  + No vehicle maintenance and service shall be done at project site but in approved garages or service stations to avoid any possible oil and fuel spills that could contaminate soils and possibly ground water quality.
  + Ensure that potential sources of Petro-chemical pollution are handled in such a way to reduce chances of spills and leaks.
  + Construction activities to avoid any unchanneled flow of water at the site.
  + Storage areas that contain hazardous substances should be bundled with an approved impermeable liner and provision for a pit to be made in case of oil spill.
  + The excavation and use of rubbish pits during construction should be strictly prohibited.
  + A waste disposal area should be designated within the active construction area, and this should be equipped with suitable containers i.e., skips or bins of sufficient capacity and designed to contain and prevent refuse from being blown by wind,
  + Areas contaminated by spilled concrete and/or fuels and oils leaking from vehicles and machinery should be cleaned immediately.
  + The contractor to source for alternative source of water for construction purposes to avoid potential conflict with the community.
    1. **Noise and vibration**

During construction activities noise pollution will occur and is bound to be a nuisance and a disturbance to neighbouring communities. This noise is from construction equipment, excavation works, concrete mixing and vehicles coming to site but will be temporary. From the prediction of the specialist study on ambient noise quality measurements, the traffic noise that will be emitted by traffic accessing the proposed project site during construction is expected to have an adverse impact on ambient noise. The level of traffic noise will increase depending on the traffic volume. General guideline indicates that an increase of 20% in traffic volume approximates to a noise level increase of around 1 dB, while a doubling of traffic volume results in a noise level increase of about 3 dB. It is, however, worth noting that the level of noise is attenuated with increase in distance from the source and thus the sites/objects in close proximity to the source will receive more noise in comparison to those at remote location. The impact significance has therefore been assessed minor. This is due to the fact that the impact magnitude is low, and the receptor sensitivity is medium. The site is in very close proximity to a few residential houses nearby.

**7.14.8.1 Mitigation Measures for Noise and Vibration**

These proposed mitigation measures aim to ensure that noise generated during construction is kept to minimum and adheres to relevant noise standards. They include:

* Fencing off the construction site with iron sheet during construction
* Install portable barriers to shield compactors thereby reducing noise levels.
* Use of noise-suppression techniques to minimize the impact of construction noise at the project site.
* Use equipment designed with noise control elements.
* Coordinate with relevant agencies regarding all construction activities.
* Limit vehicles to minimum idling time and observe a common-sense approach to vehicle use. and encourage drivers to switch off vehicle engines whenever possible.
* Set and observe speed limits and avoid raving of engines.
* The Contractor shall ensure that construction activities are limited to working hours (i.e., between 8am and 5pm daily) from Monday to Saturday, or as required in terms of legislation.
* Compliance with Noise and Vibration Regulations of 2009 is expected.
  + 1. **Impacts from Hazardous Materials**

Some hazardous materials will be used during the construction phase of the project. They include insulating oil, paints, solvents, and oils. Spilled chemicals can contaminate soil as well as pollute water resources. Additionally, hazardous, and flammable substances if improperly stored and handled on site become potential health hazards for construction workers and the public. The amount of hazardous waste generated will be minimal. The significance of the impact will be minor due to a low magnitude and medium sensitivity.

**7.14.9.1 Mitigation Measures**

* Maintenance of construction vehicles will not be done on site.
* All hazardous products and waste should be labelled and handled properly to avoid contact with the ground.
* Material handling to be done by trained and qualified staff.
* The contractor site should have designated area (concrete bunded) for storing hazardous materials.
  + 1. **Accidental Oil Spills or Leaks**

There is a possibility of oil leaks from construction vehicles. The construction machines on the proposed site have moving parts which will require continuous oiling to minimize the usual corrosion or wear and tear. These processes may lead to oil spill to the ground. The impact significance will be minor due to the nature of the works and the fact that construction activities will be confined in the small project area.

**7.14.10.1 Mitigation Measures**

* In the event of accidental leaks, contaminated topsoil should be scooped and disposed of appropriately.
* It is proposed that the refuelling and maintenance of vehicles will not take place at the construction site.
* Contractor to create awareness for the employees on site on procedures of dealing with spills and leaks from oil for the construction machinery.
* Vehicles and equipment must be serviced regularly and kept in good state to avoid leaks.
* In case of spillage the contractor should isolate the source of oil spill and contain the spillage using sandbags, sawdust, absorbent materials and/or other materials approved by materials.
* Proper training for the handling and use of fuels and hazardous material for construction workers.
* All chemicals should be stored within the bunded areas and clearly labelled detailing the nature and quantity of chemicals within individual containers.
  + 1. **Fire Hazards**

During construction of the project, fire hazards are likely to occur especially when precaution measures are not taken to account. Smoking is one of the causes of fires and this can happen if cigarette butts are left carelessly. Additionally, keeping of fuels on site during construction can be a potential cause of fire. This impact is evaluated to be of moderate significance. All the construction activities will be confined at the project site hence high sensitivity and low magnitude.

**7.14.11.1 Mitigation Measures**

The following measures should be put in place to prevent fire hazards:

* Create awareness to the construction workers on potential fire hazards.
* Provision of firefighting equipment (extinguishers) on site during construction.
* No smoking shall be done on construction site.
* ‘No smoking’ signs shall be posted at the construction site.
* A fire evacuation plan must be posted in various points of the construction site including procedures to take when a fire is reported.
  + 1. **Impacts of construction material sourcing (e.g., quarrying)**

The construction of the project will utilize materials such as stone, ballast, sand and hardcore. It is anticipated that they will be obtained from quarry and mining operations. Conscious or unwitting purchase of these materials from unlicensed operations indirectly supports, encourages, and promotes environmental degradation at the illegal quarry sites and causes medium to long term negative impacts at source, including landslides. The significance of this impact will be moderate due to high sensitivity and low magnitude.

**7.14.12.1 Mitigation Measures**

* The contractor should source all building materials such as stone, sand, ballast, and hard core from NEMA approved sites.
* Ensure accurate budgeting and estimation of actual construction materials to avoid wastage.
* Reuse of construction materials where possible.
  + 1. **Increased Water Demand**

During the construction of the project there will be increased demand for water by the construction workers and the construction workers. Water will be mostly used in the construction works and for wetting surfaces or cleaning completed structures. It will also be used by the construction workers to wash themselves and even drink. Although the sensitivity of the receptor (surface water) in the project area is high owing to unavailability of feasible alternative source of water for the local community, the overall significance of impacts is assessed to be negligible due to negligible magnitude of the impact.

**7.14.14.1 Mitigation Measures**

* Prudent use of available water
* Consultations with the project local committee on use of water in the community to avoid conflicts with the community.
* Contractor to make own arrangements to provide water for construction works different from the community dam to avoid any conflicts with the community.
  + 1. **Energy Consumption**

The construction works will consume fossil fuels (mainly diesel) to run transport vehicles and construction machinery. Fossil energy is non-renewable, and its excessive use may have serious environmental implications on its availability, price, and sustainability. This impact will be negligible owing to the size of the project that will require very few trucks during the construction phase.

**7.14.14.1 Mitigation Measures**

Proper planning of transportation of materials will ensure that fossil fuels (diesel, petrol) are not consumed in excessive amounts. Complementary to these measures, the contractor shall monitor energy use during construction and set targets for reduction of energy use.

* Regular maintenance of vehicles to ensure efficient consumption of fuels.

* + 1. **Occupational Health and Safety Impacts**

There are several activities involved during construction. These activities can pose potential health and safety risks to the workers. The activities include excavation, backfilling, civil works, pole erection, stringing of conductors. Risk of accidents and incidents are likely during construction activities. As already noted during construction, the safety and health of employees may be exposed to risk as a result of the use of tools and other machinery to construct the Mini grid. Occupational safety and health risks include accidents, falls from heights, pricks by sharp objects etc. The impact on occupational health and safety during the construction phase is evaluated to be of moderate significance. All the construction activities will be confined at the project site hence high sensitivity and low magnitude.

**7.14.15.1 Mitigation Measures**

* The contractor should use skilled personnel for activities that demand that.
* Awareness creation/Toolbox talks on safety to workers while at construction site and documentation kept.
* Workers coming to the site should be knowledgeable on safety precautions to take.
* Appropriate PPE (helmet, safety harness, gloves, safety shoes, masks, climbing irons among others).
* Proper housekeeping and maintain good hygiene.
* Close supervision of workers.
* Engagement of trained first aider on site.
* Provide safe drinking water for workers.
* Availability of equipped first aid box on site.
* Risk assessment by contractor of the construction activities and implement mitigation measures appropriately.
* Adherence to occupational Safety and Health Act 2007.
* Establish Safety committees.
* The contractor must acquire insurance for the workers-WIBA cover.
  + 1. **Community Safety -Access to Site by General Public**

If access to the Mini-grid site is not controlled, then it can lead to people entering the site including animals. This can result to accidents. Impact significance is rated as moderate considering the high impact magnitude and low receptor sensitivity.

**7.14.16.1 Mitigation Measures**

* Proper barricading.
* Awareness creation to community.
* Hazard communication.
* Controlled access to the site by designated personnel.
* Maintain records of any person who comes to site.
  + 1. **Spread of HIV/AIDS and STIs**

HIV and AIDS remain a major challenge in Kenya as well as in Mandera County. The epidemic continues to adversely impact all spheres of the County; economic, social and health sectors. With an estimated HIV prevalence of 5.7% (National HIV Estimates 2014) Mandera County is ranked as a medium-epidemic county. With 21,159 People Living with HIV (PLHIV) in the county, it is of concern that two thirds of this population are women and over 2,600 of them are children. These facts prompt us to audit our efforts towards elimination of mother-to-child HIV transmission (eMTCT) and other related programmes.

The project construction will improve the economic status of some of the people employed thus increasing the disposable income with the probability of indulgence in substance abuse and using the money to solicit for sex. Researchers have indicated that HIV prevalence rates are higher in areas where there is high disposable income as might be the case during construction of the project.

**7.14.17.1 Mitigation measures include:**

* Develop and implement HIV/AIDS Policy to promote awareness of HIV/AIDS and access to treatment.
* Employees, contractors, and subcontractors will be required to follow, and will be trained in, the Worker Code of Conduct which includes context specific guidelines on worker-community interactions, worker-worker interactions and alcohol and drug use.
* Employees, contractors, and subcontractors will be trained and educated to improve awareness of transmission routes and methods of prevention of sexually transmitted infections, communicable diseases and vector borne diseases, notably malaria, prior to working on the Project site. Other diseases will be covered as appropriate.
* Provide access to free condoms at all worker sites and accommodation.
* Work with NGOs or the Ministry of Health to develop and implement a community sensitisation programme on HIV/AIDs and communicable diseases.
* Continue to implement a programme of stakeholder engagement including a grievance mechanism in communities in the Project Area.
* Monitor health trends during Project construction (and operations) in order to be aware of and respond appropriately to any negative health trends that may be linked to the Project and its workers.
  + 1. **Increase in competition for scarce resources and strain on public utilities.**

The influx of workers in the area is expected to lead to an increase in demand for public amenities such as hospitals, transport, schools, water resources etc. This could lead to a loss of access to these services by locals especially those who could be among the vulnerable categories. Due to an increase in demand, the cost of housing near the sites will disadvantage the locals.

* + 1. **Labour Influx and Related Impacts**

The nature of the project will require technical skills that might not be available in this community. This might require movement of construction workers into the community. With an increase in population of the area the social set up may be affected resulting different negative social impacts such as competition for resources (such as housing), illicit behaviour, crime (including prostitution, theft, and substance abuse) and spread of communicable diseases such as HIV/AIDS and STDs. However, it is expected that except for the technically skilled personnel might be sourced from outside the community while the unskilled labour, most of the labour is expected to be sourced locally. It is therefore a possibility that the neighbouring communities might go out looking for opportunities in the project area thus creating competition. The significance of this impact is considered to be minor because the receptor sensitivity will be medium, and the impact magnitude is low.

**7.14.19.1 Mitigation Measures**

* Reduction of labour influx by tapping into the local workforce to the extent possible
* Recruitment of local workforce to the extent possible especially unskilled and semi-skilled jobs
* Consultations with and involvement of local community in project planning and other phases of the project
* Awareness-raising among local community and workers on the need to have a good /cordial working relation.
* Sensitization/awareness to workers regarding engagement with the local community.
* Contactor shall make provision to provide resources needed by the workers if the need for such resources may result to competition e.g., water.
* Establishment and operationalization of an effective Grievance Redress Mechanism accessible to community members.
* The contractor and the project/community grievance redress committee work closely to address complaints raised on time.
* Gender considerations in employment opportunities.
* Appropriate compensation for work done.
* Respect for community values/culture.
* Prompt payments as per the contractual agreements/terms.
  + 1. **Child Labour**

Implementation of the project will lead to increased opportunities for the host community to sell goods and services to the incoming workers. This can lead to child labour to produce and deliver these goods and services, which in turn can lead to school truancy. The impact significance is rated minor, based on low sensitivity of the receptor and medium magnitude of the impact.

**7.14.20.1** **Mitigation Measures**

* Awareness creation to the community that child labour is illegal and that children have a right to education.
* Communication to the contractor that child labour is illegal and adherence to employment act is required.
  + 1. **Gender Based Violence- SEA and SH**

Gender-based violence (GBV) is an umbrella term for any harmful act that is perpetrated against a person’s will and that is based on socially ascribed (i.e., gender) differences between males and females. It includes acts that inflict physical, sexual, or mental harm or suffering, threats of such acts, coercion, and other deprivations of liberty. GBV in project may manifest in terms of sexual exploitation and abuse (SEA) and workplace sexual harassment (SH).

***Sexual Exploitation and Abuse (SEA)*** is any actual or attempted abuse of a position of vulnerability, differential power, or trust, for sexual purposes, including but not limited to, profiting monetarily and socially from the sexual exploitation of another. Sexual abuse is further defined as “the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.” Women, girls, boys, and men can experience SEA.

***Workplace sexual harassment (SH)*** includes unwanted sexual advances, request for sexual favours and sexual physical contact.

Sexual exploitation and abuse (SEA) of community members by project workers and sexual harassment (SH) among project workers are forms of GBV that are a potential risk and impacts to this proposed project. GBV has serious and far-reaching negative effects including physical injuries resulting in death or disfigurement, psychological trauma, infection with HIV/AIDS, unwanted pregnancies, social stigmatization and exclusion and economic deprivation among others. Consequently, it is incumbent that preventive measures be mooted to prevent occurrence of such cases.

There is no incident of gender-based violence in Sake as identified during FGD with Men, women, and youths. However, it cannot be ruled out during project implementation. Thus, the significance of this impact is considered to be Minor considering low sensitivity of the receptor and low magnitude of the impact.

**7.14.21.1 Mitigation Measures**

To manage GBV risks, the contractor will prepare a SEA/SH Prevention and Response Action Plan that will include a GRM that ensures confidentiality. The plan should have an Accountability and Response Framework. The plan will include the necessary measures for prevention and response. The contractor can make reference to the World Bank’s Good Practice Note for Addressing Gender-based Violence in Investment Project Financing involving Major Civil Works (Sept 2020) for further guidance.

It should be noted that the decision to report a GBV case lies with the survivor or the guardians if the survivor (in case of a minor) and such a decision must be respected. Therefore, the contractor or project will only refer the survivor or guardian to the established referral pathway, including the nearest police station with a gender desk for handling GBV cases. Also, should a survivor choose legal redress, the project will similarly facilitate him/her by referring him/her to the nearest established legal support facility that offers legal support to GBV survivors.

**Key tasks will include:**

* Community engagement to create awareness on GBV SEA/SH risk/ issues.
* Creating awareness to workers on the need to refrain from GBV SEA/SH incidences.
* Mandatory awareness creation for workers on required lawful conduct in the community and legal consequences for failure to comply with laws.
* Mandatory signing and implementation of code of conduct for the workers
* Creation of partnership or liaison with specialized actors in GBV who can respond appropriately in case of any incidence (provide contacts to community)
* Ensure a survivor centered approach in responding to GBV SEA/SH incidences i.e., decision to report lies with the survivor or the guardian in case of a minor.
* Contractor to provide established referral pathway including police station with a gender desk for handling GBV SEA/SH cases and also free toll numbers/hotlines for reporting GBV.
* The contractor will also facilitate any survivor who decides to take legal action by referring them to the nearest established legal support facility that offers legal support to GBV survivors.
* Ensure Confidential reporting and responding to GBV SEA/SH cases if reported.
* Encourage reporting of all GBV SEA/SH incidences to the chief or the grievance redress committee members or community elders; and
* Ensure all complaints on GBV SEA/SH or harassment are reported directly through CREO - county renewable energy officer.
  + 1. **Public Health Impacts**

Construction works/activities will bring people together and new interactions between people are likely to happen. These interactions are likely to pose risks to the social fabric of the community. Such risks include public health related issues such as (COVID-19 infections and spread, HIV/AIDS, communicable and sexually transmitted diseases (STDs). The receptor sensitivity is medium and low magnitude, hence Minor significance.

**7.14.22.1 Proposed Mitigation Measures**

* Sensitize workers and the community on prevention and mitigation of HIV/AIDS and other sexually transmitted diseases, through staff training, awareness campaigns and community *Barazas.*
* Awareness creation and consultations with local communities prior and during construction on the dangers of these diseases
* Informing workers on local cultural values and health matters.
* Provision of condoms to workers
* Allowing migrant workers time to be with their families
* The contractor is impressed upon not to set a construction camp on site.
* The contractor will provide public education/information about HIV/AIDS transmission and prevention measures.
* Ensure equal treatment of workers.
* Provide all appropriate COVID-19 preventive measures including campaign to maintain individual measures at the workplace.
  + 1. **Public Health Impacts Sanitary Waste**

Currently at the site there is no sanitary waste system (toilet) except one that is being constructed for the dispensary. There is a need to dispose of sanitary waste in a manner that will not pose health hazards to the workers and the community. The receptor sensitivity is medium and low magnitude, hence Minor significance.

**7.14.23.1 Mitigation Measures**

* Construct/ install pit latrines for both genders clearly labelled.
  + 1. **Forced Labour**

During construction of the mini grid the risk of forced labour is likely to occur, and precaution is needed to safeguard the community from being subjected to forced labour. The impact significance is rated minor, based on low sensitivity of the receptor and medium magnitude of the impact.

**7.14.24.1 Mitigation Measures**

* Contractor must adhere to the employment Act which outlaws any form of forced labour.
* Community to report any form of forced labour at the site.
* Contractor to ensure that all workers have a national ID card or documentation to show they are adults (above 18 years).
  + 1. **Risks related to Inadequate Stakeholder Engagement**

Lack of timely and adequate stakeholder engagement during construction is a recipe for dissatisfaction among stakeholders affected and can result in grievances which may turn to conflicts and delays in project construction. With the implementation of the mitigation measures the impact significance is minor.

**7.14.25.1 Mitigation measures**

* The contractor will design and implement a stakeholder engagement schedule to ensure various stakeholders are engaged at and informed about the project on a timely basis and respond to issues that the stakeholders may require.
* The contractor will also prepare and implement a grievance redress mechanism to deal with grievances. The grievance redress mechanism committee of this GRM should also include representatives from the community.
  1. **Negative impacts during Operation phase of the project**

The Solar Mini-grid will be installed, operated and maintained by the contractor for the first seven (7) years and then handed over to KPLC. Therefore, for the seven years KPLC will be monitoring the operations of the contractor.

* + 1. **Solid Waste Generation**

The proposed Mini grid is expected to generate some amounts of solid waste during its operation phase. The type of the solid waste generated during the operation of the project will consist of paper, drums, plastic, cables, meters, panels. Such wastes can be injurious to the environment. Some of these waste materials especially the plastic, cables, metals, polythene among others are not biodegradable hence may cause long-term injurious effects to the environment. The overall impact significance on land due to waste disposal during the O & M phase has been assessed as minor due to medium sensitivity and low magnitude.

**7.15.1.1 Mitigation Measures**

The contractor will be responsible for efficient management of solid waste generated by the project during its operation. In this regard, the contractor.

* Will provide waste handling facilities such as labelled waste bins for temporarily holding solid waste generated at the site.
* He shall put in place an emphasis on prudent waste generation and will give priority to reduction at source. This option will demand a solid waste management awareness among the employees.
* Separation of hazardous waste from non-hazardous waste is required.
* Use long-lasting materials that will not need to be replaced as often, thereby reducing the amount of waste generated.
* He will ensure that waste is disposed of regularly and appropriately.
* Waste should then be handled, collected, transported, and disposed according to the Environmental Management and coordination (waste management) regulations of 2006.
  + 1. **Liquid Waste/Oils Generation**

The solar Mini grid will have a small diesel backup generator which will operate in the event that the solar energy is limited for example during rainy and cloudy seasons. From its operations there will be waste oil. There is also potential for oil spills and accidents during oil loading to the generator, storage, and operations. These oil spills can pollute the soil and even ground water. The liquid waste to be generated is hazardous hence may cause long-term injurious effects to the environment. The overall impact significance on land due to liquid waste disposal has been assessed as minor due to medium sensitivity and low magnitude.

**7.15.2.1 Proposed Mitigation Measures**

* Proper storage of the oil is required to ensure no leakages/ spills to the ground.
* Frequent inspection and maintenance of the generator to minimize leakages.
* No vehicles should be serviced or maintained at the Mini-grid area.
* The waste oil or used oil must be disposed-off using NEMA approved waste handlers.
* Proper training for the handling and use of fuels for the operators of the Mini grid.
* In the event of accidental leaks, contaminated topsoil should be scooped and disposed of in accordance with the law.
  + 1. **Increased Oil Consumption**

The proposed Mini grid shall consume fuel/oil in the process of backing up the solar energy required. The fuel is produced mainly through non-renewable resources, implying this will have adverse impacts on these non-renewable resources base and their sustainability. The impact will be of minor significance.

**7.15.3.1 Mitigation Measures**

To ensure efficient energy consumption during the operation phase of the project, the contractor installed an energy-efficient lighting system at the project site facilities. This will contribute immensely to energy saving during the operational phase of the project. In addition, the plant operators will be sensitized to ensure energy efficiently in their daily operations.

* + 1. **Increased Storm Water Flow**

The panels, building roofs and pavements of the proposed Mini grid will lead to increased volume and velocity of storm water or run-off flowing across the area covered by the solar panels during the operation phase. This will lead to increased amounts of storm water entering the drainage systems. The impact will be of minor significance.

**7.15.4.1 Mitigation Measures**

* Construct the drainage system in a way to follow natural drain of the water.
* Concrete only the required area and leave the rest of the land with vegetation like grass.
* Construct rain harvesting system on the control buildings/office and harness into storage tanks for use.
  + 1. **Fire Outbreaks**

Carelessness and negligence both at the solar mini-grid and by the PAPs of electricity may cause fires. With the mitigation measures in place the impact is evaluated to be of moderate significance due to high sensitivity and low magnitude.

**7.15.5.1 Mitigation Measures**

* The power plant must contain firefighting equipment (Portable fire extinguishers) of recommended standards and in key strategic points.
* Detection/alarm systems that can detect fire should be considered and installed.
* A fire risk assessment and evacuation plan should be prepared and posted at strategic points and should include procedures to take when a fire is reported.
* Workers especially operators of the plant must be trained on firefighting and management.
* ‘No smoking’ signs shall be posted within the Mini-grid area.
* A fire Assembly point should be identified and marked.
  + 1. **Visual Impacts**

Once complete the Mini grid will present visual impacts, both by its physical presence and by visual impacts of its associated structures. Visual intrusion caused by the Mini grid may cause alteration to the natural scenery of the project area. Some people, however, do not notice structures or do not find them objectionable from an aesthetic perspective. To some, the Mini-grid and its utilities may be viewed as part of the infrastructure necessary to enhance everyday lives and activities while to others it represents economic development. The project and its surrounding area are new for such a developmental project and will have visual impacts during the initial period of the Project and the same will disappear over a period of time. Based on the above, significance of visual impact on landscape during operation phase of the project has been assessed as minor due to low receptor sensitivity and impact magnitude being medium.

**7.15.6.1 Mitigation Measures**

* The visual negative impacts can be mitigated through putting up a fence round to keep off/screen the solar panels.
* Planting of short trees along the fence.
  + 1. **Water Demand**

During this period the demand for water will be lesser than that used in construction. However, some amounts of water will be needed in wiping of the panels and use at the solar plant facility. Therefore, caution needs to be exercised to ensure prudent use of water. The impact is assessed to be negligible due to the very low magnitude of the impact.

**7.15.7.1 Mitigation Measures**

* There is need to source for a sustainable water source for use.
* Install water-conserving automatic taps.
* Encourage water harvesting from rooftops and storage for cleaning purposes (washing the panels off dust)
* Any water leaks through damaged pipes and faulty taps should be fixed promptly.
  + 1. **Sanitary waste**

Although there are few people who will be running the Mini grid during the operation phase, provision for disposal of sanitary waste must be put in place through septic tanks. The impact is assessed to be negligible due to the very low magnitude of the impact.

**7.15.8.1 Mitigation Measures**

The area is not served by a sewer system and sanitary waste will be drained through use of septic tanks.

* + 1. **Flooding**

Flooding may occur and cause damage to the plant and other associated infrastructure, but the risk of occurrence is low since the area is not known for regular flooding. The impact is assessed to be negligible due to the very low magnitude of the impact.

**7.15.9.1 Mitigation measures**

* Ensure drainage channels are free of any obstruction at all times i.e., not blocked.
* Construct more channels and or expand existing ones.
* Raise foundations of the solar panels and ensure a proper and firm concrete base.
* Create flooding diversions and or spill ways to divert water from getting into the solar power facility.
  + 1. **Workers Occupation Health and Safety**

Working within the Mini grid can pose potential health hazards and accidents to workers. Therefore, caution must be taken to ensure that the Mini grid does not pose health and safety risks to workers. Because the maintenance activities will be conducted less frequently, the impact magnitude on occupational Safety and Health will be low. Considering that the accidents may result in injuries and death, the sensitivity is considered to be High. Therefore, the significance is Moderate.

**7.15.10.1 Mitigation Measures**

* Ensure only qualified staff are employed to work in the facility.
* All workers operating the Mini grid must be equipped with appropriate and adequate personal protective equipment (PPE) such as safety footwear, helmet among others.
* Operators must be skilled on firefighting management.
* Annual environmental audits should be done.
* WIBA cover for staff is mandatory.
  + 1. **Hazardous Waste**

The amount of hazardous waste generated will be very low and possibly originate from maintenance works and would include used up batteries, damaged panes, waste oil, and their containers, used rags and spent clean-up rags. This impact is assessed as minor due to medium sensitivity and low magnitude.

**7.15.11.1 Mitigation Measures**

* These waste wastes should not be mixed with other non-hazardous waste.
* Operator to have a designated waste storage area for absolute lead-acid batteries awaiting disposal.
* These wastes should be disposed by NEMA approved handlers.
  + 1. **Noise and Vibration**

Negligible noise and vibration will be produced during the operation phase of the project and would be from the backup generator.

**7.15.12.1 Mitigation Measures**

The generator room should be made soundproof to ensure no noise of a nuisance level will be produced. The contractor should also monitor noise levels by taking tests and putting in appropriate measures.

* + 1. **Electric and magnetic fields (EMFs)**

Electric magnetic fields are only anticipated during the operation period, but these are negligible. The exposure to would be little EMFs is highly negligible because the EMFs produced by the electrical installation are low. Consequently, the study does not anticipate impacts of EMFs.

* + 1. **Shocks and electrocutions to the PAPs**

Majority of the PAPs who will be customers and users of the power have not used electricity before. Failure to take appropriate precaution while interacting with electricity can result in electric shocks, fires and even electrocution/death. Impact significance is rated as moderate considering the high impact magnitude and low receptor sensitivity.

**7.15.14.1 Mitigation Measures**

The following precaution/preventive measures need to be observed in order to prevent risk of electric shocks, fires, and electrocutions.

* Inspect the wiring of the houses before connecting power.
* Safety awareness campaigns to the community before connection of power on safety precautions such as.
  + Require community to engage a certified technician to do wiring in the premises.
  + Use of quality materials while wiring
  + Refraining from individual illegal extensions of power lines to other houses
  + Observing safety measures while using electricity such as not touching sockets and switches with wet hands or wiping with wet cloths
  + Keeping off all electricity infrastructure e.g., not tying livestock on electric poles, no cutting earth wires that run along some electric poles, not interfering with sockets or switches.
  + Reporting any electric wire/conductors if found fallen on the ground.
  + Report any incident regarding electricity at the local office –staff in charge of operating the Mini-grid.
    1. **Community safety -Access to the facility by general public**

Once operational the facility/plant will need controlled access from the public to avoid any safety risks. The contractor will put the following measures to ensure the public will not access the site without permission. Impact significance is rated as moderate considering the high impact magnitude and low receptor sensitivity.

**7.15.15.1 Mitigation Measures**

* Fencing off the facility to keep of community members, children, and livestock from entering into the facility.
* Controlled access to the site only with prior approval.
* Maintain records of any person who comes to site.
  + 1. **Risks related to poor or inadequate stakeholder engagement (Conflict)**

During operation of the project there are grievances that may arise from community and other stakeholders related to poor or inadequate engagement of stakeholders and other need for information or challenges in using power by the community. Therefore, the contractor will design and implement a grievance redress mechanism to deal with grievances. The grievance redress mechanism committee should also include representatives from the community. With the implementation of the mitigation measures the impact significance is minor to negligible.

**7.15.16.1 Mitigation Measures**

* Employ from the community to the extent possible
* Engage the community members and other stakeholders in a timely manner.
* Work closely with the GRM committee members in solving the conflicts.
* Solve all conflicts/grievances at the earliest time possible.
* Ensure all grievances are logged and closed.
* Monitoring the pattern of grievances to come up will long term measures.
  + 1. **Gender Based Violence- SEA/ SH**

Gender based violence risk is also possible during the operation phase although the labour force will be smaller. The impact is assessed as minor due to the low magnitude and medium receptor sensitivity. Therefore, measures must be put in place to address GBV risks.

**7.15.17.1 Mitigation Measures**

To manage GBV risks, the contractor will prepare a SEA/SH Prevention and Response Action Plan that will include a GRM that ensures confidentiality. The plan will include the necessary measures for prevention and response.

**Key tasks will include.**

* Community engagement to create awareness on GBV risk/ issues.
* Creating awareness to workers on the need to refrain from GBV incidences.
* Mandatory awareness creation for workers on required lawful conduct in the community and legal consequences for failure to comply with laws.
* Mandatory signing and implementation of code of conduct for the workers.
* Creation of partnership or liaison with specialized actors in GBV who can respond appropriately in case of any incidence (provide contacts to community)
* Ensure a survivor centered approach in responding to GBV incidences i.e., decision to report lies with the survivor or the guardian in case of a minor.
* Contractor to provide established referral pathway including police station with a gender desk for handling GBV cases and also free toll numbers/hotlines for reporting GBV.
* The contractor will also facilitate any survivor who decides to take legal action by referring them to the nearest established legal support facility that offers legal support to GBV survivors.
* Ensure Confidential reporting and responding to GBV cases if reported.
* Encourage reporting of all GBV incidences to the chief or the grievance redress committee members or community elders; and
* Ensure all complaints on GBV or harassment are reported directly through CREO - county renewable energy officer.
  + 1. **Public Health Impacts –HIV/AIDs**

There is potential for HIV/AIDs risks during the operation phase. Therefore, the contractor needs to take measures to prevent the same. Based on the fact that the receptor sensitivity will be medium and the impact magnitude low, the impact significance will be Minor.

**7.15.18.1 Mitigation Measures**

* Sensitize workers and the community on prevention and mitigation of HIV/AIDS and other sexually transmitted diseases, through staff awareness and awareness campaigns for the community.
* The contractor will provide public education/information about HIV/AIDS transmission and prevention measures.
* Provision of condoms to workers
* Allowing migrant workers time to be with their families
  + 1. **Public health Impacts -Covid 19 disease**

It is likely that the project will be implemented during the Covid 19 pandemic and so preventive measures must be put in place to prevent the disease from spreading. The receptor sensitivity will be medium and the impact magnitude low, therefore, the impact significance will be Minor.

**7.15.19.1 Mitigation Measures**

* Social distance must be observed.
* Provision of hand wash facilities before access
* Provide thermal guards for temperature check and monitoring for workers and any other person coming to site.
* Enforce wearing of masks.
* Make provision for testing and treating especially of workers.
* Display Ministry of Health guidelines on COVID 19 at strategic points and ensure adherence.
* Create awareness on COVID 19 preventive measures.
* Provision of contact numbers for the nearest health facility for testing and treatment
* Adhering to any other measures from the ministry of health which may be issued from time to time.
  + 1. **Dust Emissions**

During the operation phase not, much dust will be generated from the facility, but wind and dust storms are potential impacts. This impact will be negligible because there will be no activities on site that will have the potential to generate dust.

**7.15.20.1 Mitigation Measures**

* Trees can be planted around the plant/facility provided they do not cast shadows to the solar panels to act as wind breakers and hence decrease dust pollution.
* Ensure planting of grass around and within the facility compound
  + 1. **Vehicle exhaust emissions**

Exhaust emissions are likely to be generated by the vehicles coming to the facility though on a low risk. Due to the low magnitude of the impact and the low sensitivity, the significance will be minor.

**7.15.21.1 Mitigation Measures**

* Drivers of the vehicles must be sensitized so that they do not leave vehicles idling so that exhaust emissions are lowered.
* Company vehicles should be well maintained.

* 1. **Negative impacts during decommissioning phase**

**Preparation for Decommissioning**

The solar power plant may be decommissioned due to various reasons and there are impacts that will need to be mitigated. Once the KPLC makes the decision for decommissioning the following will be required.

* Prepare a Decommissioning Plan and submit it to NEMA and the County Governments of Mandera to obtain approval for implementation.
* Implement the decommissioning plan including backfilling, revegetation, disposal of waste material, recycling of recyclable material among others.

Some of the negative impacts associated with the proposed project during its decommissioning phase include.

* + 1. **Noise and Vibration**

The demolition works will lead to significant deterioration of the acoustic environment within the project site and the surrounding areas. This will be as a result of the noise from demolition works. The impact significance has been assessed minor due to the fact that the impact magnitude is low, and the receptor sensitivity is medium.

**7.16.1.1 Mitigation Measures**

Significant impacts on the acoustic environment will be mitigated by the KPLC who will put in place several measures that will mitigate noise pollution. The following noise-suppression techniques will be employed to minimize the impact of temporary noise at the project site.

* Install portable barriers to shield compressors and other small stationary equipment where necessary.
* Use quiet equipment (i.e., equipment designed with noise control elements).
* Coordinate with relevant agencies in case the noise produced will require a license.
* Limit pickup trucks and other small equipment to a minimum idling time and observe a common-sense approach to vehicle use and encourage workers to shut off vehicle engines whenever possible.
* Demolish mainly during the day when most of the neighbours are out working.
  + 1. **Solid Waste Generation**

Demolition of the Mini-grid and related infrastructure will result in generation of solid waste. The waste will contain the materials used in construction including concrete, metal, wood, glass, paints, adhesives, sealants and fasteners, conductors, poles, solar panels, and batteries. Although demolition waste is generally considered as less harmful to the environment since they are composed of inert materials, there is growing evidence that large quantities of such waste may lead to release of certain hazardous chemicals into the environment. The impact will be of major significance due to high magnitude and medium receptor sensitivity. The batteries and panels need to be disposed of in a specific way, in accordance with the manufacturer’s guidelines and relevant regulations (both National and Mandera County Government regulations).

**7.16.2.1 Mitigation Measures**

* Demolition contractor to adhere to the various manufacturer’s guidelines and requirements regarding demolition and disposal.
* Segregation of waste in order to separate hazardous waste from non-hazardous waste and other streams of waste.
* Provision of facilities for proper handling and storage of demolition materials to reduce the amount of waste caused by damage or exposure to the elements.
* Adequate collection and storage of waste onsite.
* Safe transportation to the disposal sites / designated area.
* Hazardous waste must be disposed by NEMA approved waste handler.
  + 1. **Dust Emissions**

Some dust will be generated during demolition works. This will affect demolition staff as well as the neighbours. The impact will be of minor significance.

**7.16.3.1 Mitigation Measures**

High levels of dust concentration resulting from demolition or dismantling works will be minimized as follows:

* Watering all active demolition areas to kill dust.
* Cover all trucks hauling soil, sand and other loose materials or require all trucks to maintain at least two feet of freeboard.
  + 1. **HIV/AIDs awareness and prevention**

Interactions during the decommissioning phase will be for a very limited time. The project will sensitize workers and the surrounding communities on prevention and mitigation of HIV/AIDS and other sexually transmitted diseases, through staff training and awareness campaigns/ to the community. This impact is assessed to be Minor due to the low magnitude and medium receptor sensitivity.

* 1. **Social Protection**

There will adequate mechanisms in place to protect local vulnerable population especially women and minors from risks associated with influx of workers (harassment, underage sex). This system will ensure having security on site provided by the contractor as well as sensitization and enforcement by the contractor. There will also be a code of conduct established for contractor employees and contract workers acknowledging a zero-tolerance policy towards child labour and child sexual exploitation. Additionally, the contractor will employ their skilled staff and apply unskilled construction labour from the local population as far as possible to minimize the influx of foreigners into the community.

* 1. **Social Inclusion**

***7.18.1 Gender Mainstreaming***

Projects usually affect women and men differently, and their roles are highly delineated. The project shall ensure that both men and women are equally consulted about the project and benefit from employment and other opportunities the project will present.

In addition, among communities, some groups are faced with barriers that prevent them from fully participating in political, economic, and social life. Disadvantage is often based on social identity, which may be derived from gender, age, economic status, ethnicity, disability, among other factors. These factors make some groups of people more vulnerable to project impacts than others alongside posing barriers to accessing project benefits. Thus, development projects affect people differently, but vulnerable groups are more severely affected than those that are better off. In this project, some groups of the society that can be categorized as the vulnerable. These include the very poor, poor female headed households, poor children headed households, the poor elderly, and the special needs persons (disabled). To ensure social inclusion and social sustainability, deliberate effort must be made to ensure the vulnerable take advantage of the project benefits as well as shielding them from adverse impacts of the project.

**CHAPTER EIGHT**

* 1. **ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN (ESMP)**
  2. **Introduction**

Environmental and Social Management and Monitoring Plan (ESMMP) for development projects provides a logical framework within which identified negative environmental and socio–economic impacts can be mitigated and monitored. The ESMMP has been developed to be used as a tool to manage the environmental and social impacts that the activities of the proposed project will cause. The contractor before construction will make reference to this ESMMP and develop specific implementation plans. In addition, the ESMMP assigns responsibilities of actions to various actors and provides a timeframe within which mitigation measures and monitoring can be done.

The key objectives of the ESMMP are:

* To monitor the implementation of mitigation measures against potential adverse impacts of construction and operation phases of the project to ensure that they conform and comply with relevant environmental and social policies, guidelines, and legislation.
* To assess for emerging non-anticipated adverse environmental and social impacts and implement relevant mitigation measures to maintain them within acceptable levels.
* To maintain best practice in environmental, social health and safety during project construction and operation.

The ESMMP outlined below addresses the identified potential negative impacts and mitigation measures of the proposed Mini grid during pre-construction, construction, operational and decommissioning phases, based on the chapter of Environmental Impacts and Mitigation Measures of the potential negative impacts.

* 1. **Monitoring**

**Monitoring** denotes a systematic process of collecting, analysing, and using information to track the progress of implementation of the ESMMP including coming up with measures to address any emerging issues. Monitoring of the ESMMP will involve recording information to track performance and recommendations to keep implementation of ESMMP on track. Reporting is a key component of the monitoring exercise.

The proposed ESMMP will be subjected to monitoring. Monitoring will have two elements: routine monitoring against standards or performance criteria; and periodic review or evaluation. Monitoring will often focus on the effectiveness and impact of the ESMMP as a whole.

During the construction phase, the Implementing agency (KPLC) shall monitor the contractor’s activities in order to verify that the management measures/procedures/specifications are implemented as contained in the ESMMP. Compliance will mean that the contractor is fulfilling their contractual obligation.

During the operation phase, KPLC will monitor the facility's operations to ensure compliance with management measures in the ESMMP and operation procedures. As part of this monitoring, the KPLC will undertake or statutory initial environmental audit as required by the ESIA/EA Regulations, 2003 and subsequent annual environmental audits.

* 1. **Plan Monitoring**

All of the management plans make provision for monitoring and evaluation. Special attention should be given to the monitoring arrangements relating to biophysical impacts, occupational health and safety, social risks, facility operational and emergency response.

During the construction phase of the project, the contractor’s Environmental Health and Safety Officer (EHSO) shall report on the implementation of the ESMMP i.e., all environmental, safety and health impacts as well as accidents and incidents to the implementing agency. The social specialist of the contractor will report on implementation of the social measures as spelt out in the ESMMP.

The reported impacts and incidents will be captured on a database to ascertain trends and track progress in the implementation of preventive and corrective actions, and benchmarking against other, similar operations.

During operation, the implementing agency – KPLC will monitor the health and safety of personnel and contractors, in compliance with legislative requirements. Emergency incidents should be reported to the relevant authorities. The reported impacts and incidents will be captured on a database to identify weakness in the emergency response plan and track progress in the implementation of preventative and corrective and benchmarking against other similar operations.

The Environmental and Social Management and Monitoring Plan (*ESMMP*) will provide the basis for monitoring of potential Environmental, social and health Impacts associated with the project. The ESMMP provides effective observation and documentation of monitorable parameters that will help in analysing the effectiveness of the proposed mitigation measures with the advantages of improving operational efficiency, promoting competitive advantage, improving risk management, reducing liabilities, and improving business performance. The ESMMP has been provided in **Table 13** below.

* 1. **Environmental and Social Monitoring by Contractors**

KPLC will require that contractors monitor, keep records and report on the following environmental, health and social issues of the proposed project.

* *Safety*: hours worked, recordable incidents and corresponding root cause analysis (lost time incidents, medical treatment cases), first aid cases, high potential near misses, and remedial and preventive activities required (for example, revised job safety analysis, new or different equipment, skills training, and so forth).
* Environmental incidents and near misses: environmental incidents and high potential near misses and how they have been addressed, what is outstanding, and lessons learned.
* Major works: those undertaken and completed, progress against project schedule, and key work fronts (work areas).
* E&S requirements: noncompliance incidents with permits and national law (legal noncompliance), project commitments, or other E&S requirements.
* E&S inspections and audits: to include date, inspector or auditor name, and records reviewed, major findings, and actions recommended and implemented.
* Workers: number of workers, indication of origin (expatriate, local, nonlocal nationals), gender, age, and skill level (unskilled, skilled, supervisory, professional, management).
* Training on E&S issues: including dates, number of trainees, and topics.
* Footprint management: details of any work outside boundaries or major off-site impacts caused by ongoing construction—to include date, location, impacts, and actions taken.
* External stakeholder engagement: highlights, including number of formal and informal meetings, and information disclosure and dissemination—to include a breakdown of women and men consulted and themes coming from various stakeholder groups, including vulnerable groups (e.g., disabled, elderly, children, etc.).
* Details of any security risks: details of risks the contractor may be exposed to while performing its work—the threats may come from third parties external to the project.
* Worker grievances: details including occurrence date, grievance, and date submitted; actions taken and dates; resolution (if any) and date; and follow-up yet to be taken grievances listed should include those received since the preceding report and those that were unresolved at the time of that report.
* External stakeholder e.g., community grievances: grievance and date submitted, action(s) taken and date(s), resolution (if any) and date, and follow-up yet to be taken grievances listed should include those received since the preceding report and those that were unresolved at the time of that report. Grievance data should be age and gender disaggregated.
* Major changes to contractor’s environmental and social practices.
* Deficiency and performance management: actions taken in response to previous notices of deficiency or observations regarding E&S performance and/or plans for actions to be taken—these should continue to be reported until KPLC determines the issue is resolved satisfactorily.

A detailed Environmental and social management plan for pre-construction, construction and decommissioning phase is well illustrated in the table below;

*Table 15* Environmental and Social Management Plan (ESMP)

| **Potential Impacts** | **Recommended Mitigation Measures** | **Project phase** | **Responsibility** | **Monitoring Indicator** | **Frequency** | **Estimated Cost (Ksh)** |
| --- | --- | --- | --- | --- | --- | --- |
| **Local employment** | -Prioritize hire of locals for all unskilled labour.  -Implement a local recruitment plan that is fair and transparent (including recruitment processes that ensure inclusivity of both men and women, vulnerable individuals, minority clans, ethnic groups and VMGs.  -Adhere to labour laws, and labour management practices (timely renumeration, equitable compensation for both genders for equal work etc.)  -Create awareness to workers and the community on worker and project grievance redress mechanisms. | Construction  Operations  Decommissioning | KPLC construction,  O&M Contractor | -Fair and transparent local recruitment plan in place.  -Recruitment processes (job adverts, interviews, selection etc.).  -Number of locals employed based on gender, vulnerability, ethnic group, clan etc.  -Type of employment (skilled, semi-skilled and unskilled).  -Grievances raised, those aggrieved, status of resolution. | Quarterly | Contractor’s cost |
| **Local Sourcing** | -Source materials from local businesses/communities, and where necessary give opportunities to businesses owned or operated by vulnerable individuals. | Construction  Decommissioning | KPLC construction,  O&M Contractor | -Number and types of businesses sourced from, businesses owned and operated by vulnerable individuals, types, and quantities of materials etc. | Quarterly | No additional cost |
| **Land acquisition and compensation for land and assets on land** | In line with the RPF provisions;  -Prepare and implement an **Abbreviated Resettlement Action Plan (A-RAP)** to guide land acquisition for the mini-grid, and wayleaves for power distribution. Further, the proponent will fast-track A-RAP preparation to ensure that land acquisition and contractor mobilization to the site is undertaken after the A-RAP is finalized, cleared, and disclosed.  -The contractor will implement and adhere to agreements for temporal use of land and restoration of land after use.  -Compensate affected communities in-kind (priority project) for the loss of land.  -The construction activities will be restricted to within the allocated land and the immediate surroundings only.  -After construction work, any land taken for a temporary basis for storage of material will be restored to their original form.  -Consultations with the community on the low voltage lines.  -The design of the distribution line will utilize the existing road reserves. However, any damage to structures, crops, trees, community facilities and other assets will be compensated in line with the RPF provisions. | Pre- Construction | Contractor- *(contractors’ facilities, workers camps)*  KPLC- *(project land for generation assets)* | -Land Acquisition and consultation report (consultation (minutes and lists of participants).  -Type and amount of compensation paid to affected persons.  - Priority community project implemented and handed over to affected communities.  -Signed agreements with communities on the use and restoration of their land. | Quarterly | Value of compensation in kind project will be equivalent to the value of land acquired as per NLC |
| **Labour Influx and related impacts (SEA/SH, HIV/AIDs and other STIs)** | -Tap into the local workforce to the extent possible to reduce labour influx.  -Recruit local workforce to the extent possible especially for unskilled and semi-skilled jobs.  -Consult with and involve local community in project planning and other phases of the project.  -Raise awareness among local community and workers on the need to have a good /cordial working relation  -Sensitize workers regarding engagement with local community.  -Make provision to provide resources needed by the workers if the need for such resources may result to competition e.g., water.  -Establish and operationalize an effective Grievance Redress Mechanism accessible to community members.  -The contractor and the project/community grievance redress committee to work closely address complains raised on time.  -Include gender considerations in employment opportunities.  -Provide appropriate compensation for work done.  -Respect for community values/culture.  -Prompt payment of workers as per the contractual agreements/terms. | Construction  Decommissioning | KPLC construction,  O&M Contractor | -Records of employees/updated employee register.  -Number of local community employees and external employees/ updated employee register. | Quarterly | 50,000.00 |
| **Child labour** | -Employ workers who are 18 years and above, and with a valid national ID at the time of hire.  -Implement and monitor the employment register regularly. Compliance with the national labor laws and labour management practices.  -Put visible signage on site “**No Jobs for children.**”  -Do not allow children at the project site. | Construction  Decommissioning | KPLC construction,  O&M Contractor | -Updated employment register indicating locals employed, their ages, national identification numbers etc.  -Grievances raised, aggrieved persons and status on resolution etc. | Quarterly | 20,000.00 |
| **GBV- SEA and SH** | -Prepare an SEA/SH Prevention and Response Action Plan, to manage the SEA/SH risks.  -The Action Plan to be proportionate to potential SEA/SH risks, and to include measures such as awareness creation for communities and workers; identification of referral services for survivors and a GRM that ensures confidential reporting of GBV cases.  -Implement a code of conduct signed by all those with physical presence on site. | Construction  Operations  Decommissioning | KPLC construction,  O&M Contractor | -Minutes of awareness creation sessions for the community and workers on GBV-SEA/SH.  -Code of conduct signed by all those with physical presence on site.  -GRM that ensures confidentiality of GBV cases in place.  Documented referral services for survivors.  -Grievances raised, aggrieved persons and status on resolution etc | Quarterly | 50,000.00 |
| **Forced Labour** | -Adhere to the Employment Act which outlaws any form of forced labour.  -Report any form of forced labour at the site.  -Ensure that all workers have a national ID card or documentation to show they are adults (above 18 years). | Construction  Decommissioning | KPLC construction,  O&M Contractor | -Number of reported cases of forced labour. | Quarterly | 20,000.00 |
| **Risks related to Inadequate stakeholder engagement.** | -Prepare a stakeholder engagement/consultation plan (SEP) that is proportionate to the subproject and the identified stakeholders.  -Timely and prior disclosure of project all project information, including project instruments, the full rights and entitlements of project affected persons, sub-project positive and negative impacts and opportunities, proposed subproject budget.  -In line with the SEP, undertake adequate consultations prior to construction and throughout the project cycle with all segments of the community and other relevant stakeholders.  -Prepare and implement a grievance redress mechanism to deal with grievances.  -The grievance redress committee to include representatives from the community.  -Sensitize stakeholders on SEP and GRM. | Construction  Operations  Decommissioning | KPLC construction,  O&M Contractor | -Availability of and implementation of the Stakeholder Engagement Plan.  -# of stakeholder consultations held  -Record of stakeholder consultations held (minutes of meetings and list of participants).  -Information disclosed, to whom it was disclosed  (Men women, PWD, youth, vulnerable individuals, and households etc., methods and languages used in the disclosure (culturally appropriate and accessible), grievances raised and status on resolution etc.  -Concerns raised andactons raised. | Quarterly | 30,000.00 |
| **Exclusion of VMGs and vulnerable individuals and households** | In line with the provisions of the ESMF, VMGF and Social Assessment ensure the following.   * Early identification and inclusion of VMGs and disadvantaged groups. * Meaningful consultation to effectively participate in the project. * Timely and prior disclosure of relevant project information to VMGs and disadvantaged groups. * Adequate and ongoing consultations with VMGs and disadvantaged groups in line with the SEP. * All concerns or grievances raised are fully resolved in a timely manner. * Access to culturally appropriate project benefits and opportunities. | Pre-construction  Construction  Operations  Decommissioning | KPLC construction,  O&M Contractor | Minutes of consultative meetings with all community segments including VMGs and vulnerable individuals and households, grievances raised and status on resolution etc. | Quarterly | No additional cost |
| **Inaccessibility of project benefits to VMGs and other vulnerable individuals due to affordability challenges** | -Consult VMGs and Vulnerable individuals and households on charges for sub project services and put in place specific interventions to ensure the vulnerable equally access project benefits. | Operations | Proponent | -Interventions to enable those vulnerable access project benefits.  -Number of complaints raised by VMGs/vulnerable individuals regarding access to project services.  -GRM that is culturally appropriate and accessible.  Grievances raised and status on resolution etc. | Quarterly | No additional cost |
| **Inadequate grievances management** | -Constitute a Local Grievances Committee is in consultation with all community segments and incorporates the existing local dispute resolution mechanism.  -Implement a workers grievances mechanism.  -Awareness on the culturally appropriate and accessible GRM to all community segments  including VMGs, vulnerable individuals and households and CSOs  -All reported grievances are logged, dated, processed, resolved, and closed out in a timely manner.  -Proportionate representation of VMGs and vulnerable individuals in the local grievances committee.  -GRM provides for confidential reporting of particularly sensitive social aspects such as GBV, as well as anonymity. | Construction  Operations  Decommissioning | KPLC construction,  O&M Contractor | -Local Grievances Committee in place, composition of committee, awareness of community and workers on project and worker GRMs, updated GRM logs, types of grievances  -Availability of grievance redress process  -Number of grievances reported  -Number of grievances resolved in a timely manner  -Number of grievances escalated to national courts and the World Bank Grievances Redress Service and Inspection Panel. | Quarterly | No additional cost |
| **Environmental Impacts** | | | | | | |
| **Vegetation clearance** | 1. Clear only the necessary areas 2. Ensure proper demarcation and delineation of the project area to be affected by construction works. 3. Specify locations for vehicles and equipment, and areas of the site which should be kept free of traffic, equipment, and storage. 4. Designate access routes and parking areas. 5. Re-vegetation including planting of trees around the plant/facility | Construction | KPLC construction,  O&M Contractor | -Number of trees cleared  -Planted trees | Once off | 50,000.00 |
| **Soil erosion** | 1. Avoid ground-breaking during the seasons of high rainfall to avoid erosion. 2. Monitoring of areas of exposed soil during rainy seasons to ensure that any incidents of erosion are quickly controlled. 3. Construction related impacts like erosion and cut slope destabilizing should be addressed through landscaping and grassing, carting away and proper disposal of construction materials. 4. Use silt traps where necessary. 5. Cover soil stockpiles 6. Landscaping with grass on areas without electrical installation (lower areas) 7. Monitoring of areas of exposed soil during rainy seasons to ensure that any incidents of erosion are quickly controlled. | Construction | KPLC construction,  O&M Contractor | Assess size of rills or Gulley’s forming from accelerated run off from compacted areas | Quarterly | Part of contractor’s fee |
| **Contamination of soil from fossil fuels** | 1. Ensure wastewater generated is discharged or drained into approved drainage facilities. 2. Construction vehicles must be maintained in good state and proper servicing to ensure no oils are likely to leak. 3. Care must be exercised not to spill any fossil fuels. 4. Any contaminated soil shall be scooped and disposed-off appropriately. 5. No servicing vehicles on site | Construction | KPLC construction,  O&M Contractor | Records of any leakages from construction equipment/ vehicles. | Quarterly | 50,000.00 |
| **Dust emissions** | 1. The construction area should be fenced off to reduce dust to the public. 2. Suppress dust during dry periods by use of water sprays. 3. Stockpiles of excavated soil should be enclosed/covered/watered during dry or windy conditions to reduce dust emissions. 4. Burning of woody debris & construction waste to be prohibited 5. Use of personnel protective equipment (PPE) -masks should be provided to all personnel in areas prone to dust emissions. 6. Restrict speed on loose surface roads during dry or dusty conditions. 7. Keep stockpiles and exposed soils compacted and re-vegetate as soon as possible. 8. Construction trucks moving materials to site, delivering sand and cement to the site should be covered to prevent material dust emissions into the surrounding areas. 9. Plant short trees to break speed of wind. | Construction | KPLC construction,  O&M Contractor | -Visual Observation of dust  -Provision of PPEs especially masks | Daily | 100,000.00 |
| **Vehicle exhaust and emissions from Generator** | 1. Drivers of construction vehicles must be sensitized so that they do not leave vehicles idling so that exhaust emissions are lowered. 2. Maintain all machinery and equipment in good working order to ensure minimum emissions of carbon monoxide, NOX, SOX and suspended particulate matter. 3. Maintain equipment in good running condition – no vehicles to be used that generate excessive black smoke. 4. Use of diesel which is Sulphur- free to run the power producing generators to be encouraged. 5. The stack chimney of the generators will be increased from its normal height of 3 meters to 6 meters. | Construction | KPLC construction,  O&M Contractor | -Engine maintenance records  - inspection of stacks | Quarterly | 100,000.00 |
| **Solid waste generation** | 1. Ensure spoil from excavations is arranged according to the various soil layers. This soil can then be returned during landscaping and then rehabilitation, in the correct order which they were removed that is topsoil last. 2. Segregate waste 3. Provide litter collection facilities such as bins. 4. Contractor to put in place and comply with a site waste management plan. 5. The contractor should comply with the requirement of OSHA ACT 2007 and Building rules on storage of construction materials. 6. Use of durable, long-lasting materials that will not need to be replaced as often, thereby reducing the amount of waste generated over time. 7. Recovery of materials remains and return to stores. 8. Re-use of materials where possible 9. Proper budgeting to avoid waste generation. 10. Proper disposal of waste in line with solid waste regulation 11. Construction wastes to be managed in accordance with construction standards in Kenya. | Construction | KPLC construction,  O&M Contractor | Presence of well-maintained receptacles and centralized collection points | Quarterly | 100,000.00 |
| **Impacts on Water Resources and Water Quality** | 1. Clear the necessary areas only. 2. Appropriate remedial measures shall be implemented by the contractor in the event of erosion. 3. Infrastructure shall be designed to ensure that contaminated run-off does not reach water source i.e., earth dam. 4. Contractor to develop an oil-spill containment plan as part of the emergency response plan. In the event of an oil spill the procedures contained in the emergency response plan of the contractor will come into effect. 5. No vehicle maintenance and service shall be done at project site. 6. Ensure that potential sources of Petro-chemical pollution are handled in such a way to reduce chances of spills and leaks. | Construction | KPLC construction,  O&M Contractor | -Oil spill containment plan.  -Provision of fuel/oil drip and spill trays | Quarterly | 150,000 |
| **Noise & vibration** | 1. Construction activities to avoid any unchanneled flow of water at the site. 2. Storage areas that contain hazardous substances should be bunded with an approved impermeable liner and provision for a pit to be made in case of oil spill. 3. The excavation and use of rubbish pits during construction should be strictly prohibited. 4. A waste disposal area should be designated within the active construction area, and this should be equipped with suitable containers i.e., skips or bins of sufficient capacity and designed to contain and prevent refuse from being blown by wind, 5. Areas contaminated by spilled concrete and/or fuels and oils leaking from vehicles and machinery should be cleaned immediately | Construction | KPLC construction,  O&M Contractor | Noise levels-Records of noise measurements done by contractor within the project area and at distances of 30m from the Solar mini-grid | Quarterly | 150,000.00 |
| **Impacts from Hazardous materials -** | 1. Maintenance of construction vehicles will not be done on site. 2. All hazardous products and waste should be labelled and handled properly to avoid contact with the ground. 3. Dispose hazardous waste through a NEMA approved waste handler. | Construction | KPLC construction,  O&M Contractor | Presence of well-maintained receptacles and centralized collection points | Quarterly | 100,000.00 |
| **Accidental Oil Spills or Leaks** | 1. In the event of accidental leaks, contaminated topsoil should be scooped and disposed of appropriately. 2. Refuelling and maintenance of vehicles will not take place at the construction site. 3. Create awareness for the employees on site on procedures of dealing with spills and leaks. 4. Vehicles and equipment must be serviced regularly and kept in good state to avoid leaks. 5. In case of spillage the contractor should isolate the source of oil spill and contain the spillage using sandbags, sawdust, absorbent materials and/or other materials approved by materials. 6. All chemicals should be stored within the bunded areas and clearly labelled detailing the nature and quantity of chemicals within individual containers. | Construction | KPLC construction,  O&M Contractor | Records of all accidental spills and number of litters | Quarterly | 150,000.00 |
| **Fire Hazards** | 1. Create awareness to the construction workers on potential fire hazards. 2. Provision of firefighting equipment on site during construction. 3. No smoking shall be done on construction site. 4. ‘No smoking’ signs shall be posted at the construction site. 5. A fire risk assessment and evacuation plan should be prepared and must be posted in various points of the construction site including procedures to take when a fire is reported. 6. Designate an assembly point | Construction | KPLC construction,  O&M Contractor | -Records of any Fire incidences  -Fire equipment and evacuation plan | Quarterly | 100,000.00 |
| **Impacts of construction material sourcing (e.g., quarrying)** | 1. Source all building materials such as stone, sand, ballast, and hard core from NEMA approved sites. 2. Ensure accurate budgeting and estimation of actual construction materials to avoid wastage. 3. Reuse of construction materials where possible. | Construction | KPLC construction,  O&M Contractor | Sources of raw materials (from local community) | Quarterly | Part of contractor’s cost |
| **Increased water demand** | 1. Prudent use of available water 2. Consultations with the project local committee on use of water in the community to avoid conflicts with the community. 3. Source and utilize a sustainable and reliable water supply for both construction and operation phase. | Construction | KPLC construction,  O&M Contractor | Water usage records | Quarterly | Part of contractor’s cost |
| **Energy Consumption** | 1. Ensure responsible electricity use at the construction site through sensitization of staff to conserve electricity by switching off electrical equipment or appliances when they are not being used. 2. Proper planning of transportation of materials will ensure that fossil fuels (diesel, petrol) are not consumed in excessive amounts. 3. Complementary to these measures, they monitor energy use during construction and set targets for reduction of energy use. | Construction | KPLC construction,  O&M Contractor | Energy consumption records | Quarterly | No additional cost |
| **Occupational Health and safety Impacts** | 1. Use skilled personnel for activities which demand skills/technical tasks. 2. Awareness creation/Toolbox talks on safety to workers while at construction site. 3. Workers coming to the site should be knowledgeable on safety precautions to take. 4. Appropriate PPE (helmet, safety harness, boots, masks, climbing irons) 5. Proper general house keeping 6. Close supervision of workers 7. Risk assessment by contractor of the construction activities and implement mitigation measures appropriately. 8. Adherence to occupational Safety and Health Act 2007 9. Availability of equipped first aid box on site. 10. Provide safe drinking water for workers. 11. Engagement of trained first aider on site 12. Ensure the WIBA cover is taken for the staff. 13. Establish safety committees | Construction | KPLC construction,  O&M Contractor | Records of any near misses, incident, and accidents.  Records of corrective actions implemented if there was an accident. | Quarterly | 1,000,000.00 |
| **Community safety –access** | 1. Proper barricading 2. Hazard communication. 3. Controlled access to the site by designated personnel 4. Maintain records of any person who comes to site. | Construction | KPLC construction,  O&M Contractor | Presence of a controlled access and records of every person accessing the site | Daily | 20,000.00 |
| **Public Health Impacts** | 1. Sensitize workers and the community on prevention and mitigation of HIV/AIDS and other sexually transmitted diseases, through staff training, awareness campaigns and community *Barazas.* 2. Awareness creation and consultations with local communities prior and during construction on the dangers of these diseases 3. Informing workers on local cultural values and health matters. 4. Provision of condoms to workers 5. Allowing migrant workers time to be with their families 6. The contractor is impressed upon not to set a construction camp on site. 7. The contractor will provide public education/information about HIV/AIDS transmission and prevention measures. 8. Ensure equal treatment of workers. 9. Provide all appropriate COVID-19 preventive measures including campaign to maintain individual measures at the workplace. | Construction | KPLC construction,  O&M Contractor | Number of awareness creation sessions conducted.  -Availability of and distribution of condoms | Quarterly | 20,000.00 |
| **Sanitary waste** | 1. Construct/ install pit latrines for both genders clearly labelled. | Construction | KPLC construction,  O&M Contractor | Presence of separate and clean washrooms for both the gents and ladies | Quarterly | 300,000.00 |
| **Solid Waste Generation** | 1. Provide waste handling facilities such as labelled waste bins. 2. Emphasis on prudent waste generation and give priority to reduction at source. 3. Solid waste management awareness to operators 4. Operator to contract a NEMA licensed waste handler to collect and dispose solid waste. | Operation | KPLC construction,  O&M Contractor | Presence of well-maintained receptacles and centralized collection points | Quarterly | 50,000.00 |
| **Liquid Waste/Oils Generation** | 1. Proper storage of the oil is required to ensure no leakages. 2. Frequent inspection and maintenance of the generator to minimize leakages. 3. No vehicles should be serviced or maintained at the Mini-grid area. 4. The waste oil or used oil must be disposed-off appropriately. 5. Proper training for the handling and use of fuels for the operators of the Mini grid. 6. In the event of accidental leaks, contaminated topsoil should be scooped and disposed of appropriately. | Operation | KPLC construction,  O&M Contractor | -Engine maintenance records  -Oil spill containment plan | Quarterly | 200,000.00 |
| **Increased oil Consumption** | 1. Efficient energy consumption 2. Install an energy-efficient lighting system | Operation | KPLC construction,  O&M Contractor | Energy consumption records | Quarterly | No additional cost |
| **Increased storm water flow** | 1. Construct the drainage system in a way to follow natural drain of the water. 2. Concrete only the required area and leave the rest of the land with vegetation like grass. 3. Construct rainwater harvesting system on the control buildings/office and harness into storage tanks for use. | Operation | KPLC construction,  O&M Contractor | Provision of a drainage system and a rainwater harvesting system | Quarterly inspections | 200,000.00 |
| **Fire Outbreaks** | 1. The power plant must contain firefighting equipment (Portable fire extinguishers) of recommended standards and in key strategic points. 2. Detection/alarm systems that can detect fire should be and installed. 3. A fire evacuation plan should be prepared and posted at strategic points and should include procedures to take when a fire is reported. 4. Workers especially operators of the plant must be trained on fire management. 5. ‘No smoking’ signs shall be posted within the Mini grid area. 6. A fire Assembly point should be identified and marked. | Operation | KPLC construction,  O&M Contractor | -Provision of serviced fire equipment, evacuation plan and safety signages  -Records of fire safety training | Quarterly | 50,000.00 |
| **Visual Impacts** | 1. Fence round the solar Mini grid to keep off/screen the solar panels. | Operation | KPLC construction,  O&M Contractor | Presence of a perimeter fence | Quarterly inspections | No additional cost |
| **Water demand** | 1. Ensure prudent use of water. 2. Install water-conserving automatic taps. 3. Any water leaks through damaged pipes and faulty taps should be fixed promptly. | Operation | KPLC construction,  O&M Contractor | Water usage records | Quarterly | 20,000.00 |
| **Sanitary waste** | 1. Provide sanitary waste facilities for both genders clearly marked. 2. Disposal of waste through septic tanks | Operation | KPLC construction,  O&M Contractor | Presence of separate and clean washrooms for both the gents and ladies | Quarterly | No additional cost |
| **Flooding** | 1. Ensure drainage channels are free of any obstruction at all times i.e., not blocked. 2. Construct more channels and or expand existing ones. 3. Raise foundations of the solar panels and ensure a proper and from concrete base. 4. Create flooding diversions and or spill ways to divert water from getting into the solar power facility. | Operation | KPLC construction,  O&M Contractor | -Provision of drainage system  -Raised foundations for the structures | Quarterly | 100,000.00 |
| **Occupation health and Safety** | 1. Ensure only qualified staff are employed to work in the facility. 2. All workers operating the Mini grid must be equipped with appropriate and adequate person protective equipment (PPE) such as safety footwear, helmet among others. 3. Operators must be skilled on firefighting management. 4. Annual environmental audits should be done. 5. WIBA cover for staff is mandatory. | Operation | KPLC construction,  O&M Contractor | -Provision of PPEs and WIBA cover  -Environmental audit reports | Quarterly | 100,000.00 |
| **Hazardous waste-damaged panels** | 1. Segregation from other waste streams 2. Proper disposal through a NEMA approved/licensed handler. | Operation | KPLC construction,  O&M Contractor | Presence of well-maintained receptacles and centralized collection | Quarterly | 200,000.00 |
| **Noise and Vibration** | 1. Generator room should be soundproof to ensure no noise of a nuisance level will be produced. 2. Monitor noise levels. | Operation | KPLC construction,  O&M Contractor | Noise levels-Records of noise measurements done by contractor within the project area and at distances of 30m from the Solar mini-grid. | Quarterly | Part of contractor’s cost |
| **Shocks and electrocutions** | 1. Inspect the wiring of the houses before connecting power. 2. Safety awareness campaigns to the community before connection of power on safety precautions such as:    * Require community to engage a certified technician to do wiring in the premises.    * Use of quality materials while wiring    * Refraining from individual illegal extensions of power lines to other houses    * Observing safety measures while using electricity such as not touching sockets and switches with wet hands or wiping with wet cloths    * Keeping off all electricity infrastructure e.g., not tying livestock on electric poles, no cutting earth wires that run along some electric poles, not interfering with sockets or switches.    * Reporting any electric wire/conductors if found fallen on the ground.    * Report any incident regarding electricity at the local office –staff in charge of operating the Mini-grid. | Operation | KPLC construction,  O&M Contractor Consumer | -Records of awareness sessions conducted  -Incidences report | Quarterly | No additional cost |
| **Community Safety- Access to site by general public** | 1. Fencing off the facility to keep of community members, children, and livestock from entering into the facility. 2. Controlled access to the site only with prior approval 3. Maintain records of any person who comes to site | Operation | KPLC construction,  O&M Contractor | Presence of a controlled access and records of every person accessing the site | Daily | Part of contractor’s cost |
| **Risks related to poor or inadequate stakeholder engagement (Conflict)** | 1. Employ from the community to the extent possible 2. Engage the community members and other stakeholders in a timely manner. 3. Work closely with the GRM committee members in solving the conflicts. 4. Solve all conflicts/grievances at the earliest time possible 5. Ensure all grievances are logged and closed. 6. Monitoring the pattern of grievances to come up will long term measures. | Operation | KPLC construction,  O&M Contractor | Grievance records | Quarterly | 20,000.00 |
| **Gender Based Violence –SEA and SH** | To manage GBV risks, the contractor will prepare a SEA/SH Prevention and Response Action Plan that will include a GRM that ensures confidentiality. The plan will include the necessary measures for prevention and response and must ensure survivor-based approach | Operation | KPLC construction,  O&M Contractor | -SEA/SH Prevention and Response Action Plan  -Grievance records | Quarterly | 20,000.00 |
| **Public Health Impacts –HIV/AIDs** | 1. Sensitize workers and the community on prevention and mitigation of HIV/AIDS and other sexually transmitted diseases, through staff awareness and awareness campaigns for the community. 2. Provision of condoms to workers. 3. Allowing migrant workers time to be with their families. | Operation | KPLC construction,  O&M Contractor | Number of awareness creation sessions conducted.  -Availability of and distribution of condoms. |  | 20,000.00 |
| **Public health Impacts -Covid 19 disease** | 1. Social distance must be observed. 2. Provision of hand wash facilities before access 3. Temperature check and monitoring of the temperature of workers and any other person coming to site. 4. Enforce wearing of masks. 5. Make provision for testing and treating especially of workers. 6. Provision of contact numbers for the nearest health facility for testing and treatment 7. Adhering to any other measures from the ministry of health which may be issued from time to time. | Operation | KPLC construction,  O&M Contractor | Availability of hand washing facilities  Utilization of hand washing facilities  Number of Covid-19 cases reported | Quarterly | 30,000.00 |
| **Dust Emission** | 1. Trees can be planted around the plant/facility provided they do not cast shadows to the solar panels to act as wind breakers and hence decrease dust pollution. 2. Ensure planting of grass around and within the facility compound. | Operation | KPLC construction,  O&M Contractor | Visual inspection | Quarterly | 50,000.00 |
| **Vehicle Exhaust Emissions** | 1. Drivers of the vehicles must be sensitized so that they do not leave vehicles idling so that exhaust emissions are lowered. 2. Company vehicles should be well maintained. | Operation | KPLC construction,  O&M Contractor | Engine maintenance records | Quarterly | No additional cost |
| **Noise and Vibration** | 1. Install portable barriers to shield compressors and other small stationary equipment where necessary. 2. Use quiet equipment (i.e., equipment designed with noise control elements). 3. Co-ordinate with relevant agencies in case the noise produced will require a license. 4. Limit pickup trucks and other small equipment to a minimum idling time and observe a common-sense approach to vehicle use and encourage workers to shut off vehicle engines whenever possible. 5. Demolish mainly during the day when most of the neighbours are out working. | Decommissioning | KPLC ,  Contractor | Noise levels-Records of noise measurements done by contractor within the project area and at distances of 30m from the Solar mini-grid | Once off | 20,000.00 |
| **Solid Waste Generation** | 1. Demolition contractor to adhere to the various manufacturer’s guidelines and requirements regarding demolition and disposal. 2. Segregation of waste in order to separate hazardous waste from non-hazardous waste and other streams of waste. 3. Provision of facilities for proper handling and storage of demolition materials to reduce the amount of waste caused by damage or exposure to the elements. 4. Adequate collection and storage of waste on site 5. Safe transportation to the disposal sites / designated area. 6. Hazardous waste must be disposed by NEMA approved waste handler. | Decommissioning | KPLC,  Contractor | Presence of well-maintained receptacles and centralized collection points | Daily | 700,000.00 |
| **Dust Emissions** | 1. Cover all trucks hauling soil, sand and other loose materials or require all trucks to maintain at least two feet of freeboard. | Decommissioning | KPLC,  Contractor | Visual inspection | Daily | 20,000.00 |
| **Public Health- HIV/AIDS** | The project will sensitize workers and the surrounding communities on prevention and mitigation of HIV/AIDS and other sexually transmitted diseases, through staff training and awareness campaigns/ to the community. | Decommissioning | KPLC,  Contractor | Records of awareness creation sessions conducted.  -Availability of and distribution of condoms | Once off | 20,000.00 |
|  | Total intervention Estimate (KShs) | | | | | 4,380,000.00 |

* 1. **Approach to Environmental Impact Management**

The proposed ESMMP will be the responsibility of the proponent/KPLC, and the contractor as outlined. This sectionpresents the range of approaches that will be used to manage potential impacts of the proposed project.

* 1. **Management Plan during Construction Phase**

The contractor will prepare targeted management plans to deal with specific environmental and social aspects guided by the ESMMP and any other emerging issues on the ground. The contractor shall prepare these plans and have them approved by both the proponent and the Bank before they mobilize to the site:

* Construction management plan
* Rehabilitation and site closure plan
* Local recruitment plan
* Workplace health and safety plan
* Community safety plan
* Emergency management and response plan
* SEA/SH Prevention and Response plan
* Stakeholder Engagement management plan
* Grievance Redress mechanism
* Labour influx management plan
  + 1. **Construction Management Plan**

The construction management plan for the proposed project shall include the following:

1. **Management of Fuels and other Hazardous Materials**

* The Contractor shall comply with all applicable laws, regulations, permit and approval conditions and requirements relevant to the storage, use, and proper disposal of hazardous materials.

1. **Management of the Construction Site**

* The contractor shall prevent littering and the random discard of any solid waste on or around the construction site.
* The contractor shall manage other solid and liquid waste.

1. **Fire Prevention and Management**

* The Contractor shall take all necessary precautions to prevent fires caused either deliberately or accidentally during the construction process.
* The Contractor shall prepare a fire prevention and fire emergency plan as a part of the plans to be submitted to KPLC.

1. **Management of Air Quality**

* The Contractor shall institute appropriate measures to minimize or avoid air quality impacts. This can be achieved through formulation of air quality management plans.

1. **Neighbouring Landowner and Occupier Relations**

* The Contractor shall respect the property and rights of neighbouring landowners and occupiers at all times and shall treat all persons with deliberate courtesy.
* The contractor shall respect any special agreements between the KPLC and the neighbours e.g., the wayleaves agreements signed between Kenya power and lightning company and landowners will need to be respected by the contractors.

1. **Complaints Register**

The contractor shall establish and maintain a register for periodic review by the KPLC that logs all the complaints raised by the neighbours or the general public about construction activities. The register shall be regularly updated, and records maintained including the name of the complainant, his/her domicile and contact details, the nature of the complaint and any action taken to rectify the problem.

1. **Construction Control**

The construction control for the proposed project shall cover the following:

* + 1. **Control of Access**

The contractor shall ensure that the construction site is accessed by authorized persons only and up-to-date records kept.

**i) Control of material supply and burrow areas**

* The contractor shall, as far as possible, source all material needed to construct the proposed project from the licensed quarries.
* In instances where materials are to be obtained from a new burrow area; the contractor shall comply with relevant legislation.
* The contractor shall prepare a method statement including plans, detailing the expected quantity of excavation, temporary and permanent drainage control, the final contouring of the burrow pit and the proposed method of rehabilitation.
  + 1. **Rehabilitation and Site Closure Plan**
* After completion of construction activities, the contractor shall clear the site of construction materials and dispose wastes in appropriate disposal sites.
* The contractor shall remove all temporary works on the construction site and grow grass on areas that are not covered by the installations to control erosion.
  + 1. **Local Recruitment Plan**

The contractor will prepare a local recruitment plan to guide on recruitment of locals. The plan shall pay attention or adhere to the Employment Act.

In designing the local recruitment plan contractor shall:

* Comply with the provisions of Employment Act, 2007
* Wherever possible, give priority to qualified local people when hiring employees.

The mitigation measure is:

* Prepare a local recruitment strategy that is fair and transparent to ensure all community segments - men, women, vulnerable individuals, minority clans, and VMGs who meet OP 4.10 criteria) - can access subproject benefits during construction and that prioritizes hire of locals for skilled, semi-skilled and unskilled labour.
  + 1. **Workplace Health and Safety Plan**

The workplace health and safety plan to be implemented by the contractor and KPLC shall include the following key measures:

* The contractor shall comply with all relevant legislative requirements governing worker health and safety at the workplace (e.g., OSHA 2007 and its subsidiary legislations).
* The contractor shall prepare and implement measures to minimize diseases likely to be contracted by the construction workers as a result of the proposed project such as HIV &AIDs and other communicable diseases.
* The contractor shall have obligations of managing the safety of its employees by.
  + Provision of appropriate PPEs to employee
  + Training employees on competence
  + Employing competence and qualified staff
  + Provision of First Aid Kits onsite
  + Should have a trained first aider.
  + Document and create awareness on safe work procedures and work instruction.
* The contractor will manage accidents by having an emergency response plan which will include contacts for emergency service providers e.g., ambulances, fire brigade and nearest hospitals.
* Health and safety performance will be continuously monitored, and procedures reviewed with the aim of eliminating risk as far as reasonably practicable.
  + 1. **Community Health and Safety Plan**

The community health and safety plan to be implemented by the contractor shall include:

* Adherence to OSHA 2007 Act and its subsidiary legislations to ensure that health and safety of immediate neighbours and the public is not threatened.
* The contractor ensures that construction work is undertaken in a manner not likely to pose risks to community health and safety.
* The contractor shall undertake an independent risk assessment prior to construction. The findings of this assessment will inform the development of a community safety plan and create awareness to the community on the same.
  + 1. **Emergency Preparedness Plan**

The Contractor shall develop an emergency plan that will enable rapid and effective response to all types of environmental emergencies in accordance with recognized national and international standards.

The emergency plan shall include establishment of a network of communication between the Contractor and emergency services including police, ambulance services, and fire brigades among others.

* + 1. **SEA/SH Prevention and Response Action Plan**

The contractor will prepare a SEA/SH Prevention and Response Action Plan that will include a GRM that ensures confidentiality. The plan should have an Accountability and Response Framework. The plan will include the necessary measures for prevention and response of GBV impacts.

The mitigation measures shall include:

* Ensure that local employment opportunities are equitably accessible to all segments of the community,
* Ensure equal pay for equal work.
* Prepare and implement GBV (SEA/SH management) plan that includes sensitisation of community members and subproject workers on the potential of the subproject giving rise to, exacerbating and/or mitigating SEA and SH, and the appropriate mitigation measures.
* Map all GBV service providers and document referral services for survivors and sensitize community members and subproject workers on the referral pathways.
* Prepare and implement a functional and accessible contractor GBV GRM for use by workers and community members (as appropriate).
* The GBV GRM should allow for anonymous incident reporting and should be GBV survivor centric.
* Sensitize community members and workers on contractor GRMs.
* Prepare and sensitize Code of Conduct (CoC) for SEA and SH, and their responsibilities for the same, to demystify the stigma associated with SEA and SH
  + 1. **Stakeholder Engagement Management Plan**

A Stakeholder Engagement Plan is a formal approach to communicate with project stakeholders to achieve their support for the project. The plan prepared shall specify the frequency and type of communications, media, contact persons, and locations of communication events. The SEP is a useful tool for managing communications between the contractor and other stakeholders. The plan should meet the following objective of a SEP.

* To help improve project design and implementation.
* To inform third parties about changes that affect them.
* To take their views into account in the implementation of projects
* To identify adverse impacts and mechanisms to enhance project benefits.
* To identify risks from and to a project
* To increase project ownership and sustainability
* To comply with Bank policies that require consultations.

The plan shall put this measure in to consideration:

* In consultation with the identified stakeholders, prepare a stakeholder engagement plan (SEP) that is based on their locations (maps) and their information needs at the various subproject phases.
  + 1. **Grievance Redress Mechanism**

One of the key roles of the Grievance Redress Committees, will be to address disputes led by the administrative chiefs. All PAPs will be informed how to register grievances or complaints, including specific concerns about land and environment. The PAPs will be informed about the dispute resolution process, specifically about how the disputes will be resolved in an impartial and timely manner.

The Land Acquisition Tribunal has the jurisdiction to hear and determine appeals from the decision of the NLC on the process of compulsory land acquisition of land. However, if a party is dissatisfied by the decision of the tribunal, they may appeal to the Environment and Land Court. The court will deal with land related disputes. However, the Land Act 2012 and Environment and Land Court Act 2011 advocates for Alternative Dispute Resolution (ADR) methods in tackling land related disputes. ADR approaches will be given preference and based on customary rules, arbitration, or third-party mediation. ADR will be promoted or defended as a resolution to disputes related to land. The affected persons and other stakeholders also have a right to access the World Bank Redress Service (GRS) and the World Bank Inspection Panel at no cost.

* + - 1. **Grievance Redress Principles**

The principles of grievance mechanism management that need to be observed include.

* All complaints and grievances are resolved as quickly as possible.
* That the resolution of complaints and grievances should be at the lowest possible level for resolution.
* All complaints that can be resolved, should be resolved immediately on the site. The focus of the GRM is to resolve issues in a customarily appropriate fashion at community level and record details of the complaint, the complainant, and the resolution.
  + - * 1. **Grievance Redress Committee Capacity Building**

A grievance redress mechanism and a committee were established in a culturally appropriate manner in consultation with the community during the consultations for ESIA and will be utilized post ESIA. The GRM committee will have the following roles: log the grievances, maintain records of the GRC meetings and grievances, resolve the grievances to the extent possible.

* + - * 1. **Grievance Procedures**

1. *Registration* - Community members can inform the contractor about concerns directly and if necessary, through third parties. Once a complaint has been received, it will be recorded in a complaints log or data system. The log will be kept in hardcopy or electronic form. All reported grievances will be categorized, assigned priority, and routed as appropriate.
   * + - 1. **Grievance Log**

The grievance logbook will ensure that each complaint has an individual reference number and is appropriately tracked and recorded actions are completed. The information to be recorded will include:

* Name, age, gender of complainant.
* Date the complaint was reported.
* Date the grievance logged.
* Action taken.
* Date information on proposed corrective action sent to complainant (if appropriate).
* The date the complaint was closed; and
* Date response was sent to complainant.

b) *Sorting and Processing* - This step determines whether a complaint is eligible for the grievance mechanism and its seriousness and complexity. The complaint will be screened however this will not involve judging the substantive merit of the complaint.

The following guide will be used to determine whether a complaint is eligible or not:

Eligible complaints may include those where:

* The complaint pertains to the mini-grid project.
* The issues raised in the complaint fall within the scope of issues the grievance mechanism is authorized to address.

Ineligible complaints may include those where:

* The complaint is clearly not mini-grid project -related.
* The nature of the issue is outside the mandate of the grievance mechanism.
* The complainant has no standing to file.
* Other project or organizational procedures are more appropriate to address the issue.
* Closing Out and Escalation: Project-related grievances will be addressed and closed out as appropriate. The GRM will provide a channel for escalation e.g., through legal redress.

The proponent KPLC will monitor the activities of the stakeholder engagement and grievance management activities.

The three tiers if the GRM are as described below:

* + - 1. **National Grievances Redress Committee (NGRC)**

NGRC has been established at the National level to ensure participatory and transparent implementation of the project. The NGRC will help the project carry out its mandate efficiently- particularly ensuring effective and amicable settling of disputes among the communities/PAP’s.

Members to **NGRC** include representation from the following agencies and entities.

1. Representative from the Ministry, chair of the Committee
2. Representative from NLC to handle matters that involve land take.
3. Representative of the Implementing Agencies (IA)-KP and REREC
4. Representative from the Ministry’s Legal office to guide on Alternative Dispute Resolution methods.
5. Representative from the County Grievance Redress Committee-depending on the matter at hand; Land or Environment
6. Representative from Gender and Social Development Office who will be responsible for ensuring gender issues are well addressed.
7. Representative from NEMA to handle environmental issues.
8. County Surveyor/Physical planner from the county Lands office
9. Project Affected Person’s-to represent the matter before the committee.
   * + - 1. **Functions of the National Grievances Redress Committee**
10. Ensuring effective flow of information between PAPs, the implementing agency and the County Grievance Redress committee on matters brought before the committee.
11. Co-ordinate County Grievance Redress Committees (CGRC)
12. Co-ordinate activities between the various organizations involved; facilitate grievance and conflict resolution at the highest level.
13. Resolving disputes that may arise within the project. If it is unable to resolve any such problems, the PAP’s can seek legal redress.

#### County Grievance Redress Committees (CGRC)

CGRC has been established at the county level to ensure participatory and transparent implementation of the project. The CGRC will help the project carry out its mandate efficiently- particularly ensuring effective communication with the communities.

Members to **CGRC** will include representation from the following agencies and entities.

1. Representative of NLC, to grant legitimacy to the acquisition process and ensure that legal procedures as outlined in Land Act 2012
2. Representative of the implementing agency
3. Representative of NEMA to handle environmental issues.
4. The County Administration representative, which will provide the much-needed community mobilization, and support to the sub-project.
5. County Land Survey Officer will survey all affected land and produce maps.
6. The County Gender and Social Development Officer who will be responsible for ensuring gender programs are adhered to.
7. The County Lands Registrar will verify all affected land and validate the same.
8. Two PAP representatives from Location Grievance Resettlement Committee – act as voice for the PAPs
9. NGOs and CBOs locally active in relevant fields

The CGRC will have the following **specific responsibilities:**

1. Ensuring effective flow of information between PAPs and the implementing agency
2. Coordinate Locational Grievance Redress Committees (LGRC)
3. Coordinate activities between the various organizations involved; facilitate grievance and conflict resolution; and provide support and assistance to vulnerable groups.
4. Conducting extensive public awareness and consultations with the affected people so that they can air their concerns, interests, and grievances.
5. Resolving disputes that may arise within the project. If it is unable to resolve any such problems, channel it to the National Grievance Redress committee before utilizing the appropriate formal grievance procedures.

#### Locational Grievance Redress Committee (LGRC)

Since counties are large, further decentralized Grievance Redress Committee will be formed at the location of the sub-project. Subsequently, Locational Grievance Redress Committees(LGRC’s), based at each location of a sub-projects, will be established. The LGRC’s will be constituted by implementing agencies and representatives of CGRCs through consultation with the PAPs and will act as the voice of the PAPs.

The LGRCs will work under guidance and coordination of CGRC and the implementing agencies. Their membership will comprise of the following:

1. The locational Chief, who is the Government administrative representative at the locational unit and who deals with community disputes will represent the Government in LGRC
2. Assistant Chiefs, who supports the locational Chief and Government in managing local community disputes in village units will form membership of the team.
3. Female PAP, elected by women PAPs, will represent women and children related issues regarding the project.
4. Youth representative, elected by youths, will represent youth related concerns in the LGRCs.
5. Male representatives elected by the members of the PAPs.
6. Vulnerable persons representative will deal and represent vulnerable persons issues in the LGRCs.
7. CBO representatives

Membership of LGRCs will be elected by each category of PAPs except the locational Chief and assistant chiefs who will be automatic members of the team by virtue of their positions. Each of LGRCs will elect their own chairperson and a secretary among themselves.

**The roles of LRCCs** will include among others the following:

1. Conducting extensive public awareness and consultations with the affected people.
2. Help ensure that local concerns raised by PAPs as regards to the project are promptly addressed by relevant authorities.
3. Resolve manageable disputes that may arise relating to the project. If it is unable to resolve/help refer such grievances to the CGRCs instituted.
4. Ensure that the concerns of vulnerable persons such as the disabled, widowed women, orphaned children affected by the sub project are addressed.
5. Assist the community in recording grievances, including helping those who cannot write or read.
6. Help the vulnerable groups access project benefits.
7. Ensure that all the PAPs in their locality are informed about the project.

A diagram of a company

Description automatically generated with low confidence

*Figure 8: KOSAP Grievance Redress Mechanism*

It should be noted that if complainants are not satisfied with the grievance process, even after arbitration they have the right to present their complaint through the court system.

It is expected that most disputes will be resolved at the lowest level-Locational Grievance Redress Committee and since most disputes arise during the Construction and operation period the contractor’s Environmental and Social Safeguard team specifically the Community Liaison Officer will work closely with the community to be able to resolve disputes.

Responsibilities of the Community Liaison Officer include:

* Monitor day to day Implementation of the Project
* Address grievances as they arise on the project.
* A member of the Locational and County Grievances Redress Management Committee to respond on issues that may have been brought to the attention of the committee before escalating to the National Grievance Redress Committee
  + - * Escalate grievances internally to get a lasting solution.

#### Existence of a Local Grievance Redress Mechanism in Sake

A Local grievance redress committee was constituted in 2020. The LGRM was not active during the site visit. It is anticipated that the committee shall become active during the construction and operation phase of the project. The LGRM is composed of the following members of the project committee:

* + - 1. The area chief.
      2. Youth representatives.
      3. Female representatives.
      4. Male representative; and
      5. Vulnerable persons representative
      6. A Village Elder.

Contractor will prepare an effective Grievance Redress Mechanisms (GRM) to address and respond to grievances from both the community, the workers, and any other stakeholder.

A Grievance Redress Mechanism (GRM) provides access to remedy and identifies procedures to effectively address grievances arising from project implementation. GRM provides an avenue where people can formally lodge their complaints and grievances and have them properly considered and addressed.

The mitigation measures shall include:

* Prepare a project level timebound GRM in consultation with relevant stakeholders.
* Ensure the project GRM incorporates existing local dispute resolution mechanisms at the lowest tier and allows access to administrative and judicial processes as well as to other redress mechanisms such as meditation/arbitration and the World Banks grievance redress service (GRS) and the Inspection Panel.
* Have a subproject level GRM Focal Point to be responsible for receiving, logging/registering, submitting to the responsible tier for resolution and responding to and updating complainants on resolution status.
* Sensitize all stakeholder categories on the GRM and encourage them to make use of it.
* Ensure the GRM is functional, culturally appropriate, and accessible to all stakeholders without any cost to them and without fear of retribution or reprisal.
* Ensure adequate and proportionate representation of VMGs and vulnerable individuals in the local grievances handling committee.
* Prepare a timebound Contractor’s GRM and sensitize community members and project workers its processes.
* Ensure all reported grievances are logged, dated, processed, resolved, and closed out in a timely manner, or escalated to other levels.
* Ensure the GRM provides for confidential reporting of particularly sensitive social aspects such as GBV, as well as anonymity for those who wish to report anonymously.

#### World Bank Grievances Redress Mechanism

The World Bank has established 2 grievance redress mechanisms that provide avenues for individuals and communities to submit complaints directly if there is belief that they have been, or are likely to be, adversely affected by a World Bank-funded project. In this project PAPs and other stakeholders have the right to know and access at no cost these GRMs as described below.

#### World Bank Grievances Redress Service

The Grievance Redress Service (GRS) is an avenue for individuals and communities to submit complaints directly to the World Bank if they believe that a World Bank-supported project has or is likely to have adverse effects on them, their community, or their environment. The GRS enhances the World Bank’s responsiveness and accountability to project-affected communities by ensuring that grievances are promptly reviewed and addressed. Complaints must be in writing and addressed to the GRS and sent through the following methods namely:

Those aggrieved or their representatives can report their complaints through the following mediums:

Online by accessing the online form;

(ii) Sending an Email to grievance@worldbank.org; or

(iii) Submitting a letter to the World Bank Headquarters in Washington D.C., United States or World Bank Kenya County Office.

#### World Bank Inspection Panel

The Inspection Panel is an independent complaints mechanism for people and communities who believe that they have been, or are likely to be, adversely affected by a World Bank-funded project. The Panel is an impartial fact-finding body, independent from the World Bank management and staff, reporting directly to the Board. The Inspection Panel process aims to promote accountability at the World Bank, give affected people a greater voice in activities supported by the World Bank that affect their rights and interests, and foster redress when warranted. In September 2020, the Board updated the resolution that created the Panel and added to the Panel functions. At the same time, the Board approved a resolution establishing the World Bank Accountability Mechanism (AM). The new AM began operations in early 2021 and houses the Panel to carry out compliance reviews and a new Dispute Resolution Service (DRS), which will give complainants another way to have their concerns addressed. Contacts for registration of complaints to the IP are.

1. Tel: +1 202 458 5200: and
2. (ii) Email: [ipanel@worldbank.org.](mailto:ipanel@worldbank.org)

#### Government Management of Land Acquisition Disputes

The Environment and Land Court, established under the Environment and Land Court Act 2011, is a superior court (with offices across the country) that hears and determines disputes relating to land and the environment. Likewise, the Land Acquisition Tribunal established under the Land Act 2012; (PART VIIIA 133A) has jurisdiction to hear and determine appeals from the decision of the NLC on the process of compulsory acquisition of land. Therefore, in the first instance, such appeals are referred to the Tribunal. However, a party dissatisfied with the decision of the Tribunal may appeal to the Environment and Land Court on a question of law only. The regulations to set the Land Acquisition Tribunal established under the Land Value (Amendment) Act of 2019 are underway. Besides, the Judicial Service Commission will chair the Land Acquisition Tribunal once operational.

#### Labour Influx Management Plan

The purpose of this plan is to provide a clear set of actions and responsibilities for the control of impacts linked to in-migration within the Project’s area of influence. This plan will be regularly reviewed and updated to reflect revised Project design, socio-economic changes and learning experienced during its implementation.

The objectives of this plan are as follows:

* Monitor the scale of project induced in-migration into the project area and specific in-migration ‘hotspots.
* Support local government and communities to manage both internal and external immigration into the project area; and
* Mitigate and manage any negative impacts and enhance and promote any positive impact related to labour influx.

The plan shall consider these measures:

* Prepare and Implement a Labour Management Plan (LMP) with policies and measures for ensuring that:
* Subproject managers and workers are sensitised on:
  + County/National Labour laws
  + County/National Child Labour laws
  + National/International Forced Labour laws
* Enforce:
  + The Code of conduct
  + County/National Labour laws
  + County/National Child Labour laws
  + National/International Forced Labour laws

#### Rehabilitation and Decommissioning Management Plan

The rehabilitation and decommissioning management plan include the following:

#### Planning for Closure

a) The implementing agency shall investigate practical options for closure of the facility at least one year before decommissioning and submit a report to relevant authorities NEMA included.

b) The KPLC shall develop rehabilitation and decommissioning plan in conjunction with relevant stakeholders at least one year before the end of facility’s operations.

c) The KPLC shall explore options of re-use and recycling of the facility’s components/structures.

#### Decommissioning

a) The KPLC shall take into consideration the health and safety of personnel, contractors, neighbours and the public during the planning and implementation of the demolition process.

b) The KPLC shall undertake a further survey to identify any contaminated areas and remediate them accordingly.

#### Post Closure

The KPLC shall ensure that the facility’s site is free of impacts associated with the closure and demolition.

The KPLC shall develop, rollout and implement a monitoring plan that includes:

a) Monitoring of the rehabilitated site to confirm whether progress is satisfactory.

b) Outline of how land improvement and future land use will be affected by the past operations and decommissioning of the associated infrastructure.

#### Institutional Implementation Arrangements for the Proposed Project

This section presents roles and responsibilities of proponent, implementing agency, supervision consultant and contractor. The project is jointly implemented by the Ministry of Energy and Kenya Power and lightning Company. Specific roles are presented below.

#### Proponent -Ministry of Energy and Petroleum (MoEP)

The MoEP will provide overall coordination and oversight of the project. MOE will be responsible for overall responsibility for safeguards due diligence, and compliance monitoring. The MOE will also provide funding for the project planning and implementation.

#### KOSAP Project Implementation Unit

The MOE has already put in place a Project Implementation Unit (PIU) to guide implementation of the project. The PIU is already implementing the project. In the PIU Environmental and Social issues are spearheaded by an Environmental and Social Safeguards Expert whose role is to coordinate and oversee implementation of safeguards. The PIU reports to the MOE.

#### The Implementing Agency (KPLC)

KPLC will be responsible for implementation and operation of the project on behalf of the MOE. Some of the key responsibilities include but not limited to;

* KPLC will supervise construction works through a supervision consultant and also directly.
* Monitoring the progress of the project in terms of the safeguards and technical aspects.
* Monitoring of the ESMMP implementation
* Ensuring the project is on course in terms of timelines.

***Note:*** *The Solar Mini-grid will be installed operated and maintained by the contractor for the first seven (7) years and then handed over to KPLC engineers and operators. Therefore, for seven years KPLC will be monitoring the operations of the contractor.*

#### County Government of Mandera

The County government is a key stakeholder. The roles of the county government include giving relevant approvals needed, assisting is process of allocating land for Mini grid, solving grievances that cannot be sorted at project level, monitoring progress of the project among others.

#### National Environmental Management Authority

This authority is responsible for approval of ESIA report and licensing and is free to check progress of implementation of ESMMP.

#### Roles and Responsibilities of the Supervising Consultant

* The consultant must appoint an ESHS officer who will be reporting on the ESMMP implementation supervision.
* The consultant ESHS officer be required to generate various reports including production of minutes of monthly site visits and quarterly supervision reports detailing environmental, health, social and safety compliance on quarterly basis amongst other technical aspects.
* Reporting on the ESMMP implementation progress and recommendations.

#### Roles and Responsibilities of the Contractor

* Implementation of the contractor related aspects of the ESMMP and regularly (monthly) reporting
* The contractor on his part will have to appoint an EHS officer and a Social Specialist to coordinate and report on the ESMMP implementation respectively.
* The contractor to engage a Community Liaison Officer to act as a link between the community and the contractor and support the Social Specialist.
* The contractor will also have the obligation of managing the E&S risks related to his/her operations.
* Maintaining the required level of stakeholder engagement and communication, including providing project schedule information to the public, accepting, and resolving public grievances, advertising, and hiring local workers.
* Maintain a working grievance redress mechanism.
* The contractor is to comply with all regulations and by-laws at the county level and other relevant regulations and laws.
* The contractor shall refer to ESIA recommendations and the ESMMP when preparing the contractors- ESMMP and the specific plans.
* The contractor shall provide water required for use in connection with the works including the work of subcontractors and shall provide temporary storage tanks, if required
* The contractor shall make his own arrangements for sanitary conveniences for his workers. Any arrangements so made shall be in conformity with the public health requirements for such facilities and the contractor shall be solely liable for any infringement of the requirements.
* The contractor shall be responsible for all the actions of any subcontractors whom he subcontracts.
* The contractor shall take all possible precautions to prevent nuisance, inconvenience, or injury to the neighbouring properties and to the public generally and shall use proper precaution to ensure the safety of the community.
* All work operations which may generate noise, dust, vibrations, or any other discomfort to the workers and/or visitors of the client and the local community must be undertaken with care, with all necessary safety precautions taken.
* The contractor shall take all effort to muffle the noises from his tools, equipment, and workmen to not more than 70dBA.
* The contractor shall upon completion of working, remove and clear away all plant, rubbish and unused materials and shall leave the whole site in a clean and tidy state to the satisfaction of the Proponent. He shall also remove from the site all waste.
* No shrubs, trees, bushes, or underground thicket shall be removed except with the express approval of the proponent.
* No blasting shall be permitted without the prior approval of the KPLC and the local authorities.
* Borrow pits will only be allowed to be opened up on receipt of permission from the approving authorities.
* The standard of workmanship shall not be inferior to the Kenya Bureau of Standards where existing. No materials for use in the permanent incorporation into the works shall be used for any temporary works or purpose other than that for which it is provided. Similarly, no material for temporary support may be used for permanent incorporation into the works.
* Disposing of the waste generated during construction activities in accordance with the ESMMP.
* The contractor EHS officer will report on ESMMP implementation during construction period. The aspect to be reported by the contractor will includesafety issues i.e. hours worked, recordable incidents and corresponding Root Cause Analysis (lost time incidents, medical treatment cases), first aid cases, incidents and accidents, potential near misses, and remedial and preventive activities required (for example, revised job safety analysis, new or different equipment, skills training etc.); Environmental incidents and near misses; noncompliance incidents with permits and national law; Training on E&S issues (dates, number of trainees, and topics); Details of any security risks; Worker & External stakeholder grievances and E&S inspections by contractor, including any authorities.

Environmental and Social concerns need to be part of the planning and development process and not an afterthought, it is therefore advisable that all the risks and impacts of the project be prevented and mitigated at the earliest opportunity possible to ensure smooth implementation of the project. Finally, a comprehensive Environmental and Social Management and Monitoring Plan (ESMMP) has been prepared and will guide in implementation of mitigation measures.

#### Management of Impacts during Operation Phase

The operation phase of the proposed project will be mainly power supply, line maintenance and clearing of wayleaves. A contractor (contracted to run the plant for a number of years before handing over to KPLC) will be responsible for all the mitigation measures for negative impacts during the operation phase. This will be done by implementation of the following steps:

* Inspections
* Corrective action
* Reporting

**CHAPTER NINE**

**9. IMPACT SUMMARY AND CONCLUSION**

## Conclusion

During the preparation of this report for the proposed development, it is observed and established that most of the negative social and environmental impacts can be mitigated and have potentially short term low significant effects. The positive impacts are highly rated and will benefit the community at Sake and the county at large. The project proponent, the implementing agency and the contractor must adhere to prudent implementation of the social and environmental management and monitoring plan. The contractor should commit to obtaining all necessary permits and licenses from the relevant authorities and have qualified and adequate personnel to do the project as proposed. The ESIA has proposed adequate safety and health mitigation measures as part of the relevant statutory requirements.

The analysis of the ESIA has demonstrated that the construction and operation of the proposed Solar Mini-grid will have positive impacts to the government and Kenyan society at large. The impacts will include Increase in reliable and sustainable clean energy, employment to local community members, increase in the national/local investment, increase in government revenue, improvement of standards of living for Sake residents. However, despite the outlined positive impacts, the proposed development will cause some negative impacts such as; noise, dust generation, soil erosion, oil spills, fire hazards, electrocution, shocks, solid waste generation, occupational health hazards, social risks such as labour influx, demand for resources, gender-based violence, conflicts, public health impacts (HIV & AIDs, Covid 19) among others that need to be avoided, reduced and mitigated against.

It is the duty of NEMA to consider licensing the project subject to EIA study; in accordance with the Environmental Management and Coordination Act, EMCA of 1999 and its Amendment, 2015 and the Environmental Impact Assessment and Audit Regulations, Legal Notice No. 101 of 2003.

An Environmental and Socio- economic Management Plan (E&SMP) outline has been developed to ensure sustainability of the project area activities from construction through operation to decommissioning. The plan provides a general outlay of the activities, associated impacts, mitigation action plans and appropriate monitorable indicators. Implementation timeframes and responsibilities are defined, and where practicable, the cost estimates for recommended measures are also provided.

A monitoring plan that highlights some of the environmental performance indicators that should be monitored has been developed. Monitoring creates possibilities to call to attention changes and problems in environmental quality. It involves the continuous or periodic review of operational and maintenance activities to determine the effectiveness of recommended mitigation measures. Consequently, trends in environmental degradation or improvement can be established, and previously unforeseen impacts can be identified, or pre-empted and mitigation measures proposed.

From the findings of this study, the following conclusions are made:

* The proposed project will generate socio-economic benefits which would not be realized if the ‘NO development option’’ is considered.
* The beneficiary community has been consulted among other stakeholders and project information shared including the negative impacts and the views of the stakeholders is that the project is long overdue.
* The potential adverse impacts associated with the proposed project are possible to mitigate successfully. The impacts before implementation of mitigation measures are assessed as very low to medium low and the ratings are expected to improve further with the implementation of the proposed mitigation measures.
* The impacts that will be adverse will be temporary during the construction phase and can be managed to acceptable levels with the implementation of the recommendation of the mitigation measures for the project.
* The project will be designed, constructed, and operated according to the acceptable industry norms and standards. Successful implementation of the proposed ESMMP will ensure environmental sustainability.

The proposed project design has integrated mitigation measures with a view to ensuring compliance with all the applicable laws and procedures. The Solar Mini-grid and associated structures will be installed to the required planning/architectural/structural designs and standards. During project implementation, operation and decommissioning stages sustainable environmental management would be ensured, avoiding inadequate use of natural resources, conserving nature sensitively and guaranteeing a respectful and fair treatment of all people working on the project, general public at the vicinity and the expected PAPs of the project.

In relation to the proposed mitigation measures that will be incorporated during construction, operational and decommissioning phases; the development’s input to the society and environment;the project is considered beneficial and important.

## Recommendations

It is strongly recommended that a concerted effort is made by the implementing agency in particular, to implement the Environmental Social Management and Monitoring Plan provided herein. Following the commissioning of the project, statutory Environmental and Safety Audits shall be carried out in compliance with the national legal requirements, and the environmental performance of the site operations should be evaluated against the recommended measures and targets laid out in this report.

## Recommendations

* The KPLC and the contractor must adhere to relevant legal and regulatory framework to ensure compliance and success of the project.
* Adherence to the mitigation measures as spelt out in the ESMMP and monitoring of the same is mandatory to ensure environmental and social sustainability of the project.
* Cultivate and maintain a good working relationship with the community members.
* Ensure social inclusion of the vulnerable groups by paying attention to the most vulnerable and provide ready boards as spelt out.
* Contractor to plant trees in construction phase to promote environmental sustainability.
* Stakeholder engagement to the carried out throughout the construction and operation and decommissioning phases.
* Contractor to ensure grievance redress mechanism is established and operational.
* Environmental Audits should be carried annually or as prescribed by the Authority during the operational phase and invitation of Inspectors and Experts from NEMA to ascertain compliance with the provided ESMMP and set NEMA regulations and Standards.
* Diligence on the part of the contractor and proper supervision by the KPLC is crucial for mitigating the potential impacts and ensuring structural strength, safety, and efficient operation of the project.

## Authorization Opinion

In terms of NEMA requirement the environmental practitioner is required to provide an opinion as to whether the activity should or should not be authorized. The expert is reticent to venture such an opinion since we are not an elected entity mandated to make decisions on behalf of authority. Nevertheless, in this section a qualified opinion is ventured and in this regard the Lead expert believes that sufficient information is available for NEMA to take a decision. The fundamental decision is whether to allow development which brings socio-economic advantages and is consistent with planning and certain development and social responsibility and upliftment of policies, but which may impact on an area as a result of negative impacts identified. The Lead Expert believes that the ESIA have shown that the applicant’s preferred alternative and technological alternatives are generally acceptable. The ESIA has also assisted in the identification of essential mitigation measures that will mitigate the impacts associated with the project to within acceptable limits.

In conclusion, the expert is of the opinion that on purely ‘environmental’ grounds (i.e., the project’s potential socio-economic and biophysical implications) the application as it is currently articulated in the applicant’s proposal should be approvedprovided the essential mitigation measures are implemented. It is in the opinion of the Environmental Consultant that the anticipated negative impacts can be readily and effectively mitigated, and the proposed project does not pose any significant threat to the Environment and may be licensed to proceed.

# 10APPENDICES

|  |  |  |
| --- | --- | --- |
| **No** | **Appendix** | **Item** |
| 1 | Appendix 1 | Minutes of EIA consultation meeting |
| 2 | Appendix 2 | List of attendance |
| 3 | Appendix 3 | Minutes of Land acquisition meeting |
| 5 | Appendix 4 | A-RAP Document |
| 6 | Appendix 5 | Firm and Lead expert EIA practising licences |
| 7 | Appendix 6 | Site photos |

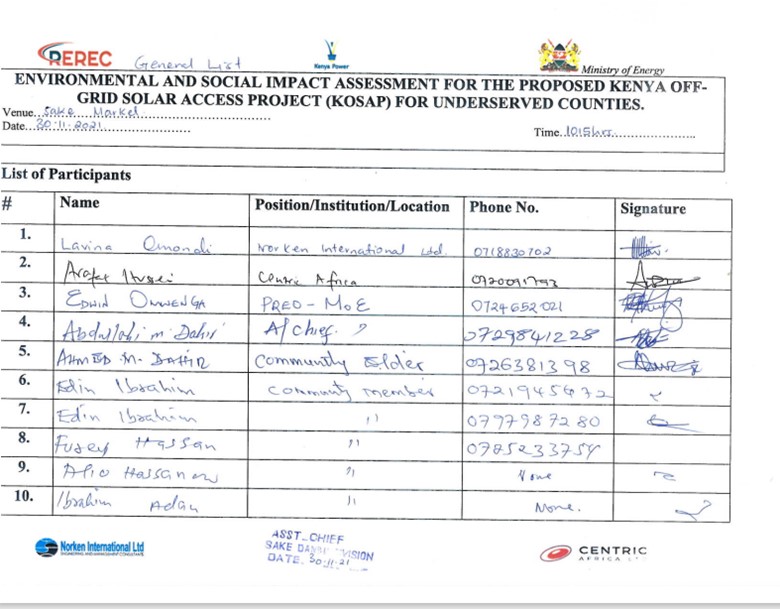
## Appendix 1: Minutes for the ESIA

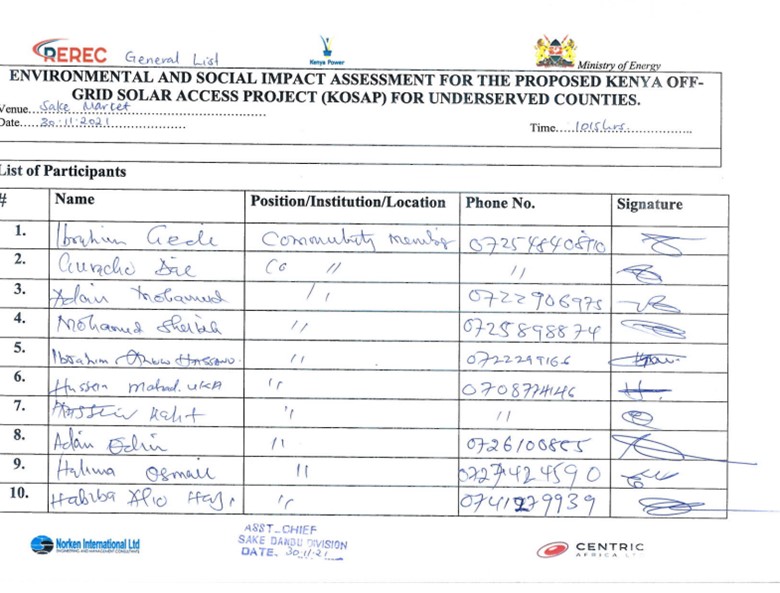
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| **MINUTES OF EIA CONSULTATION FOR THE PROPOSED KENYA OFF-GRID SOLAR MINI-GRID PROJECT IN MANDERA COUNTY.** | |
| Date: 30th. November. 2021.  Time: 10.15am | Site: Sake  Venue: Chief office, Saké |

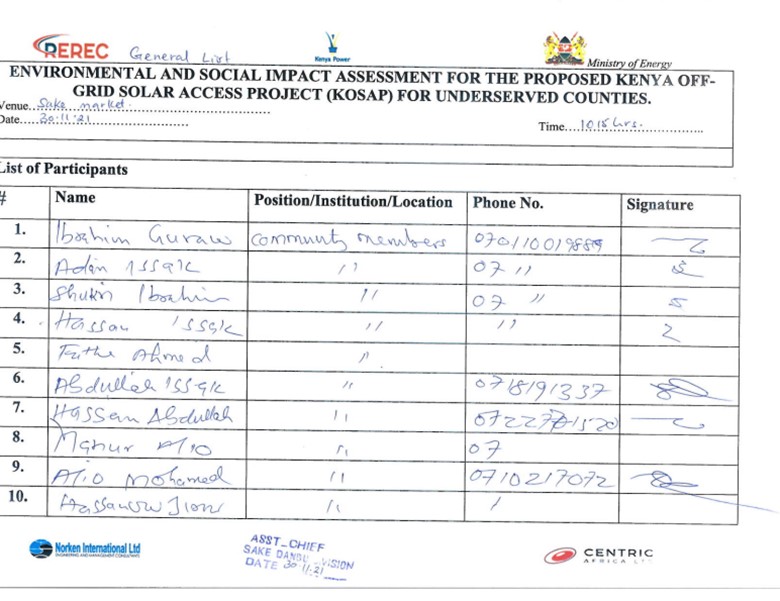
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| --- | --- | --- | --- |
| **PRESENT**  The attendance sheets have been attached separately in this report. | | | |
| **AGENDA**   1. Introduction 2. Opening Remarks 3. Remarks by the consultant 4. Concerns/ Issues from participants 5. Responses 6. Project Acceptance/Rejection 7. Adjournment | | | |
| **Item No** | **Description** | **Action by** | |
| **Min 1/21** | **Introduction** | | |
| 1:1    2:1 | The area chief started off the meeting at 10:15am, he welcomed the consultants and informed the public of their mission. He thereafter briefly explained the purpose of the visit and the different components of the assessment to be undertaken, he introduced the participants to the guests and officially declared the forum open for discussions. Before concluding his introduction speech, he requested the area Sheikh to open with a word of prayer.  The religious leader took the community members present and the consultants through a word of prayer. | | Area Chief  Sheik |
| **Min 2/21** | **Opening Remarks** | |  |
| 1:2  2:2 | In his opening remarks Mr Osman Hassan, the CREO from Mandera County confirmed to the members that the KOSAP project is still on course and that the purpose of the visit today is to fulfill one of the project requirements that entails the ESIA Process where community engagement is key to create project awareness and get their views on the project without which the project cannot proceed to its inception stage.  He told the participants of an additional project dubbed compensation – in – kind to be implemented in the area by the Ministry of energy as a compensation for the land to be acquired where the project will be installed. Mr Osman explained further that the additional project will be an improvement of an existing project limited to the sectors of Health, Water or Education. | | CREO |
| **Min 3/21** | **Remarks by the Consultant** | |  |
| 1:3  2:3  3:3  4:3  5:3 | Madam Lavina in her address to the community explained in simple but detailed manner the project activities and requirements.  She explained about the visit and levels of community engagement where there was a community baraza, followed by Focus group discussions to be administered to a selected group of people. This would enable the participants to discuss issues in depth explaining the community’ experiences, attitudes, perception and knowledge on the matter.  This would be followed by Key informant interviews to try and get more information about the community from the key representatives and leaders of different sectors.  She requested for the community’s consent to take photos and fill attendance sheets as well that will be used to generate an ESIA report which will be presented to NEMA to enable the team to obtain an SIA license.  The participants were taken through the ESIA process as required by the EMCA 1999 and EIA/EA of 2003. | | Consultant |
| **Min 4/21** | **Concerns / Issues from participants** | |  |
| 4.1  4.2  4.3  4.4  4.5  4.6 | Community had concerns on whether the electricity will be connected to all the households within the town.  Will the electricity be like street light or there will be connections to homesteads; is it to be only solar energy or it shall also be having a standby fuel powered generator they asked.  The community wanted to know if the energy supplied by the solar is sufficient to support businesses such as fridges, welding etc.  The community expressed gratitude for the community engagement and very informative discussion on how to mitigate the negative impacts of the project.  A member wanted to know if the connection fee charges can be reduced.  Do we require a different connection for each house in a compound? | | Abdullahi issack  Edin Maalim.  Hussein Roba  Mohamed Sheik  Hussein Roba |
| **Min 5/21** | **Responses given by the consultant** | |  |
| 5.1  5.2  5.3  5.4  5.5 | Yes, every household will be connected at a fee of about one thousand shillings and payment as distribution lines within the village.  The project will be a fenced mini grid with distributed lines; the expectation is that it will be a very reliable source of energy as there will be a standby generator to be used as a power backup.  Yes, the project will be able to support fridges, welding, posho mill and other household electric appliances.  The fee is already greatly subsidized. Furthermore, the project would be able to support the vulnerable households. Information on these households will be available by the chief and a socio-economic survey for legitimacy; however, these households would be expected to pay their bills through purchase of tokens after the connection.  The electric system will be supported by token and only one connection is needed in a compound despite the number of houses. | | Edwin  Consultant  Edwin |
| **Min 6/21** | **Acceptance/Rejection of the project** | |  |
| 6:1 | The community members unanimously agreed and accepted the project giving vote of thanks to all those involved. | | All |
| **Min 7/21** | **Adjournment** | |  |
| 7:1 | The meeting was adjourned at noon. | | All |

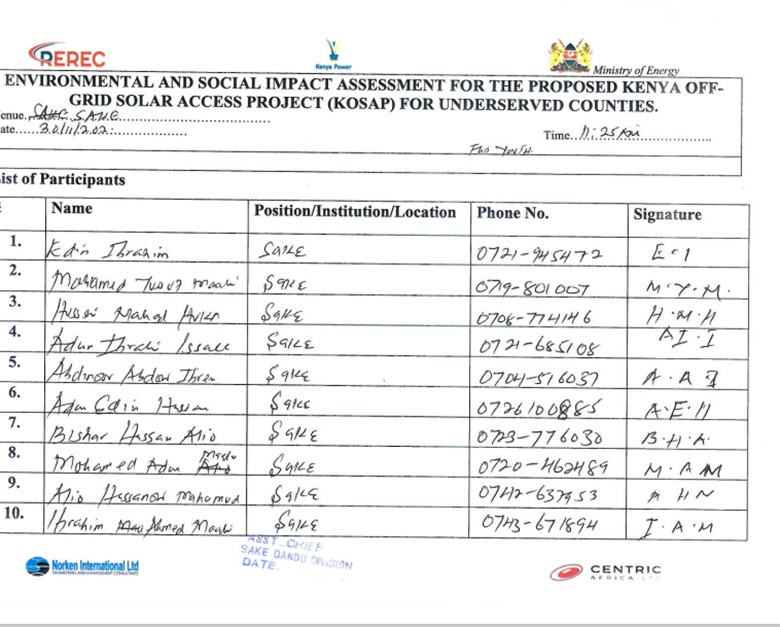
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| Minutes Prepared by: ……………………………………… Date………………  Position ……………………………………….  Signature ……………………………………….  Minutes Confirmed by: ……………………………………… Date………………  Position ………………………………………...  Signature ………………………………………. |

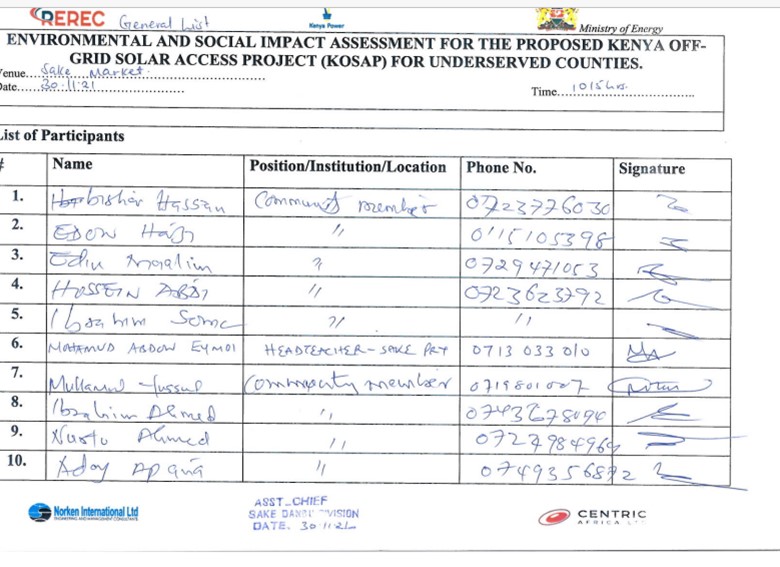
## Appendix 2 Meeting Attendance register

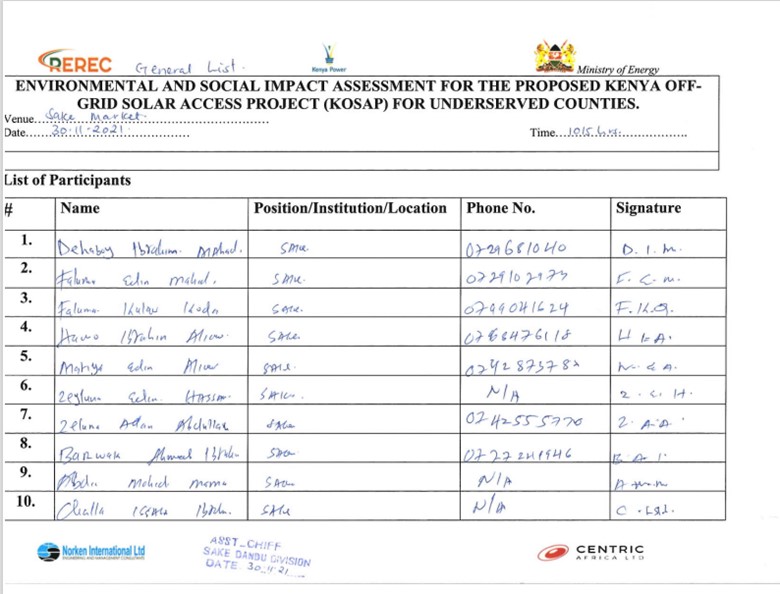


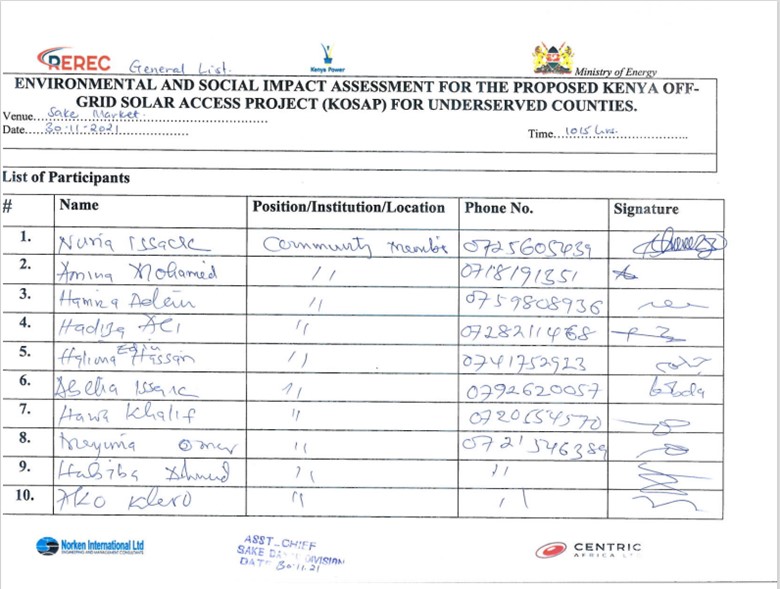












**Appendix 3: Minutes for site screen and land allocation**

1. **MINUTES OF MEETING HELD ON REQUEST FOR LAND FOR KENYA OFF GRID SOLAR ACCESS PROJECT (KOSAP) MINIGRID AT SAKE**

County: **Mandera**

Sub-County: **Mandera West**

Venue- **Community Baraza park-Sake**

Date: **27/2/2020**

**AGENDA**

1. Preliminaries
2. Project description
3. Positive Impacts of the project –solar mini-grid
4. Negative Impacts of the project
5. Need for land
6. Grievance redress mechanism for the project

**Minute 1/KOSAP/2020: Preliminaries**

Opening prayer by Sheikh while translations into the local language was done by Siyad and the chief.

The area chief called the meeting to order at 12.05 p.m. The chief welcomed the project team and also the community members and thanked all for attending the meeting. He invited the ward manager to give some remarks. The ward manager –Bishar M Maalim thanked the people for attending the meeting and asked them to embrace development projects through partnerships support required. The chief then welcomed Mr. Siyad Director from the Ministry of Energy Mandera county to give the agenda of the meeting and introduce other officers in the project team.

**Project team**

|  |  |  |  |
| --- | --- | --- | --- |
| **S/No** | **Name** | **Profession** | **Organization/County** |
| 1 | Siyad Mohammed Ali | Director -Energy water and natural resources (Environmentalist) | Mandera county |
| 2 | Hussen Bubal Abdinoor | Surveyor | Mandera county |
| 3 | Wilfred Koech | Environmentalist | KPLC |
| 4 | Rachel Kisiangani | Physical planner | KPLC |
| 5 | Roseline Njeru | Socio Economist | KPLC |

Siyad explained that the county government is partnering with the national government in providing electricity from solar energy in 14 counties that are far away from the national grid. He said the project is called KOSAP-Kenya Offgrid Solar Access Project. He noted that the county government in partnership and agreement with the community elders of the different areas had identified about 27 sites in Mandera county to set up the solar mini-grids. He added, the agenda of the site visit was to undertake an environmental and social screening of those sites to check suitability, undertake community engagement to sensitize them on the project and seek broad community support for the project and also to request for land donation from the community and setting up project grievance redress mechanism.

**Minute 2/KOSAP/2020: Project Description**

Wilfred from KPLC described the proposed project i.e solar energy mini-grid and the reason for the choice of solar energy was because the area is far away from the national grid and the fact that the area is well endower with solar energy. He explained that the government’s target is to achieve universal access to electricity by 2022 using various sources and solar energy is one of the identified methods because it is clean green energy. He further explained that the solar energy mini-grid will be put up and low voltage lines will also be constructed to enable connection to PAPs.

He informed the community that the KOSAP is being jointly implemented by the Ministry of Energy, the Kenya Power and Lighting Company (KPLC) and the Rural Electrification and Renewable Energy Corporation (REREC) in partnership with the word bank as a development partner, County Government and the Communities being the major PAPs.

**Minute 3/KOSAP/2020: Positive Impacts of the project**

He outlined the benefits of the project including; employment,  use of clean energy for lighting homes and the area that is clean, long hours of study for the pupils and students, cooling systems like fans and cold drinks because the area has high temperatures, opportunities to exploit income generating activities that need electricity, information access through ease of charging mobile phones and purchase of radios and T.V, improved security due to the area being lit, health benefits linked to clean energy as opposed to fumes from kerosene lamps among others.

He told them that once connected, the PAPs will be expected to pay for what they consume. The community said they are ready to pay for the power

**Minute 4/KOSAP/2020: Negative impacts of the project**

He explained that there are negative impacts of electricity even to the PAPs and their mitigation measures as shown below.

|  |  |  |
| --- | --- | --- |
|  | **Negative impacts** | **Mitigation** |
| 1 | Vegetation clearance | Replanting trees |
| 2 | Risk of sparks/fire | Proper wiring and quality electrical materials |
| 3 | Occupation safety and health hazards | Allocating jobs in line with one’s skills and experiences  -Use of personal protective equipment (PPE) |
| 4 | Electric shocks and electrocution of people from live conductors | At home don’t touch switch and sockets with wet hands, use qualified technicians to do wiring, don’t plant trees near electrical conductors, if you find conductors lying down don’t step or walk over them. |
| 5 | Public health risk | Take caution especially with new teams coming from outside the community and limit interactions. |
| 6 | Waste | Proper waste management by contractor |

**Minute 5/KOSAP/2020: Need for land**

Rachel explained that the proposed project needs about 1.0025 hectares to set up the solar mini-grid. She noted that basic requirement is that the land need to be fairly flat, accessible, free from disputes/encumbrances; not affected by shadows from natural features like hills and or structures, within 3km distance to the target PAPs; and not on the road/riparian reserve.

She added that there are three main land ownership categories in Kenya which are private land, public land and community land. She informed the community that land in the area falls under community land and is governed under customary rights.

She informed them of the fact that;

* A community has the freedom to refuse to donate land
* They can seek compensation for the land
* The land being donated should not adversely impact on the livelihoods of the community
* The land being donated is not currently occupied by households

In the event the community seeks compensation the options include cash payment -which would involve all community members being identified and registered and then open an account where the fund would be deposited and the community would draw the funds depending. The second option is compensation of land for land which involves identifying another piece of land to be purchased.  The third option is compensation in kind e.g. getting a project in exchange.

She told them that the meeting was to seek land donation for the solar mini-grid and that the decision of whether to donate or not belong to the community.

Rachel asked the community to confirm that the land is communally owned, whether they were willing to donate land for the mini-grid. The community members unanimously confirmed that the land belong to the community and agreed to donate the land for the solar mini-grid.

She also explained to them that the ownership of the land where the project will be put up will need to be registered under the ownership of one of the implementing agencies (KPLC/REREC).

She added this process can take time and so she requested the community to allow advance possession of the land before the process of registration is completed. The community unanimously agreed to allow the Implementing Agency to take advance possession of the site as the county government fast racks the registration of the same. The community gave approval for the construction process to begin as soon as possible.

As a sign of commitment, the community elders signed a land donation form to indicate that they had agreed to donate the land.

***Survey of the land***

The surveyor explained to the community that there is need to survey the land so that the portion to be donated for the solar mini-grid is objectively demarcated and the exact measurements known to the community members. The community agreed to the process of survey to take place.  A total of 87 people attended the meeting.

**Minute 6/KOSAP/2020: Grievance Redress Mechanism**

Roseline asked the community to explain how they deal with grievances in the community. One of the elders explained that the community has elders who provide leadership oversight to the community and are also responsible for dealing with grievances. Any of the grievances that is difficult to resolve is referred to the office of the chief.  He noted that most of the grievances are basically solved by the elders. She then explained the need to have a project committee chosen by the community, who will be in charge of giving project information to the community and also be a focal point for reporting project related grievances. She added that the composition of the committee should include; men/elders, women, youth, persons with disability and youth representatives. The community selected the committee.

**Special Sessions**

**Note:** After the main meeting, a separate session was held for the youth and for women. The objective of the separate meeting was to provide a forum where these two groups are free to air/speak issues that are specific to them in line with the proposed project. The officers gave a brief of the meeting agendas and confirmed that the youth and the women had understood. Concerns or questions from these groups are recorded under the question and answer table below.

**Question and answers**

|  |  |  |  |
| --- | --- | --- | --- |
| **Name of Person making the contribution (e.g. comment or question)** | **Question, Comment, Suggestion** | **Feedback/Responses by project team** | **Response by agency on how feedback will be used or acted upon** |
| Mohammed Yusuf Maalim | Thanks for coming and we welcome the project | Thanked community | - |
| We have agreed to donate land for the  project | Noted | - |
|  | We prefer concrete poles because the area is termites infested | Noted | Factored in the screening report. |
| Youth | We welcome the project because we shall have benefits such as abiity to watch sports, entertainment, buy cold water and drinks due to use of fridges, security, employment, benefits to hospital and schools. | Noted | - |
|  | We hope the power can pump water from water dam | Yes, if the dam is within the area of coverage | - |
| Women | We support the project because our businesses will be better like cooking tea with electricity, security, we shall buy T.V for better communication. | Noted | - |
|  | We request that the project will be completely sealed off from the public so that our children are safe | Noted | Concern to be communicated to project coordinator |
| Please implement the project without delay | Noted | Concern to be communicated to project coordinator |

**Project Committee Members**

|  |  |  |  |
| --- | --- | --- | --- |
| **S/No** | **Name** | **Identification No.** | **Telephone No.** |
| **1** | Abdullahi M Dahir | 5964189 | 0729841228 |
| **2** | Said Hassan Ibrahim | 26641622 | 0710681045 |
| **3** | Bishad Maalim Omar | 6400897 | 0723891737 |
| **4** | Suaw issak maalim | 23427508 | 0796585993 |
| **5** | Abdirahmanm Abey | 26462905 | 0718191337 |
| **6** | Ibrein AliowAboro | 6401043 | 0724726893 |
| **7** | Nuria  Isaak Ibrahim |  |  |
| **8** | Ibrahim G Abdullahi | 5494495 | 0725498736 |

## Appendix 4: Abbreviated Resettlement Action Plan (A-RAP)

1. **Sake Sub-project Site**

The Sake sub-project site is on unregistered community land and held in trust by the County Government of Mandera on behalf of the community, in line with the Community Land Act 2016. The proposed site is uninhabited, has no structures, community facilities, or encumbrances, and is part of the land owned by the Sake community and utilized for grazing. Consultations leading to the identification and selection of the sub-project site are captured in the Environmental and Social Screening report for Sake. *Refer to Chapter 4 of the ESIA for the comprehensive socio-economic profile.*

1. **Actual Census Survey of PAPs and Valuation of Affected Assets**

The number of project-affected persons (PAPs) is 6000 (approximately 700 households). The land acquisition-related impacts are loss of land and pasture. Mitigation measures include in-kind compensation for loss of land and pasture, and designing power distribution lines to avoid impacting trees, crops, structures, and community facilities. No physical displacement is anticipated; however, there is minimal loss of pasture occasioned by the acquisition of land utilized by the community for grazing. The 1.0025 Hectares identified for the sub-project will be acquired compulsorily by the National Land Commission (NLC). The proposed site will be valued and compensated in line with the provisions of the Resettlement Policy Framework (RPF) prepared under KOSAP. *Refer to section 2.2 of the ESIA for the sketch map of the site.*

1. Compensation Measures Agreed with the PAPs and other Resettlement Assistance to be Provided

The proponent requested the community identify three priority projects, whereby one out of the three would be provided as in-kind compensation for loss of land and pasture. The Sake community proposed the priorities are 1st Piped water from dam to water village as the nearest dam is 6 km away, 2nd construction of classrooms at the school as the current classrooms are insufficient and 3rd Construction of a laboratory and wards at the dispensary in that order. The value of the priority community project will be proportional to or higher than the value of land under acquisition. In addition, loss or damage to crops, trees, structures, and community facilities will be compensated in line with the provisions of the RPF, and as summarized in the entitlement matrix below.

**3.1 Entitlement Matrix**

|  |  |  |  |
| --- | --- | --- | --- |
| **Types of Impact** | **Person(s) Affected/Eligible for Compensation** | **Compensation/Entitlement/Benefits** | **Responsible organization** |
| 1. **Loss of Land** |  |  |  |
| Loss of unregistered community land. | Community. | Compensation in-kind as prioritized by the community. | KPLC |
| Loss of land in unregistered group ranches. | Group ranch members. | Compensation in-kind as prioritized by the community. |
| Loss of land in registered group ranches. | Group ranch members. | Compensation in-kind as prioritized by the community. |
| Loss of land owned by the National Police, county governments and the Ministry of Interior | Government agencies. | No compensation for public land allocated to another government body. |
| Loss of land owned by the Kenya Forest Service (KFS) and Kenya Wildlife Service (KWS). | Government agencies. | No compensation for public land allocated to another government body. However, payment of conservation fees to KWS and KFS as stipulated under their respective regulations is foreseen. |
| 1. **Loss of Use on Land** |  |  |  |
| Loss of use on public land (e.g., grazing, farming etc.). | Communities utilizing public land. | Communities do not own public land; however, they utilize public land with consent from the relevant agencies. The project will implement the infrastructure project prioritized by the community as compensation for the loss of public land use. | KPLC |
| Loss of use on unregistered community land, unregistered group ranches and registered group ranches ( e.g., grazing, farming etc.). | Communities utilizing unregistered community land, unregistered group ranches, and registered group ranches. | Compensation in-kind as prioritized by the community. |
| 1. **Loss of /Damage to Assets on Land** |  |  |  |
| Trees | Community members on unregistered community land; community members utilizing public land; members of registered and unregistered group ranches and government entities. | During detailed design for power distribution lines and construction of the mini grid and community project, any crops, structures, trees, and community facilities shall be avoided to the extent possible. However, loss or damage to the above will be compensated/restored at full replacement cost,[[1]](#footnote-1) in line with the provisions of the RPF. | KPLC |
| Crops |
| Structures |
| Community facilities e.g., water sources (earth pans, boreholes etc.). | Community members on unregistered community land, community members utilizing public land, and members of registered and unregistered group ranches. |

4. Consultations with PAPs about Acceptable Compensation Options and Alternatives that have been considered

Detailed consultations with PAPs on land acquisition and compensation, including the modalities of acquiring land and compensation options, were undertaken during the Environmental and Social Screening, Environmental and Social Impact Assessment, and the NLC land valuation process. The following sections provide a summary of the consultations.

**4.1 Engagement of Project -Affected Persons (PAPs)**

Local administration and County Renewable Energy Officers (CREOs) supported the proponent and implementing agency (IA) to mobilize community members and other stakeholders for public consultations and engagement activities. National and county government entities, community segments (men, women, youth, elders, persons with disability, vulnerable and marginalized groups, etc.), NGOs, and local leaders were engaged through key informant interviews, community meetings, and focus-group discussions. The proponent and IA implemented appropriate measures to ensure PAPs effectively participated in the consultations. *Refer to Chapter 6 of the ESIA on public consultation and engagement.*

Once the compensation award and Bill of Quantities (BoQs) are known, the Implementing Agency (IA) will engage the community and agree on the community project to be executed as in-kind compensation. During these consultations, the IA and the community will define the roles and responsibilities of the community in monitoring the implementation of in-kind compensation and maintenance once the IA hands it over to the community. Thus, the IA and the community will effect an agreement to be signed by the local leadership; representatives of the Grievance Redress Committees at the locational, county, and national levels; A-RAP Implementation Committee, and Implementing Agencies.

**4.2 Identification of Community Representatives**

The Sake Locational Grievance Redress Committee (LGRC), constituting a chairperson, secretary, and three members, was formed through community consensus. The committee’s membership comprises men, women, youth, persons with disabilities, and ethnic minorities. The LGRC is responsible for engaging PAPs and resolving complaints. Refer to Chapter 8 of the ESIA on the Grievance Redress Committees. Further, the community will constitute the A-RAP Implementation Committee responsible for coordinating community engagements on the A-RAP and monitoring the implementation and closure of the A-RAP. The representation of the committee will consider gender, vulnerability, and intergenerational sensitivities.

**4.3 Summary of Consultations on Land Acquisition and Compensation Options**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Date** | **Objective** | **Implementing**  **Entities** | **Land Acquisition and Compensation Aspects**  **Discussed** | **Key Issues Raised** | **Responses**  **Given** |
| 27th February, 2020 | Environmental and Social Screening.  Voluntary land donation (VLD).  Constitution of the Locational Grievance Redress Committee (GRC). | Ministry of Energy (MoE)  Kenya Power and lightning (KPLC)  Rural Electrification and Renewable Energy Corporation (REREC) | Site identification and land allocation for the sub-project.  Criteria for VLD.  Community entitlements (forms of compensation and implications for each). | None | None |
| November 30th 2021 | Environmental and Social Impact Assessment. | Consultants  MoE  KPLC  REREC | Land acquisition through compulsory acquisition (not voluntary land donation).  Selection of three priority community projects, whereby one is to be implemented as in-kind compensation for land. | The Sake community proposed the following projects. 1st Piped water from dam to water village as the nearest dam is 6 km away. 2nd construction of classrooms at the school as the current classrooms are insufficient, and 3rd construction of a laboratory and wards at the dispensary in that order. | The proponent has set aside KES 1 million to implement the priority in-kind compensation project.  The value of the project will be proportional to or greater than the value of land.  NLC will determine the value of land. |
| May 2023 | Compulsory Land Acquisition. | NLC | Site inspection and inquiries.  Land valuation.  Award of compensation. |  |  |

5. Institutional Responsibility for Implementation of the ARAP

|  |  |
| --- | --- |
| Entity | Role |
| Ministry of Energy | * Coordinate A-RAP implementation and provide budget for in-kind compensation. |
| National Land Commission | * Implement the statutory process for compulsorily land acquisition, including site gazettement and inspections, inquiries, valuation, and award of compensation. |
| Kenya Power and lightning Company | * Monitor all land acquisition and compensation aspects (including A-RAP closure), complemented by a third-party monitor. * Provide budgets for stakeholder engagement, grievance management, and monitoring, including the facilitation of the Land Acquisition and Compensation Implementation Committee, and the Grievance Redress Committee. |
| Mini-grid Contractor | * Implement in-kind compensation concurrently with the solar mini-grid project. |
| Supervising Consultant | * Monitor and report on implementation of in-kind compensation, and overall project compliance with social safeguards. |
| Grievance Redress Committees | * Formed at the locational, county, and national levels, and responsible for resolving complaints, including A-RAP related grievances. |
| A-RAP Implementation Committee | * Coordinate A-RAP engagements at the community level, monitoring A-RAP implementation and closure. |
| Affected Community | * Responsible for the operation and maintenance (O&M) of in-kind compensation project. An agreement stipulating the O&M roles and responsibilities of the community will be effected. |

6. Procedures for Grievance Redress

The Project procedures for grievance redress were established through a public consultation process and informed by the existing conflict resolution structures in the community. The Grievance Redress Mechanism (GRM) comprises tiers at the project, county, and national levels. *Refer to Chapter 8 of the ESIA for a detailed GRM.*

7. Implementation Timetable and Budget for the ARAP Implementation

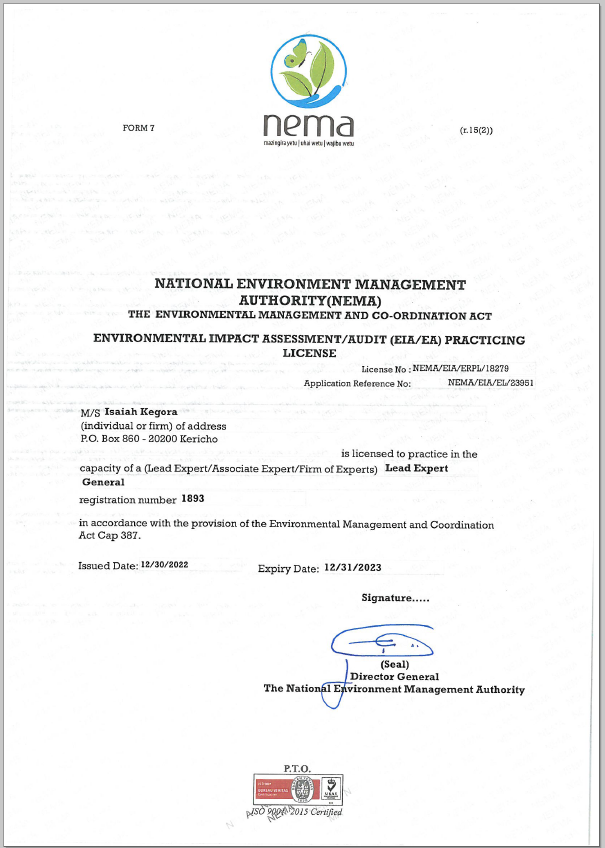
**7.1 Timelines**

The proponent will commission the community project by May 25th, 2025, before operationalizing the mini-grid. The mini-grid contractor will implement the mini-grid and the community project simultaneously. The Supervision Consultant and IAs will implement a commitment register to ensure the mini-grid contractor can achieve the agreed-upon milestones. The register will be complete with clear and practical timebound indicators, which can be monitored by all parties – the PAPs, IAs, the Ministry, third-party monitor, and the Bank.

**7.2 Budget**

The proponent has set aside KES 1 million for the community project (budget captured in the ESMP). The compensation award from NLC and the Bill of Quantities will inform the final cost of the community project. The costs for in-kind compensation, stakeholder engagement, grievance management (including the facilitation of the GRCs and the A-RAP Implementation Committee), and monitoring are covered under the project.

## Appendix 5: Expert’s EIA practicing licence





## Appendix 6: Site Photolog

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| --- | --- |
|  |  |
|  |  |
|  |  |

1. A cost basis that will yield compensation sufficient to replace assets, plus necessary transaction costs associated with asset replacement). [↑](#footnote-ref-1)